

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wright, Elizabeth C.	2. Court or Organization U.S. District Court, District of Minnesota	3. Date of Report 07/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Warren E. Burger Federal Building & US Courthouse 316 N Robert St St Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	Faegre Daniels LLP
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2016	Faegre Daniels LLP Retirement Savings Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Self-employed attorney	\$211,217.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	3M Company - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Faegre Daniels LLP Capital Balance (cash)		None	K	T					
2. FBD Building Partnership LLP (rental property, Minneapolis, MN)	A	Rent	J	W					
3. RiverSource Variable Universal Life 5 ES (H)									
4. Riversource VP Mod Aggr Port C12		None	M	T					
5. RiverSource Variable Universal Life Insurance IV (H)									
6. Riversource VP Mod Aggr Port C14		None	L	T					
7. 401k Acct #1 (H)									
8. Charles Schwab/Faegre Baker Daniels Cash Balance Pension Plan (cash)	A	Interest	K	T					
9. Baron Small Cap Instl (BSFIX)	B	Dividend	J	T					
10. American Funds Europacific Growth R6 (RERGX)	D	Dividend	L	T					
11. DFA Global Real Estate Securities Port (DFGEX)	A	Dividend	J	T					
12. Metropolitan West Total Return Bd Plan (MWT SX)	C	Dividend	M	T					
13. PIMCO High Yield Instl (PHIYX)	C	Dividend	L	T					
14. 401k Acct #2 (H)									
15. State Street Intermediate-Term Bond Fund	D	Dividend	M	T					
16. State Street Long Term Bond Index Fund	B	Dividend	K	T					
17. State Street S&P 500 Stock Index Fund	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. State Street Small and Mid Cap Stock Index Fund	C	Dividend	L	T					
19. State Street International Stock Index Fund	D	Dividend	M	T					
20. 3M Stock	C	Dividend	M	T					
21. IRA #1 (H)									
22. 3M Company Stock (MMM)	B	Dividend	L	T					
23. 3M Company Stock Option Plan		None	L	T					
24. IRA #2 (H)									
25. Ameriprise Insured Money Mkt (AIMMA) (cash)	A	Interest	J	T					
26. Goldman Sachs Emerg Mkts Eq Insights Inv Cl (GIRPX)	A	Dividend	K	T					
27. Janus Henderson Enterprise Cl I (JMGRX)	B	Dividend	K	T					
28. JPMorgan Intrepid Val Cl I (JPIVX)	A	Dividend	J	T					
29. Oppenheimer Steelpath MLP Alpha Plus Cl A (MLPLX)	B	Dividend	K	T					
30. Virtus Kar Small Cap Sustainable Growth Cl I (PXSGX)	A	Dividend	J	T					
31. Invesco FTSE RAFI US 1500 Sm Mid ETF (PRFZ)	A	Dividend	K	T					
32. Wisdomtree Mid Cap Div ETF (DON)	A	Dividend	K	T					
33. IRA #3 (H)									
34. iShares MSCI Australia (EWA)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. IShares MSCI Canada (EWC)	A	Dividend	J	T	Buy	09/11/18	J		
36. IShares MSCI France (EWQ)	A	Dividend	J	T					
37. IShares Core S&P 500 (IVV)	A	Dividend	J	T	Sold (part)	10/17/18	J		
38.					Sold (part)	10/26/18	J		
39.					Sold (part)	12/06/18	J		
40.					Sold (part)	12/27/18	J		
41. IShares Core US Aggregate Bond (AGG)	A	Dividend	K	T	Buy (add'l)	12/20/18	J		
42.					Buy (add'l)	12/27/18	J		
43. IShares IBOX High Yld Corp Bd (HYG)	A	Dividend			Sold	11/09/18	J		
44. IShares MSCI Singapore (EWS)	A	Dividend			Sold	09/11/18	J		
45. IShares MBS (MBB)	A	Dividend	J	T	Buy	11/09/18	J		
46.					Buy (add'l)	12/11/18	J		
47. SPDR Bloomberg Barclays 1-3 Mo T-Bill (BIL)	A	Dividend	K	T	Buy (add'l)	10/17/18	J		
48.					Buy (add'l)	10/26/18	J		
49.					Buy (add'l)	12/06/18	J		
50.					Sold (part)	12/11/18	J		
51.					Buy (add'l)	12/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.					Buy (add'l)	12/20/18	J		
53.					Buy (add'l)	12/27/18	J		
54. Schwab US REIT (SCHH)	A	Dividend			Buy	09/11/18	J		
55.					Sold	12/11/18	J		
56. Sector Healthcare Select Sector SPDR (XLV)	A	Dividend	J	T	Sold	09/11/18	J		
57.					Buy	12/11/18	J		
58. Consumer Discretionary Select Sect SPDR	A	Dividend	J	T					
59. Sector Materials Sel Sect SPDR (XLB)	A	Dividend			Buy	09/11/18	J		
60.					Sold	10/09/18	J		
61. Sector Energy Select Sect SPDR (XLE)	A	Dividend	J	T	Buy	11/09/18	J		
62. Sector Industrial Sel Sect SPDR (XLI)	A	Dividend			Sold (part)	11/09/18	J		
63.					Sold	12/11/18	J		
64. Vanguard Financials (VFH)	A	Dividend			Buy	10/09/18	J		
65.					Sold	11/09/18	J		
66. Vanguard Information Technology (VGT)	A	Dividend	J	T					
67. Vanguard FTSE All World Ex US (VEU)	A	Dividend	J	T	Sold (part)	12/13/18	J		
68.					Buy (add'l)	12/27/18	J		

