

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wood, Kimba M.

Date of Report

10/16/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
761. CONTRACT CLOSED					Buy	03/28/18	J	B	
762. XSP PUT - CONTRACT OPENED (EXPIRES 4/13/18)		None			Sold	03/13/18	J		
763. CONTRACT CLOSED					Buy	04/11/18	J		
764. XSP PUT - CONTRACT OPENED (EXPIRES 6/15/2018)		None			Sold	03/28/18	J		
765. CONTRACT CLOSED					Buy	06/07/18	J	C	
766. XSP PUT - CONTRACT OPENED (EXPIRES 7/20/2018)		None			Sold	04/11/18	J		
767. CONTRACT CLOSED					Expired	07/20/18	J	D	
768. XSP PUT - CONTRACT OPENED (EXPIRES 5/25/2018)		None			Sold	04/17/18	J		
769. CONTRACT CLOSED					Buy	05/23/18	J	B	
770. XSP PUT - CONTRACT OPENED (EXPIRES 6/1/2018)		None			Sold	04/20/18	J		
771. CONTRACT CLOSED					Buy	05/30/18	J	B	
772. XSP PUT - CONTRACT OPENED (EXPIRES 6/29/2018)		None			Sold	05/15/18	J		
773. CONTRACT CLOSED					Buy	06/14/18	J	B	
774. XSP PUT - CONTRACT OPENED (EXPIRES 6/22/2018)		None			Sold	05/23/18	J		
775. CONTRACT CLOSED					Buy	06/14/18	J	B	
776. XSP PUT - CONTRACT OPENED (EXPIRES 7/13/2018)		None			Sold	06/07/18	J		
777. CONTRACT CLOSED					Expired	07/13/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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778. XSP PUT - CONTRACT OPENED (EXPIRES 7/27/2018)		None			Sold	06/14/18	J		
779. CONTRACT CLOSED					Expired	07/27/18	J	B	
780. XSP PUT - CONTRACT OPENED (EXPIRES 8/17/2018)		None			Sold	06/28/18	J		
781. CONTRACT CLOSED					Buy	08/08/18	J	B	
782. XSP PUT - CONTRACT OPENED (EXPIRES 8/24/2018)		None			Sold	07/11/18	J		
783. CONTRACT CLOSED					Buy	08/22/18	J	B	
784. XSP PUT - CONTRACT OPENED (EXPIRES 8/31/2018)		None			Sold	07/17/18	J		
785. CONTRACT CLOSED					Buy	08/29/18	J	B	
786. XSP PUT - CONTRACT OPENED (EXPIRES 9/21/2018)		None			Sold	07/24/18	J		
787. CONTRACT CLOSED					Buy	09/21/18	J	B	
788. XSP PUT - CONTRACT OPENED (EXPIRES 9/7/2018)		None			Sold	08/01/18	J		
789. CONTRACT CLOSED					Buy	09/06/18	J	B	
790. XSP PUT - CONTRACT OPENED (EXPIRES 9/14/2018)		None			Sold	08/08/18	J		
791. CONTRACT CLOSED					Buy	09/14/18	J	B	
792. XSP PUT - CONTRACT OPENED (EXPIRES 10/19/2018)		None			Sold	08/22/18	J		
793. CONTRACT CLOSED					Buy	10/17/18	J	B	
794. XSP PUT - CONTRACT OPENED (EXPIRES 11/16/2018)		None			Sold	09/06/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
795. CONTRACT CLOSED					Buy	11/14/18	J		
796. XSP PUT - CONTRACT OPENED (EXPIRES 10/26/2018)		None			Sold	09/13/18	J		
797. CONTRACT CLOSED					Buy	10/23/18	J		
798. XSP PUT - CONTRACT OPENED (EXPIRES 11/2/2018)		None			Sold	09/20/18	J		
799. CONTRACT CLOSED					Buy	10/23/18	J		
800. XSP PUT - CONTRACT OPENED (EXPIRES 11/23/2018)		None			Sold	10/04/18	J		
801. CONTRACT CLOSED					Buy	11/20/18	J		
802. XSP PUT - CONTRACT OPENED (EXPIRES 12/21/2018)		None			Sold	10/17/18	J		
803. CONTRACT CLOSED					Buy	12/18/18	J		
804. XSP PUT - CONTRACT OPENED (EXPIRES 12/7/2018)		None			Sold	10/30/18	J		
805. CONTRACT CLOSED					Buy	12/04/18	J	C	
806. XSP PUT - CONTRACT OPENED (EXPIRES 12/28/2018)		None			Sold	11/14/18	J		
807. CONTRACT CLOSED					Buy	12/26/18	K		
808. XSP PUT - CONTRACT OPENED (EXPIRES 12/14/2018)		None			Sold	11/20/18	J		
809. CONTRACT CLOSED					Buy	12/13/18	J	C	
810. XSP PUT - CONTRACT OPENED (EXPIRES 1/18/2019)		None			Sold	10/23/18	J		
811. XSP PUT - CONTRACT OPENED (EXPIRES 1/4/2019)		None			Sold	12/04/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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812. XSP PUT - CONTRACT OPENED (EXPIRES 1/25/2019)		None			Sold	12/13/18	J		
813. XSP PUT - CONTRACT OPENED (EXPIRES 2/15/2019)		None			Sold	12/18/18	J		
814. XSP PUT - CONTRACT OPENED (EXPIRES 2/1/2019)		None			Sold	12/26/18	J		
815. CASH/S/T INVESTS/MM ACCTS (LINES 816-905) (H)									
816. GOLDMN SACHS BANK(FKA GS FINCL) MM/CASH (NOTE 12 SECT VIII)	D	Interest	P1	T					
817. GOLDMN SACHS (FKA GS FINCL) (PUT) MM/CSH (NOTE 12 SECT VIII)	B	Interest	K	T					
818. GOLDMAN SACHS BANK MM/CASH (EQTY) (NOTE 12 SECT VIII)	D	Interest	J	T					
819. GOLDMAN SACHS DEPOSIT/CASH #2 (FDN)	B	Interest	J	T					
820. CHARLES SCHWAB CASH ACCOUNT	A	Interest			Closed	12/21/18	J		
821. CITIBANK CHECKING ACCOUNT - FOUNDATION		None	L	T					
822. CITIBANK INTEREST CHECKING ACCOUNT #1 (FERP)	A	Interest	K	T					
823. CITIBANK CHECKING ACCOUNT (HH)		None	K	T					
824. CITI AGENCY CASH/WESTERN ASSET MM FUND (NOTE 14 SECT VIII)	B	Int./Div.	M	T	Buy (add'l)	01/08/18	N		
825.					Sold (part)	01/08/18	N		
826.					Sold (part)	01/09/18	J		
827.					Buy (add'l)	01/16/18	J		
828.					Buy (add'l)	01/17/18	L		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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829.					Sold (part)	01/17/18	K		
830.					Buy (add'l)	01/31/18	J		
831.					Buy (add'l)	02/01/18	J		
832.					Buy (add'l)	02/07/18	L		
833.					Sold (part)	02/07/18	L		
834.					Sold (part)	02/09/18	J		
835.					Sold (part)	02/14/18	J		
836.					Buy (add'l)	02/15/18	J		
837.					Buy (add'l)	03/01/18	J		
838.					Buy (add'l)	03/08/18	J		
839.					Sold (part)	03/14/18	J		
840.					Buy (add'l)	03/15/18	J		
841.					Buy (add'l)	03/26/18	L		
842.					Sold (part)	03/26/18	L		
843.					Sold (part)	03/27/18	K		
844.					Buy (add'l)	03/30/18	J		
845.					Sold (part)	04/13/18	J		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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846.					Buy (add'l)	04/16/18	J		
847.					Buy (add'l)	04/25/18	J		
848.					Sold (part)	04/25/18	J		
849.					Buy (add'l)	05/01/18	J		
850.					Sold (part)	05/14/18	J		
851.					Buy (add'l)	05/15/18	L		
852.					Sold (part)	05/15/18	L		
853.					Buy (add'l)	06/01/18	J		
854.					Buy (add'l)	06/05/18	J		
855.					Sold (part)	06/05/18	J		
856.					Buy (add'l)	06/11/18	J		
857.					Sold (part)	06/14/18	J		
858.					Buy (add'l)	06/27/18	K		
859.					Sold (part)	06/27/18	K		
860.					Buy (add'l)	06/29/18	J		
861.					Sold (part)	07/13/18	J		
862.					Buy (add'l)	07/16/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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863.					Buy (add'l)	07/25/18	J		
864.					Buy (add'l)	07/30/18	L		
865.					Sold (part)	07/30/18	K		
866.					Buy (add'l)	08/01/18	J		
867.					Sold (part)	08/13/18	J		
868.					Sold (part)	08/14/18	J		
869.					Buy (add'l)	09/04/18	J		
870.					Buy (add'l)	09/05/18	K		
871.					Sold (part)	09/05/18	K		
872.					Buy (add'l)	09/07/18	J		
873.					Sold (part)	09/14/18	J		
874.					Buy (add'l)	09/28/18	J		
875.					Buy (add'l)	10/15/18	J		
876.					Sold (part)	10/15/18	J		
877.					Buy (add'l)	10/24/18	J		
878.					Sold (part)	10/30/18	K		
879.					Buy (add'l)	11/01/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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880.					Buy (add'l)	11/12/18	L		
881.					Sold (part)	11/12/18	L		
882.					Buy (add'l)	11/15/18	J		
883.					Sold (part)	11/15/18	J		
884.					Buy (add'l)	12/03/18	J		
885.					Buy (add'l)	12/07/18	J		
886.					Buy (add'l)	12/10/18	L		
887.					Sold (part)	12/10/18	K		
888.					Buy (add'l)	12/13/18	L		
889.					Sold (part)	12/13/18	K		
890.					Sold (part)	12/14/18	J		
891.					Buy (add'l)	12/20/18	O		
892.					Sold (part)	12/21/18	N		
893.					Sold (part)	12/24/18	M		
894.					Sold (part)	12/28/18	K		
895. CITIBANK ASSET ACCOUNT (ORIGINAL)		None			Closed	05/29/18	L		
896. CITIBANK ASSET ACCOUNT (REPLACEMENT)		None	J	T	Open	02/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
897. CITIBANK MONEY MARKET PLUS	F	Interest	P3	T					
898. CITIBANK INTEREST CHECKING ACCOUNT #2 (KATNH)	A	Interest	J	T					
899. CITIBANK CHECKING ACCOUNT (REV TR)	A	Interest	L	T					
900. UBS BANK USA DEPOSIT ACCOUNT (SEE NOTE 8 IN SECT VIII)		None	J	T					
901. CGMI BANK DEPOSIT PROGRAM (Y)									
902. CITI INVESTOR CASH/MM ACCOUNT (Y)									
903. MORGAN STANLEY BANK/TRUST DEPOSITS #2 (Y)									
904. MORGAN STANLEY BNK/TRST DPSTS #2A (5161) (Y)									
905. MORGAN STANLEY BANK/TRUST DEPOSITS #3 (ROTH IRA)	A	Interest	J	T					
906. FDN BROKERAGE #1 (NOTE 16 IN SECT VIII) (H)									
907. CDK GLOBAL INC (X)		None			Sold	05/14/18	M	G	
908. FDN BRKG ACCT #2 (LINES 909-1050) (NOTE 16 SECT VIII) (H)									
909. MORGAN STANLEY BANK/TRUST #1 (CASH/MM) (Y)									
910. MORGAN STANLEY BANK/TRUST #2 (CASH/MM)	A	Interest	L	T					
911. COMMON STOCKS AND FUNDS (LINES 912-1050) (H)									
912. ADIENT PLC	A	Dividend			Sold	04/04/18	L		
913. AIMMUNE THERAPEUTICS INC COMMON STOCK		None	L	T	Buy	06/11/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
914.					Buy (add'l)	06/12/18	K		
915.					Buy (add'l)	10/11/18	K		
916. ALPHABET INC COMMON STOCK		None	M	T	Buy (add'l)	10/05/18	J		
917. AMAZON COM INC COMMON STOCK		None	M	T	Buy (add'l)	10/05/18	K		
918. AQR LONG SHORT EQ INST FUND	B	Dividend	K	T	Sold (part)	12/07/18	L		
919. AQR STYLE PREMIA ALTERNATIVE FUND	A	Dividend	K	T	Sold (part)	12/07/18	K		
920. ARES CAPITAL CORP FUND (SEE NOTE 24 IN SECT VIII)	B	Dividend	L	T	Sold	06/04/18	M	D	
921.					Buy	12/19/18	L		
922. BLACKROCK LIQ TEMP FUND INST MUTUAL FUND	D	Dividend			Buy	06/11/18	O		
923.					Buy (add'l)	06/25/18	N		
924.					Sold (part)	08/02/18	M		
925.					Sold (part)	08/23/18	M		
926.					Sold (part)	09/28/18	L		
927.					Sold (part)	10/09/18	M		
928.					Sold (part)	10/11/18	M		
929.					Sold (part)	11/16/18	M		
930.					Sold	12/13/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
931. CISCO SYSTEMS INC COMMON STOCK	B	Dividend			Buy (add'l)	02/12/18	K		
932.					Sold	06/21/18	L	D	
933. COMCAST CORP COMMON STOCK	B	Dividend	M	T	Buy (add'l)	10/05/18	K		
934.					Buy (add'l)	10/29/18	J		
935. CORBUS PHARMACEUTICALS HLDGS		None	L	T	Buy (add'l)	06/21/18	K		
936.					Buy (add'l)	10/05/18	K		
937. CROWN CASTLE INTL CORP COMMON STOCK	C	Dividend	L	T	Sold (part)	02/08/18	J	B	
938.					Sold (part)	02/12/18	K	B	
939. DANAHER CORP COMON STOCK	A	Dividend	L	T					
940. DOWDUPONT INC COMMON STOCK	B	Dividend			Buy (add'l)	01/08/18	K		
941.					Buy (add'l)	10/05/18	K		
942.					Sold	10/24/18	M		
943. EV FLOATING RATE I MUTUAL FUND	B	Dividend			Buy	06/22/18	M		
944.					Sold	12/07/18	M		
945. EV SHT DURATION GOVT INC FUND		None	N	T	Buy	12/07/18	L		
946.					Buy (add'l)	12/13/18	M		
947. FACEBOOK INC COMMON STOCK		None	M	T	Buy	07/26/18	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
948.					Buy (add'l)	10/05/18	J		
949.					Buy (add'l)	10/11/18	K		
950.					Buy (add'l)	12/06/18	J		
951. FIRST TRUST NASDAQ-100-TECH	A	Dividend			Buy	02/12/18	K		
952.					Buy (add'l)	03/09/18	K		
953.					Buy (add'l)	07/27/18	J		
954.					Buy (add'l)	10/11/18	K		
955.					Sold	10/24/18	L		
956. FIRST TRUST SENIOR LOAN FUND	B	Dividend	L	T	Sold (part)	02/08/18	J		
957. FRESHPET INC COMMON STOCK		None			Buy (add'l)	03/06/18	J		
958.					Sold (part)	05/03/18	J	D	
959.					Sold (part)	05/07/18	K	D	
960.					Sold (part)	07/26/18	K	D	
961.					Sold (part)	07/30/18	J	D	
962.					Sold (part)	08/02/18	K	D	
963.					Sold (part)	08/03/18	K	D	
964.					Sold	08/06/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
965. GQG PARTNERS EMRG MKTS EQ INS FUND	A	Dividend	M	T	Buy	03/09/18	K		
966.					Buy (add'l)	04/04/18	K		
967.					Sold (part)	06/21/18	J		
968.					Buy (add'l)	08/20/18	L		
969.					Buy (add'l)	10/31/18	J		
970. GREENSKY INC COMMON STOCK		None	L	T	Buy	12/07/18	K		
971.					Buy (add'l)	12/10/18	K		
972.					Buy (add'l)	12/20/18	K		
973.					Buy (add'l)	12/21/18	J		
974. HONEYWELL INTERNATIONAL INC COMMON STOCK	B	Dividend	L	T	Buy (add'l)	10/11/18	J		
975. HP WORLDQUANT - FOUNDERS ESC HDGE FUND		None	M	T	Buy	04/23/18	M		
976. IQVIA HOLDINGS (FKA QUINTILES IMS HOLDINGS) COMMON STOCK		None	M	T	Buy (add'l)	10/29/18	K		
977. ISHARES EDGE MSCI US MOMENTUM FUND	A	Dividend	L	T	Buy	07/27/18	L		
978. ISHARES FACTORSELECT MSCI EM FUND	B	Dividend	L	T	Buy	08/22/18	J		
979.					Buy (add'l)	08/24/18	L		
980.					Buy (add'l)	10/11/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market
3 Value Method Codes (See Column C2)					