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69. Vanguard Intermed Term Treas Idx (VGIT)	A	Dividend	J	T	Buy (add'l)	11/09/18	J		
70. Vanguard Long Term Treas (VGLT)	A	Dividend	J	T					
71. Barclays Bank PLC IPath Bloom Comm Idx Tot Retn (DJP)		None	J	T					
72. IRA #4 (H)									
73. Oppenheimer Active Alloc A (OAAAX)	A	Dividend	J	T					
74. IRA #5 (H)									
75. Columbia Income Builder Cl C (RBBCX)	A	Dividend	J	T					
76. IRA #6 (H)									
77. Hines Global Reit (HNLG)	C	Dividend	K	T					
78. BROKERAGE #1 (H)									
79. Alger Cap Apprec Z (ACAZX)	D	Dividend	L	T					
80. Columbia Minnesota Tax Exempt Z (CMNZX)	A	Dividend	J	T	Sold	12/11/18	J		
81.					Buy	12/18/18	K		
82. Goldman Sachs Mgd Fut Strat Inv (GFIRX)	A	Dividend	K	T					
83. Ivy Intl Core Equity I (ICEIX)	B	Dividend			Sold	12/11/18	K		
84. Mainstay Mackay High Yield Mun Bond Cl I (MMHIX)	A	Dividend	K	T					
85. Oppenheimer Steelpath MLP Inc A (MLPDX)	B	Dividend	K	T					

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86. Delaware Minnesota High Yield Mun Bond Instl C1 (DMHIX)	A	Dividend	K	T					
87. iShares Intl Select Dividend (IDV)	A	Dividend	K	T	Buy (add'l)	12/11/18	K		
88. iShares Edge MSCI Min Vol (USMV)		None	K	T	Buy	12/18/18	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Holdings within this plan are reported in Part VII.

Part VII, line 1: The firm's capital funds are held at Wells Fargo.

Part VII, line 2: Reporting is based upon the filer's pro rata share.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 11, 27-29, 32, 34, 40, 41, 45-48, 51, 54, 56-64, 67, 72, 74, 77, 79-81, 84, 91-95, 98, 103, 104, 106, and 111-114 of the initial report are not reportable in 2018; there are not corresponding reportable transactions during this reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Elizabeth C. Wright

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544