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981. ISHARES MSCI EAFE GROWTH ETF(FKA ISHARES MSCI GROWTH INDX)	A	Dividend	K	T	Buy (add'l)	07/27/18	J		
982. ISHARES S&P MIDCAP 400 INDEX FUND	A	Dividend	M	T	Buy	11/27/18	M		
983. JPMORGAN HEDGED EQUITY FUND		None			Sold	02/12/18	L	A	
984. KINDER MORGAN INCORP COMMON STOCK	C	Dividend	M	T	Buy (add'l)	02/12/18	K		
985.					Buy (add'l)	10/29/18	J		
986. LOOMIS SR FLTNG RT & FX INC FUND	C	Dividend			Buy	06/22/18	M		
987.					Sold (part)	12/07/18	K		
988.					Sold	12/19/18	L		
989. OAKMARK INTERNATIONAL MUTUAL FUND	D	Dividend	L	T	Sold (part)	03/09/18	K	C	
990.					Sold (part)	06/21/18	L	C	
991.					Buy (add'l)	07/27/18	J		
992.					Buy (add'l)	10/31/18	J		
993. ONEOK INC COMMON STOCK	C	Dividend	L	T	Distributed (part)	02/14/18	J		
994.					Sold (part)	06/21/18	J	B	
995. PENNANTPARK FLTNG RATE CAP LTD FUND	D	Dividend	L	T					
996. PENNANTPARK INVESTMENT CORP FUND	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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997. PLAINS GP HOLDINGS LP COMMON STOCK		None	L	T	Buy	10/01/18	M		
998.					Buy (add'l)	10/29/18	J		
999.					Distributed (part)	11/14/18	J		
1000. INVESCO INTL BUYBACK ACH (FKA POWERSHARES INTL BUYBACK FUND)	B	Dividend	L	T	Buy (add'l)	07/27/18	J		
1001.					Buy (add'l)	10/11/18	J		
1002. PROSHARES TR S&P 500 DV ARIST FUND	B	Dividend	M	T	Sold (part)	04/19/18	L	D	
1003.					Buy (add'l)	07/27/18	J		
1004.					Buy (add'l)	10/11/18	K		
1005.					Buy (add'l)	10/29/18	K		
1006. RESIDIO TECHNOLOGIES INC COMMON STOCK		None			Spinoff (from line 974)	10/31/18	J		
1007.					Sold	12/21/18	J	A	
1008. SALESFORCE.COM, INC COMMON STOCK		None	L	T	Buy	12/13/18	L		
1009. SOLAR CAPITAL LTD FUND	C	Dividend	L	T	Buy (add'l)	10/29/18	K		
1010. SONIC CORP COMMON STOCK	D	Dividend			Sold (part)	06/05/18	N	G	
1011.					Sold (part)	06/07/18	N	G	
1012.					Sold	06/19/18	N	G	
1013. SPDR S&P MIDCAP 400 ETF TRUST	A	Dividend			Sold (part)	04/19/18	L	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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1014.					Buy (add'l)	10/05/18	L		
1015.					Buy (add'l)	10/11/18	K		
1016.					Sold	11/27/18	M	D	
1017. STARBUCKS CORP COMMON STOCK	A	Dividend			Sold	06/25/18	L		
1018. STARWOOD PROPERTY TRUST INC COMMON STOCK	D	Dividend	L	T					
1019. TERRAFORM PWR INC COMMON STOCK	D	Dividend	L	T	Buy (add'l)	02/08/18	K		
1020.					Buy (add'l)	10/11/18	J		
1021.					Sold (part)	12/13/18	L		
1022. THE FINANCIAL SEL SECT SPDR FUND	B	Dividend			Buy	03/26/18	M		
1023.					Sold (part)	06/21/18	K		
1024.					Sold	10/24/18	L		
1025. THERMO FISHER SCIENTIFIC COMMON STOCK	A	Dividend	M	T					
1026. TORONTO DOM BK COMMON STOCK	A	Dividend			Sold	03/26/18	M	E	
1027. VANGUARD INFO TECH ETF	B	Dividend	L	T	Sold (part)	04/19/18	L	E	
1028.					Buy (add'l)	07/27/18	J		
1029.					Buy (add'l)	10/29/18	K		
1030. VANGUARD MID-CAP ETF	B	Dividend	L	T	Buy (add'l)	10/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1031. VERIZON COMMUNICATIONS COMMON STOCK	D	Dividend	M	T	Buy (add'l)	10/05/18	K		
1032. VIRTUS VONTOBEL MUTUAL FUND (FKA VIRTUS INSIGHT EMRG MKTS)		None			Sold	06/21/18	L	D	
1033. VISA INC COMMON STOCK	A	Dividend	M	T	Buy (add'l)	10/11/18	K		
1034. WALT DISNEY CO HLDG CO COMMON STOCK	B	Dividend	M	T	Buy (add'l)	05/10/18	K		
1035. WELLS FARGO & CO COMMON STOCK	B	Dividend			Sold (part)	03/26/18	K	D	
1036.					Sold	06/21/18	L	E	
1037. WISDOMTREE CBOE S&P 500 ETF	D	Dividend	M	T	Sold (part)	03/09/18	K	A	
1038.					Sold (part)	07/20/18	K	C	
1039.					Buy (add'l)	10/11/18	K		
1040.					Buy (add'l)	10/29/18	K		
1041. WISDOMTREE EUROPE SMALL CAP DIV ETF	C	Dividend	M	T	Sold (part)	03/09/18	K	D	
1042.					Sold (part)	06/21/18	J	A	
1043.					Buy (add'l)	07/27/18	J		
1044.					Buy (add'l)	10/11/18	J		
1045. WISDOMTREE SMALL CAP DIV FUND	C	Dividend	L	T	Buy (add'l)	10/11/18	K		
1046. WISDOMTREE TR CHINA DIV EX FI FUND	A	Dividend	K	T	Buy	08/16/18	K		
1047.					Buy (add'l)	08/22/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1048.					Buy (add'l)	10/11/18	J		
1049. WISDOMTREE TRUST MDCP EARN FUND	B	Dividend	M	T	Sold (part)	06/21/18	J	A	
1050.					Sold (part)	06/22/18	J	A	
1051. NON-PUBLICS (NOTES 5 & 7 IN SECT VIII) (LNES 1052-1197) (H)									
1052. ELGIN CAPITAL (SEE NOTE 1 IN SECT VIII)		None	L	W	Distributed (part)	01/17/18	M		
1053.					Distributed (part)	03/06/18	M		
1054.					Distributed (part)	07/10/18	K		
1055.					Distributed (part)	10/10/18	K		
1056.					Distributed (part)	12/20/18	K		
1057.									
1058. CENTERBRIDGE CREDIT PARTNERS (SEE NOTE 1 IN SECT VIII)	E	Distribution	O	U	Redeemed (part)	10/29/18	O		
1059. TAMPA BAY RAYS (SEE NOTE 1 IN SECT VIII)	G	Distribution	O	W	Buy (add'l)	11/05/18	L		
1060. HARDING SERVICE, LLC (X) - NO YR END VALUE. K-1 SHOWS INC	C	Distribution							
1061. OFFIT CAPITAL ADVISORS (SEE NOTE 1 IN SECTION VIII)	D	Distribution	M	W	Distributed (part)	04/03/18	M		
1062. UNIVERSAL HOSPITAL SERVICES HLDG CO (NOTE 1 IN SECT VIII)		None	N	W					
1063. WHOLESOME FOODS (SEE NOTE 1 IN SECTION VIII)		None	O	W					
1064. GOLUB CAPITAL PARTNERS (SEE NOTE 9 IN SECTION VIII)		None			Redeemed	01/11/18	P1		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1065.					Distributed	02/09/18	K		
1066. GOLUB CAPITAL VIII (SEE NOTE 9 IN SECT VIII)	G	Distribution			Distributed (part)	01/29/18	K		
1067.					Distributed (part)	04/25/18	L		
1068.					Redeemed	07/06/18	P1		
1069.					Distributed	08/02/18	K		
1070. IRVING PLACE CAPITAL II (SEE NOTE 9 IN SECT VIII)		None	L	W					
1071. BLACKSTONE REAL ESTATE VI & VIII (NOTE 9 SECT VIII)	G	Distribution	P1	W	Buy (add'l)	02/22/18	J		
1072.					Distributed (part)	02/26/18	K		
1073.					Distributed (part)	02/27/18	J		
1074.					Buy (add'l)	03/15/18	L		
1075.					Buy (add'l)	03/23/18	J		
1076.					Distributed (part)	03/23/18	L		
1077.					Buy (add'l)	04/27/18	J		
1078.					Buy (add'l)	05/11/18	L		
1079.					Distributed (part)	05/29/18	L		
1080.					Distributed (part)	05/31/18	M		
1081.					Buy (add'l)	06/08/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1082.					Buy (add'l)	06/29/18	J		
1083.					Buy (add'l)	07/16/18	K		
1084.					Buy (add'l)	07/18/18	J		
1085.					Buy (add'l)	08/01/18	L		
1086.					Distributed (part)	08/07/18	L		
1087.					Distributed (part)	08/15/18	J		
1088.					Buy (add'l)	08/22/18	J		
1089.					Distributed (part)	08/30/18	J		
1090.					Distributed (part)	08/31/18	K		
1091.					Buy (add'l)	09/07/18	K		
1092.					Buy (add'l)	09/25/18	J		
1093.					Buy (add'l)	10/02/18	N		
1094.					Buy (add'l)	10/25/18	J		
1095.					Distributed (part)	10/26/18	K		
1096.					Distributed (part)	11/30/18	K		
1097.					Distributed (part)	12/05/18	L		
1098.					Buy (add'l)	12/14/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1099.					Buy (add'l)	12/20/18	K		
1100.					Distributed (part)	12/26/18	K		
1101. BLENHEIM ELGIN NATL RES FUND (NOTE 9 IN SECT VIII)	G	Distribution			Distributed (part)	01/12/18	N		
1102.					Distributed (part)	03/05/18	L		
1103.					Distributed (part)	07/10/18	K		
1104.					Distributed	10/22/18	J		
1105. CENTerview CAPITAL (SEE NOTE 9 IN SECT VIII)	E	Distribution	O	W	Distributed (part)	05/01/18	K		
1106. DGNL VENTURES (SEE NOTE 9 IN SECT VIII)		None	M	W	Buy (add'l)	03/02/18	K		
1107.					Buy (add'l)	09/12/18	K		
1108.					Buy (add'l)	12/28/18	K		
1109. ELGIN CAPITAL PARTNERS II (SEE NOTE 9 IN SECT VIII)	G	Distribution	O	W	Buy (add'l)	02/20/18	L		
1110.					Buy (add'l)	03/23/18	M		
1111.					Buy (add'l)	06/22/18	L		
1112.					Buy (add'l)	08/09/18	L		
1113. FIRST NECK SELF STORAGE LP (SEE NOTE 9 IN SECT VIII)		None	M	W	Buy	02/09/18	K		
1114.					Buy (add'l)	06/22/18	K		
1115.					Buy (add'l)	08/01/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1116.					Buy (add'l)	11/30/18	L		
1117. GEMSPRING CAPITAL (SEE NOTE 9 IN SECTION VIII)	G	Distribution	N	W	Distributed (part)	03/23/18	J		
1118.					Distributed (part)	05/29/18	J		
1119.					Buy (add'l)	10/11/18	N		
1120.					Distributed (part)	10/11/18	K		
1121.					Distributed (part)	11/14/18	L		
1122. HIGHCAPE PARTNERS (SEE NOTE 9 IN SECT VIII)	A	Distribution	P1	W	Buy (add'l)	05/29/18	K		
1123.					Buy (add'l)	09/27/18	L		
1124. KAYNE ANDERSON (SEE NOTE 9 IN SECT VIII)	F	Distribution	N	W	Distributed (part)	05/18/18	M		
1125.					Distributed (part)	07/13/18	K		
1126.					Distributed (part)	08/21/18	J		
1127.					Distributed (part)	10/22/18	J		
1128. KITCHEN FUND (SEE NOTE 9 IN SECT VIII)	B	Distribution	N	W	Buy (add'l)	03/22/18	L		
1129.					Buy (add'l)	09/25/18	L		
1130. VESTAR CAPL PRTNRS/ASSC IV/AIV (SEE NOTE 9 SECT VIII)	D	Distribution	M	W	Distributed (part)	12/19/18	J		
1131.					Distributed (part)	12/24/18	J		
1132. VESTAR CAP/ASSOC V (SEE NOTE 9 IN SECT VIII)		None	O	W	Distributed (part)	01/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1133.					Distributed (part)	04/10/18	J		
1134.					Distributed (part)	07/02/18	J		
1135.					Distributed (part)	09/28/18	J		
1136.					Distributed (part)	12/10/18	J		
1137. VESTAR ASSOCIATES, VI (SEE NOTE 18 IN SECT VIII)	E	Distribution							
1138. RAZOR'S EDGE FUND (SEE NOTE 9 IN SECT VIII)		None	P1	W					
1139. RAZOR'S EDGE FUND II (SEE NOTE 9 IN SECT VIII)	B	Distribution	O	W					
1140. RED ABBEY (SEE NOTE 9 IN SECT VIII)	E	Distribution	J	W	Distributed (part)	10/29/18	J		
1141.					Distributed (part)	12/28/18	L		
1142. SENTINEL CAPITAL IV (SEE NOTE 10 IN SECT VIII)	G	Distribution							
1143. SENTINEL CAPITAL V (SEE NOTE 10 IN SECT VIII)		None							
1144. SENTINEL CAPITAL VI (SEE NOTE 10 IN SECT VIII)	D	Distribution							
1145. ALTIMA DENTAL (SEE NOTE 15 IN SECT VIII)		None	M	W					
1146. CABI HOLDING (SEE NOTE 15 IN SECT VIII)		None	O	W	Distributed (part)	01/25/18	J		
1147.					Distributed (part)	09/27/18	K		
1148. CAPTAIN D'S (SEE NOTE 15 IN SECT VIII)		None	O	W	Buy	01/25/18	O		
1149. COLSON GROUP HOLDINGS (SEE NOTE 15 IN SECTION VIII)		None	N	W	Distributed (part)	04/20/18	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1150. CORPORATE VISIONS (SEE NOTE 15 IN SECT VIII)		None	M	W					
1151. CREDIT INFONET HOLDINGS (SEE NOTE 15 IN SECT VIII)		None	N	W					
1152. CRITICAL SOLUTIONS (SEE NOTE 15 IN SECTION VIII)		None	K	W	Distributed (part)	04/20/18	J		
1153.					Distributed (part)	09/28/18	J		
1154. DRIVEN PERFORMANCE BRANDS (SEE NOTE 15 IN SECT VIII)		None	O	W					
1155. FAZOLI GROUP (SEE NOTE 15 IN SECT VIII)		None	M	W					
1156. GSM OUTDOOR (SEE NOTE 15 IN SECT VIII)		None	O	W	Buy	06/06/18	O		
1157. HOLLANDER SLEEP PRODUCTS (SEE NOTE 15 IN SECT VIII)		None	L	W					
1158. HUDDLE HOUSE (SEE NOTE 15 IN SECT VIII)		None			Sold	02/01/18	N	G	ELYSIUM MGMT LLC
1159.					Distributed	09/28/18	J	C	
1160. LUMINARIES GROUP (SEE NOTE 15 IN SECT VIII)		None	N	W					
1161. MARKETPLACE EVENTS (SEE NOTE 15 IN SECT VIII)		None	N	W	Distributed (part)	01/25/18	J		
1162.					Distributed (part)	09/27/18	J		
1163. MB2 DENTAL (SEE NOTE 15 IN SECT VIII)		None	O	W					
1164. NEKOOSA (SEE NOTE 15 IN SECT VIII)		None	O	W	Buy	01/25/18	O		
1165. NEWK'S HOLDINGS (SEE NOTE 15 IN SECT VIII)		None	N	W					
1166. PCH HOLDINGS (SEE NOTES 11 & 15 IN SECT VIII)		None			Distributed (part)	01/25/18	L	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1167.					Distributed	06/06/18	L	F	
1168. QUICK WEIGHT LOSS CENTERS (SEE NOTE 15 IN SECT VIII)		None	J	W					
1169. REVENUE INTERNATIONAL (SEE NOTE 15 IN SECT VIII)		None	N	W					
1170. ROTOMETRICS (SEE NOTE 15 IN SECT VIII)		None			Sold	06/06/18	P1	H1	BERWIND CORP
1171.					Distributed	09/27/18	K	E	
1172. SONNY'S ENTERPRISES (SEE NOTE 15 IN SECT VIII)		None	O	W	Distributed (part)	01/25/18	L		
1173.					Distributed (part)	09/27/18	K		
1174. TGI FRIDAYS (SEE NOTE 15 IN SECT VIII)		None	O	W	Sold (part)	01/25/18	K		
1175.					Distributed (part)	02/01/18	K		
1176.					Distributed (part)	04/20/18	K		
1177. TOTAL MILITARY MANAGEMENT (SEE NOTE 15 IN SECT VIII)		None	O	W					
1178. UBEQ (SEE NOTE 15 IN SECT VIII)		None	N	W	Buy	06/06/18	N		
1179. WELLSPRING PHARMACEUTICALS (SEE NOTE 15 IN SECTION VIII)		None	J	W	Distributed (part)	04/20/18	K	E	
1180.					Distributed (part)	09/28/18	K	E	
1181. SCP SHARE EXCHANGE CO (Y)									
1182. QUINTANA ENERGY PARTNERS (SEE NOTE 9 IN SECT VIII)	E	Distribution	N	W					
1183. TINICUM LP (SEE NOTE 9 IN SECT VIII)		None	P1	W	Buy (add'l)	03/13/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1184.					Buy (add'l)	06/22/18	M		
1185.					Distributed (part)	08/29/18	K		
1186.					Buy (add'l)	08/29/18	L		
1187. 3G KRAFT HEINZ (NOTE 9 IN SECT VIII)	G	Distribution	P1	W	Distributed (part)	03/29/18	K		
1188.					Distributed (part)	06/18/18	K		
1189.					Distributed (part)	09/18/18	K		
1190.					Distributed (part)	12/18/18	K		
1191. 3G FUND IV (SEE NOTE 9 IN SECT VIII)	F	Distribution	P1	W	Distributed (part)	03/29/18	K		
1192.					Distributed (part)	06/18/18	K		
1193.					Distributed (part)	09/17/18	K		
1194.					Distributed (part)	12/17/18	K		
1195. VALINOR LP (SEE NOTE 9 IN SECT VIII)		None	O	W	Buy (add'l)	02/07/18	K		
1196.					Distributed (part)	02/26/18	K		
1197.					Buy (add'l)	09/05/18	M		
1198. OTHER INVESTMENTS/NOTES RECEIVABLES (LINES 1199-1244) (H)									
1199. AMBULNZ LLC		None	O	W					
1200. ATLANTA VININGS (SEE NOTE 1 IN SECT VIII)		None	O	W	Distributed (part)	01/12/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1201.					Distributed (part)	02/15/18	J		
1202.					Distributed (part)	03/15/18	J		
1203.					Distributed (part)	04/13/18	J		
1204.					Distributed (part)	05/15/18	J		
1205.					Distributed (part)	06/15/18	J		
1206.					Distributed (part)	07/13/18	J		
1207.					Distributed (part)	08/15/18	J		
1208.					Distributed (part)	09/14/18	J		
1209.					Distributed (part)	10/12/18	J		
1210.					Distributed (part)	11/15/18	J		
1211.					Distributed (part)	12/14/18	J		
1212. BLUE LOTUS INVESTMENT FUND (SEE NOTE 1 IN SECT VIII)	D	Distribution	O	U					
1213. CEDAR ROCK CAPITAL PARTNERS (SEE NOTE 1 IN SECT VIII)	G	Distribution	P2	U					
1214. CHEETAH MEDICAL DIRECT		None	M	U					
1215. GOLD BULLION		None	N	T					
1216. F. E. RICHARDSON & CO (SEE NOTES 1 & 13 IN SECT VIII)		None	K	V	Buy (add'l)	01/12/18	K		
1217.					Buy (add'l)	02/02/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1218.					Buy (add'l)	02/16/18	K		
1219.					Buy (add'l)	03/09/18	K		
1220.					Buy (add'l)	03/28/18	K		
1221.					Buy (add'l)	04/19/18	K		
1222.					Buy (add'l)	05/04/18	K		
1223.					Buy (add'l)	06/01/18	M		
1224.					Buy (add'l)	06/08/18	L		
1225.					Buy (add'l)	06/29/18	K		
1226.					Buy (add'l)	07/18/18	K		
1227.					Buy (add'l)	08/08/18	K		
1228.					Buy (add'l)	08/29/18	K		
1229.					Buy (add'l)	09/21/18	K		
1230.					Buy (add'l)	10/11/18	K		
1231.					Buy (add'l)	11/02/18	K		
1232.					Buy (add'l)	11/21/18	K		
1233.					Buy (add'l)	12/14/18	K		
1234. HITCHWOOD CAPITAL (SEE NOTE 1 IN SECT VIII)	A	Interest			Distributed	03/13/18	L	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1235. ORBIMED (SEE NOTE 1 IN SECT VIII)	E	Distribution	K	U	Distributed (part)	07/18/18	O		
1236. OVERLOOK PARTNERS (SEE NOTE 1 IN SECT VIII)	G	Distribution	P1	U					
1237. OVERLOOK 3G PARTNERS (SEE NOTE 1 IN SECT VIII)	E	Distribution	N	U					
1238. COUNTER TACK, INC (AKA RE 6)(SEE NOTE 1 IN SECT VIII)	A	Distribution	M	U	Buy	05/29/18	L		
1239.					Buy (add'l)	12/14/18	K		
1240. RYFT (AKA RE SIDECAR 2) (SEE NOTE 1 IN SECT VIII)		None	O	U					
1241. ZOOM DATA DRCT (AKA RE SIDECAR 5) (NOTE 1 SECT VIII)		None	K	U					
1242. ELIZABETH REILLY, PERSONAL LOAN - NOTE RECEIVABLE		None	K	U					
1243. SARA LAJOIE, PERSONAL LOAN - NOTE RECEIVABLE	A	Interest	K	U	Redeemed (part)	12/21/18	J		
1244. JAMES MCNAMARA, PERSONAL LOAN - NOTE RECEIVABLE		None	K	U	Redeemed (part)	05/25/18	J		
1245. TRUST #1 (LINES 1246-1261) (SEE NOTE 2 IN SECT VIII) (H)									
1246. ---GOLDMAN SACHS BANK DEPOSIT/ CASH #4	A	Interest	K	T					
1247. ---ABB-VIE INC. COMMON STOCK	D	Dividend	M	T					
1248. ---AMAZON.COM INC COMMON STOCK		None	M	T					
1249. ---BLACKROCK INC COMMON STOCK	C	Dividend	M	T					
1250. ---CHUBB LTD COMMON	B	Dividend	M	T					
1251. ---FACEBOOK INC COMMON STOCK		None	M	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1252. ---JOHNSON & JOHNSON COMMON STOCK	B	Dividend	L	T					
1253. ---JPMORGAN CHASE & CO COMMON STOCK	C	Dividend			Sold	09/10/18	M	D	
1254. ---MASTERCARD INC COMMON STOCK	B	Dividend	M	T					
1255. ---NORTHROP GRUMMAN CORP COMMON STOCK	B	Dividend	M	T	Buy	05/08/18	M		
1256.					Buy (add'l)	06/07/18	K		
1257.					Buy (add'l)	07/25/18	L		
1258.					Sold (part)	12/10/18	K		
1259. ---SONIC CORP COMMON STOCK	B	Dividend			Sold	06/07/18	L	E	
1260. ---STARBUCKS CORP COMMON STOCK	A	Dividend			Sold	05/04/18	M	B	
1261. ---UNITEDHEALTH GROUP INC COMMON STOCK	A	Dividend	M	T	Buy	09/10/18	M		
1262. TRUST #1A (LINES 1263-1268) (NOTES 1, 2 & 7 SECT VIII) (H)									
1263. ---GOLDMAN SACHS BANK DEPOSITS/ CASH #4A		None			Closed	03/18/18	J		
1264. ---GOLDMAN SACHS BANK DEPOSITS/ CASH #4B	A	Interest	J	T					
1265. ---WILLIAMS COMPANIES (FKA WILLIAMS PARTNERS)	B	Distribution	J	T					
1266. ---ENTERPRISE PRODUCTS PARTNERS LP	B	Distribution	K	T					
1267. ---MAGELLAN MIDSTREAM PARTNERS LP	C	Distribution	K	T					
1268. ---TARGA RESOURCES CORP COMMON	B	Dividend	K	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1269. TRUST #1B (LINES 1270-1359) (NOTES 2 & 5 IN SECT VIII) (H)									
1270. ---CITI TRUST CHECKING ACCOUNT		None	J	T					
1271. ---FARALLON CAPITAL (SEE NOTE 9 IN SECT VIII)		None	N	W	Distributed (part)	02/20/18	L		
1272.					Distributed (part)	05/10/18	L		
1273.					Distributed (part)	08/14/18	J		
1274.					Distributed (part)	11/08/18	J		
1275. ---OSCAR SCHAFER & PARTNERS (SEE NOTE 9 IN SECT VIII)		None			Distributed	01/09/18	K		
1276. ---VESTAR III (SEE NOTE 9 IN SECT VIII)	A	Distribution	K	W					
1277. ---VANGUARD PRIME MM FUND	A	Dividend			Buy (add'l)	02/09/18	K		
1278.					Buy (add'l)	03/26/18	L		
1279.					Sold (part)	05/09/18	L		
1280.					Buy (add'l)	06/12/18	L		
1281.					Sold (part)	06/19/18	L		
1282.					Buy (add'l)	09/11/18	J		
1283.					Sold (part)	09/27/18	J		
1284.					Buy (add'l)	12/18/18	J		
1285.					Sold	12/21/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1286. ---INTERNATIONAL GROWTH ADM FUND		None			Buy	01/26/18	N		
1287.					Sold (part)	06/01/18	K		
1288.					Sold	10/11/18	M		
1289. ---VANGUARD FEDERAL MONEY MARKET FUND	B	Dividend	N	T	Buy (add'l)	01/25/18	N		
1290.					Sold (part)	01/26/18	N		
1291.					Buy (add'l)	02/09/18	L		
1292.					Buy (add'l)	03/09/18	J		
1293.					Buy (add'l)	03/21/18	J		
1294.					Buy (add'l)	03/29/18	J		
1295.					Buy (add'l)	05/10/18	L		
1296.					Buy (add'l)	05/11/18	K		
1297.					Sold (part)	05/30/18	M		
1298.					Buy (add'l)	06/04/18	L		
1299.					Sold (part)	06/06/18	K		
1300.					Buy (add'l)	06/08/18	J		
1301.					Buy (add'l)	06/13/18	K		
1302.					Buy (add'l)	06/20/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1303.					Buy (add'l)	07/03/18	J		
1304.					Buy (add'l)	07/24/18	K		
1305.					Sold (part)	07/26/18	M		
1306.					Sold (part)	07/27/18	K		
1307.					Sold (part)	08/16/18	K		
1308.					Buy (add'l)	08/17/18	K		
1309.					Buy (add'l)	08/27/18	K		
1310.					Sold (part)	09/19/18	K		
1311.					Buy (add'l)	09/27/18	J		
1312.					Buy (add'l)	09/28/18	J		
1313.					Buy (add'l)	10/01/18	J		
1314.					Sold (part)	10/10/18	K		
1315.					Buy (add'l)	10/12/18	M		
1316.					Sold (part)	11/09/18	K		
1317.					Buy (add'l)	12/07/18	J		
1318.					Buy (add'l)	12/11/18	K		
1319.					Buy (add'l)	12/12/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1320.					Sold (part)	12/18/18	M		
1321.					Buy (add'l)	12/20/18	J		
1322.					Buy (add'l)	12/24/18	J		
1323.					Buy (add'l)	12/26/18	N		
1324. ---ABBVIE INC COMMON STOCK	A	Dividend			Sold	02/07/18	L	E	
1325. ---ALPHABET INC COMMON STOCK		None	M	T	Sold (part)	05/31/18	K	C	
1326.					Sold (part)	08/23/18	K	D	
1327. ---AMAZON.COM INC COMMON STOCK		None	M	T					
1328. ---BERKSHIRE HATHAWAY INC CL B COMMON STOCK		None	M	T					
1329. ---CENTENE CORP COMMON STOCK		None			Buy	07/24/18	M		
1330.					Buy (add'l)	12/14/18	L		
1331.					Sold	12/21/18	M		
1332. ---CHUBB LTD COMMON STOCK	B	Dividend	L	T	Sold (part)	05/09/18	K		
1333. ---DOMINOS PIZZA INC COMMON STOCK	B	Dividend	M	T	Buy (add'l)	07/25/18	K		
1334. ---DXC TECHNOLOGY COMPANY COMMON STOCK	A	Dividend			Buy	05/25/18	M		
1335.					Buy (add'l)	06/04/18	K		
1336.					Buy (add'l)	10/05/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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1337.					Buy (add'l)	11/07/18	K		
1338.					Sold (part)	12/10/18	K		
1339.					Sold	12/21/18	M		
1340. ---GARRETT MOTION INC COMMON STOCK		None			Spinoff (from line 1343)	10/01/18	J		
1341.					Sold	12/10/18	J		
1342. ---HCA HEALTHCARE INC COMMON STOCK		None	L	T	Buy	12/14/18	M		
1343. ---HONEYWELL INTL INC COMMON STOCK	C	Dividend	M	T	Sold (part)	07/20/18	K	D	
1344. ---ISHARES CORE MSCI EAFE ETF		None			Sold	01/23/18	N	E	
1345. ---JOHNSON & JOHNSON COMMON STOCK	B	Dividend	L	T	Buy (add'l)	01/23/18	K		
1346.					Buy (add'l)	05/09/18	K		
1347.					Sold (part)	06/11/18	K		
1348. ---MASTERCARD INC COMMON STOCK	A	Dividend	K	T	Buy	08/14/18	K		
1349.					Buy (add'l)	09/17/18	K		
1350.					Sold (part)	12/21/18	K		
1351. ---PERSPECTA INC COMMON STOCK	A	Dividend			Spinoff (from line 1334)	06/01/18	K		
1352.					Sold	08/15/18	K		
1353. ---PRIME CAP ODYSSEY AGGRESSIVE GROWTH MUTUAL FUND	A	Dividend	N	T	Buy (add'l)	07/25/18	K		

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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1354.					Sold (part)	12/10/18	K		
1355. ---RESIDEO TECHNOLOGIES INC COMMON STOCK					Spinoff (from line 1343)	10/29/18	J		
1356.					Sold	12/10/18	J	A	
1357. ---VANGUARD CONSUMER DISCRETIONARY ETF	B	Dividend	M	T					
1358. ---VANGUARD DIVIDEND APPRECIATION FUND	D	Dividend	N	T	Sold (part)	05/09/18	K	D	
1359. ---VANGUARD INFORMATION TECHNOLOGY ETF	C	Dividend	N	T					
1360. TRUST #2 (LINES 1361-1372) (SEE NOTE 19 IN SECT VIII) (H)									
1361. ---TD AMERITRADE INSURED DEPOSIT/CASH ACCOUNT	A	Interest	L	T					
1362. ---PRIMECAP ODYSSEY GR FD	B	Dividend	L	T	Buy (add'l)	12/18/18	J		
1363. ---ISHARES CORE S&P MID-CAP ETF	A	Dividend	K	T					
1364. ---ISHARES NATL MUNI BND ETF	A	Dividend	K	T	Buy (add'l)	08/31/18	J		
1365. ---ISHARES S&P SML 600 GWT ETF	A	Dividend			Buy	09/17/18	K		
1366.					Sold	12/10/18	J		
1367. ---PIMCO ENHAN SHRT MA AC ETF	A	Dividend			Buy	02/13/18	K		
1368.					Sold	08/02/18	K		
1369. ---SPDR SERIES TRUST BLOOMBERG SRT TR ETF	A	Dividend			Buy	08/02/18	K		
1370.					Sold	12/10/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1371. ---VANGUARD CRSP US SM CAP GROWTH IND ETF		None			Sold	02/13/18	K	D	
1372. ---VANGUARD DIVIDEND APPRECIATION ETF	B	Dividend	L	T	Sold (part)	09/17/18	J	C	
1373. TRUST #3 (LINES 1374-1413) (SEE NOTE 19 IN SECT VIII) (H)									
1374. ---TD AMERITRADE CASH ACCOUNT	A	Interest	L	T					
1375. ---ADOBE INC COMMON STOCK					Buy	12/14/18	K		
1376.					Sold	12/21/18	K		
1377. ---AMAZON.COM INC COMMON STOCK		None	K	T	Sold (part)	08/02/18	J	D	
1378. ---AMGEN INC COMMON STOCK	A	Dividend	K	T	Buy (add'l)	05/04/18	J		
1379.					Sold (part)	06/11/18	J	A	
1380.					Sold (part)	07/06/18	J	A	
1381. ---APPLE INC COMMON STOCK	A	Dividend	K	T	Sold (part)	05/09/18	J	B	
1382. ---CENTENE CORP		None			Buy	12/14/18	K		
1383.					Sold	12/21/18	K		
1384. ---CHUBB CORP COMMON STOCK	A	Dividend			Sold (part)	04/30/18	J		
1385.					Sold (part)	05/04/18	J	A	
1386.					Sold	10/05/18	J	C	
1387. ---CARILLON EAGLE SM CAP MUTL FUND	B	Dividend	J	T	Buy (add'l)	12/24/18	J		

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2 Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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1388. ---EOG RESOURCES INC COMMON STOCK	A	Dividend	J	T	Sold (part)	07/27/18	J	A	
1389. ---FIRST TRUST DJ INTERNET COMMON STOCK		None	K	T					
1390. ---ALPHABET INC COMMON STOCK		None	K	T	Sold (part)	05/04/18	J	B	
1391. ---HOME DEPOT INC COMMON STOCK	A	Dividend	K	T	Sold (part)	05/09/18	J	C	
1392.					Sold (part)	08/31/18	J	B	
1393. ---INTUITIVE SURGICAL INC COMMON STOCK		None			Buy	10/05/18	K		
1394.					Sold	11/20/18	K		
1395. ---ISHARES CORE MSCI EAFE ETF	A	Dividend			Sold	07/06/18	J	A	
1396. ---ISHARES CORE S&P SMALL-CAP ETF		None			Sold	02/13/18	J		
1397. ---ISHARES CORE US AGGREGATE BOND ETF	A	Dividend			Sold	08/02/18	K		
1398. ---ISHARES TIPS BOND ETF	A	Dividend			Sold	10/01/18	K		
1399. ---ISHARES S&P MID-CAP ETF	A	Dividend	K	T					
1400. ---ISHARES NATL MUNI BND FUND	A	Dividend	K	T	Buy (add'l)	05/09/18	K		
1401. ---ISHARES US MED DVC ETF	A	Dividend	K	T	Buy	11/20/18	K		
1402. ---ISHARES 0-5 YRS TIPS ETF	A	Dividend	K	T	Buy	10/01/18	K		
1403. ---JP MORGAN CHASE & CO COMMON STOCK	A	Dividend	J	T					
1404. ---MASTERCARD INC COMMON STOCK	A	Dividend			Buy	09/17/18	K		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1405.					Sold	11/20/18	K		
1406. ---MICROSOFT CORP COMMON STOCK	A	Dividend	K	T	Buy (add'l)	11/20/18	J		
1407. ---PEPSICO INC COMMON STOCK	A	Dividend			Sold	05/04/18	J	A	
1408. ---SPDR SERIES TRUST BLOOMBERG SRT TR ETF	A	Dividend			Buy	08/02/18	K		
1409.					Sold	12/21/18	K		
1410. ---3M COMPANY COMMON STOCK	A	Dividend	K	T	Buy (add'l)	05/04/18	J		
1411.					Sold (part)	06/11/18	J		
1412. ---UNITEDHEALTH GROUP INC COMMON STOCK	A	Dividend	K	T					
1413. ---VISA INC COMMON STOCK		None	K	T	Buy	11/20/18	K		
1414. TRUST #4 (SEE NOTES 2, 5 & 7 IN SECT VIII) (H)									
1415. ---PARK AVENUE EQUITY LP (SEE NOTES 6 & 9 IN SECT VIII)	B	Distribution	J	V					
1416. TRUST #5 (NOTES 2, 5 & 7 IN SECT VIII) (LINES 1417-1453) (H)									
1417. ---VANGUARD PRIME MONEY MKT FUND ACCT #2		None			Sold	01/02/18	K		
1418. ---HIGH-YIELD CORP FUND INV	A	Dividend			Buy	02/12/18	K		
1419.					Sold	03/08/18	K		
1420. ---STAR FUND		None			Sold	03/14/18	O	G	
1421. ---ULTRA SHORT TERM BOND ADM	B	Dividend	L	T	Buy	02/15/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1422. ---VANGUARD FEDERAL MONEY MARKET FUND	B	Dividend	M	T	Buy (add'l)	01/02/18	K		
1423.					Buy (add'l)	02/12/18	L		
1424.					Sold (part)	02/12/18	K		
1425.					Sold (part)	02/15/18	L		
1426.					Buy (add'l)	02/16/18	J		
1427.					Buy (add'l)	03/09/18	K		
1428.					Buy (add'l)	03/12/18	K		
1429.					Buy (add'l)	03/15/18	O		
1430.					Buy (add'l)	03/16/18	L		
1431.					Buy (add'l)	03/19/18	L		
1432.					Sold (part)	03/23/18	M		
1433.					Sold (part)	04/24/18	K		
1434.					Sold (part)	04/26/18	O		
1435.					Sold (part)	05/17/18	K		
1436.					Sold (part)	09/04/18	J		
1437.					Sold (part)	10/01/18	K		
1438.					Buy (add'l)	12/11/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1439.					Buy (add'l)	12/26/18	L		
1440. ---NEXTERA ENERGY INC COMMON STOCK	A	Dividend	K	T	Buy	09/27/18	K		
1441. ---PARK AVENUE EQUITY LP (SEE NOTES 6 & 9 IN SECT VIII)	B	Distribution	J	V					
1442. ---PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	A	Dividend			Buy	03/08/18	K		
1443.					Sold	08/30/18	K	A	
1444. ---PRIME CAP ODYSSEY GROWTH		None			Buy	05/16/18	K		
1445.					Sold	12/10/18	K		
1446. ---SONIC CORP COMMON STOCK	B	Dividend			Sold (part)	03/14/18	L	F	
1447.					Sold	03/15/18	L	E	
1448. ---SPDR BLOOMBERG BARCLAYS S/T HIGH YIELD BOND ETF	A	Dividend			Buy	08/30/18	K		
1449.					Sold	12/21/18	K		
1450. ---VANGUARD DIVIDEND APPRECIATION ETF	B	Dividend	M	T					
1451. ---VANGUARD TOTAL BOND MARKET ETF	A	Dividend			Sold	02/08/18	L		
1452. --- VANGUARD TOTAL STOCK MARKET ETF	B	Dividend	L	T	Sold (part)	03/08/18	L	D	
1453.					Sold (part)	12/21/18	K		
1454. TRUST #6 (NOTES 2, 5 & 7 IN SECT VIII)(LINES 1455-1514) (H)									
1455. ---VANGUARD PRIME MONEY MKT FUND ACCT #3	A	Dividend	K	T	Sold (part)	03/05/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1456.					Buy (add'l)	06/15/18	J		
1457.					Buy (add'l)	12/10/18	M		
1458.					Sold (part)	12/21/18	M		
1459.					Buy (add'l)	12/28/18	K		
1460. ---STAR FUND	E	Dividend	N	T					
1461. ---ULTRASHORTTERM BOND ADM (SEE NOTE 23 IN SECT VIII)	A	Dividend			Sold	03/05/18	L		
1462. ---US GROWTH FUND ADMIRAL FUND		None			Buy	09/10/18	M		
1463.					Sold	12/10/18	M		
1464. ---VANGUARD FEDL MONEY MKT FUND ACCT #3	B	Dividend	N	T	Buy (add'l)	02/16/18	J		
1465.					Buy (add'l)	02/20/18	L		
1466.					Buy (add'l)	03/06/18	L		
1467.					Sold (part)	03/07/18	L		
1468.					Sold (part)	03/09/18	K		
1469.					Buy (add'l)	05/18/18	J		
1470.					Buy (add'l)	06/11/18	M		
1471.					Sold (part)	09/10/18	M		
1472.					Sold (part)	09/12/18	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1473.					Buy (add'l)	12/24/18	M		
1474.					Buy (add'l)	12/26/18	M		
1475. ---PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	B	Dividend	L	T	Buy	03/05/18	K		
1476.					Buy (add'l)	03/07/18	K		
1477.					Sold (part)	09/10/18	J	A	
1478. ---SPDR BLOOMBERG BARCLAYS S/T HIGH YIELD BOND ETF	B	Dividend			Buy	09/10/18	L		
1479.					Sold	12/21/18	L		
1480. ---VANGUARD DIVIDEND APPRECIATION ETF	C	Dividend	M	T	Buy (add'l)	09/10/18	K		
1481.					Sold (part)	12/21/18	K		
1482. ----VANGUARD TOTAL BOND MARKET ETF		None			Sold	02/15/18	L		
1483. ---VANGUARD TOTAL STOCK MARKET ETF	B	Dividend	L	T	Buy (add'l)	03/05/18	K		
1484.					Buy (add'l)	09/10/18	J		
1485.					Sold (part)	12/21/18	K		
1486. ---PARK AVENUE EQUITY LP (SEE NOTES 6 & 9 IN SECT VIII)	B	Distribution	J	V					
1487. ---SONIC CORP COMMON STOCK	B	Dividend			Sold	06/07/18	M	G	
1488. ---VANGUARD PRIME MONEY MKT FUND ACCT #3A	A	Dividend			Buy (add'l)	10/11/18	K		
1489.					Sold	10/30/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1490. ---VANGUARD FEDL MONEY MKT FUND ACCT #3A	A	Dividend	L	T	Buy (add'l)	02/05/18	L		
1491.					Sold (part)	02/06/18	K		
1492.					Sold (part)	03/05/18	K		
1493.					Sold (part)	03/07/18	K		
1494.					Buy (add'l)	07/17/18	J		
1495.					Sold (part)	09/13/18	J		
1496.					Buy (add'l)	10/10/18	J		
1497.					Buy (add'l)	10/31/18	K		
1498.					Buy (add'l)	12/26/18	K		
1499. ---ADOBE SYSTEMS INC COMMON STOCK		None			Buy	10/05/18	K		
1500.					Sold	12/21/18	K		
1501. ---INTERNATIONAL GROWTH INV FUND		None			Buy	03/05/18	K		
1502.					Sold	10/11/18	K		
1503. ---INTUITIVE SURGICAL INC COMMON STOCK		None			Buy	10/05/18	K		
1504.					Sold	10/29/18	K		
1505. ---ISHARES US MEDICAL DEVICES ETF	A	Dividend	K	T	Buy	10/29/18	K		
1506. ---LIVE NATION ENTERTAINMENT INC		None	J	T	Sold (part)	07/13/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1507. ---MASTERCARD INC CL A COMMON STOCK	A	Dividend	K	T	Buy	02/02/18	K		
1508. ---PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	A	Dividend	K	T	Buy	03/05/18	K		
1509. ---PRIME CAP ODYSSEY GROWTH	A	Dividend	M	T					
1510. ---UNITEDHEALTH GROUP INC COMMON STOCK	A	Dividend	K	T	Buy	09/11/18	K		
1511. ---VANGUARD DIVIDEND APPRECIATION ETF	B	Dividend	K	T	Sold (part)	03/05/18	J	B	
1512.					Sold (part)	09/11/18	K	D	
1513.					Sold (part)	10/05/18	L	D	
1514. ---VANGUARD INTERMEDIATE TERM CORP BOND ETF		None			Sold	02/01/18	L		
1515. TRUST #7 (SEE NOTE 4 IN SECT VIII) (H)									
1516. ---CITIBANK CHECKING ACCOUNT		None	J	T					
1517.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ALL FOOTNOTES RELATE TO SECTION VII

NOTE 1: If a 2018 K-1 is issued for this entity, it is a non-traded entity, and there is an investment remaining at year-end, all activity (interest, dividends, capital gains, ordinary income, etc.) was netted together, and, if that net figure was larger than the required income threshold, it was included in Column B.(1) with Column B.(2) noting "Distribution." If the K-1 activity netted to a loss, then Column B.(1) was left blank and "None" was included in Column B.(2). If the entity (non traded) received distributions during the year, those amounts are included as transactions in Column D. regardless of K-1 amounts included in Column B.

If the interest was either sold or fully withdrawn from during the reporting year, the capital gain amount is included in Column D along with other transaction details. The remaining K-1 figures were netted together, and if larger than the required income threshold, included in Column B.(1) with Column B.(2) noting "Distribution."

Both of the above approaches were obtained from Mr. George Reynolds, former Financial Disclosure Report Examiner.

If a 2018 K-1 is issued for this investment, and it is traded, all K-1 information is summed and if it meets the required income threshold, reported in Column B. (1) with Column B.(2) noting "Distribution." If the K-1 netted to a loss, then "NONE" is included in Column B.(2). If there was a book capital gain on the sale of the traded security, it is included in Column D.(4). If the traded partnership made distributions throughout the year, the sum total of all the distributions was added to the K-1 total to arrive at the amount reported in Column B.(1).

NOTE 2: Reporting person's [REDACTED] is either the Trustee or the Investment Trustee of this Trust and the Trust was formed by the reporting person and/or her [REDACTED]. Therefore, its assets are deemed reportable.

NOTE 3: For the contracts that were opened (sold short), any related gains that occur will be included on the FDR when the options either expire or require action. When closing a contract requires the purchase of shares, the transaction may produce a gain if the amount received when the option was sold is more than the amount required to purchase the shares at the closing of the contract. There is no year end value reported because the contracts had no value at the end of 2018. Please note that if a contract is opened and closed in the same year, the two transactions are included on successive lines and refer to the same contract.

NOTE 4: The trust holds two term life insurance policies and a non-interest bearing checking account. The term life policies are not reportable because they are not investment assets and they have no value. Sue Issa, Financial Disclosure Report Examiner, confirmed that the term life insurance policies are not reportable. The trust and the checking account are included on this report as Trust #7.

NOTE 5: There are many investments in non-public companies. The estimated market values used for all such investments, unless otherwise noted, are the amounts shown either on the 12/31/2018 valuation from the fund or on the family's books as of 12/31/2018. The reporting family's book amounts are based upon the current knowledge of the worth of such companies, available company issued reports and the cost of the initial investment, less distributions plus additional investments, if no updated value is available.

NOTE 6: Either the Ending Capital Account value from the entity's 2018 K-1, or an estimate of the same, was used to determine the year-end value code for Column C.(1).

NOTE 7: For those entities which issued K-1s, if the K-1 netted to a loss but there was another source of income from the entity, the source of the other income and the related income are included. If there was K-1 income and an additional source of income, both amounts were summed to determine the code for Columns B.(1) and "Distribution" is noted in Column B.(2).

NOTE 8: Neither the income nor the cash balance at year end met the threshold for reporting. However, since the cash account is associated with either a reportable investment or financial institution that is listed separately on this report, the account is deemed reportable.

NOTE 9: There is a limited partnership interest in this entity. The Financial Disclosure Report instructions no longer offer specific guidance on this type of investment. Per my discussion with an examiner in the Financial Disclosure Office on March 22, 2019, this partnership should be presented the same way as it has been in the past. Since neither the filer nor her [REDACTED] can direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity, the assets held by the partnership are not reportable (in accordance with previous Financial Disclosure Instructions).

If the partnership had a capital call for an investment in a specific company, either "Buy" or "Buy (add'l)" is noted. The name of the underlying company is not listed. Further, if the partnership distributed funds for any reason (sale of underlying asset, return of capital, current income, etc), "Distributed (part)" is listed in Column D.(1).

The entity's K-1 is considered the source document for all income from this investment (including capital gains/losses). All K-1 activity was netted together and if greater than the required income threshold, included in Column B.(1) with Column B.(2) noting "Distribution." If the K-1 activity netted to a loss, then Column B. (1) was left blank and "None" was included in Column B.(2).

NOTE 10: There are several Sentinel Partnerships including Sentinel VI which issued its first K-1 in 2018. Sentinel VI has not yet made any portfolio investments. The Financial Disclosure Report instructions no longer offer specific guidance on this type of investment. Per my discussion with a Financial Disclosure Examiner on March 22, 2019, these Partnerships should be presented the same way as they have been in the past. For previous reports, portfolio companies were listed separately because the filer's [REDACTED] could potentially direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity (in accordance with previous Financial Disclosure Instructions).

There are both limited partner and general partner interests. For this report, the K-1 information for both interests is combined and included on one line. This approach matches the way the Funds' portfolio companies are included on this report.

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Each year, there are K-1s issued for these partnerships. The K-1s summarize all of the ordinary income (loss), portfolio income, capital gains/losses, etc., for all of the underlying companies. To avoid double counting, if an underlying company was sold, the capital gain/loss is included on the line where the actual company is listed and is excluded from the K-1 income reported in Column B.(1) for each of the partnerships.

The remaining K-1 items were netted together. If the net amount was larger than the required income threshold, that amount was included in Column B.(1) with Column B.(2) noting "Distribution." If the K-1 activity netted to a loss, then "None" is included in Column B.(2). As a result, since all K-1 activity is accounted for at the partnership level, the underlying companies which are listed separately do not show any income in Column B.(1) (unless there is an item that is not included on the K-1).

Generally, there are no year-end market values listed for the Partnerships because the portfolio companies are listed separately. If a market value code is shown, it is most likely due to an unclassified investment piece.

NOTE 11: Either this investment was sold or the private equity fund LP was dissolved in a prior year. So, although the reporting family received distributions (e.g. escrow, dividends, etc) and/or a K-1, there is no year-end value to report. If the entity was issued a K-1, any income was summed and included in Column B.(1) with Column B.(2) noting "Distribution."

NOTE 12: In 2018, the reporting person's spouse re-titled his personal Goldman, Sachs accounts into the name of his revocable trust. Since the assets transferred in kind and the only things that changed were the account names and numbers, neither of which are included on this report, nothing appears differently on this report. The account openings and closings are not reported. I confirmed this approach with an examiner in the Financial Disclosure Office on 4/25/2019..

NOTE 13: The filer's [REDACTED] is the sole shareholder of this S Corporation. The company's assets are limited to office furniture, office equipment, a checking account and a money market account. The value used to determine the year-end valuation code was the checking/money market account balances, A/R and the office furniture and equipment balance (less accumulated depreciation).

NOTE 14: For this money market fund, the investment bank could not produce a report showing mmf activity. Therefore, preparer downloaded all 2018 activity in the investment account, netted the daily activity, and if over the reporting threshold, assumed that amount was swept from/into the money market fund and included the information on this report.

NOTE 15: This investment is made through one of the Sentinel Partnerships. Per my discussion with a Financial Disclosure Examiner on March 22, 2019, the aforementioned Partnerships' holdings should be listed individually on this report as they have been in the past. Therefore, this portfolio company is deemed reportable. See Note 10 for further information.

All transactions (investments, sales and related gain/(loss), etc.) are reported for the company. If a company paid a dividend/distribution, it is included in Column B. and noted as "Distribution." Any K-1 income (excluding gain/(loss) on sales) attributable to the company, however, is included in the partnership's line. If income was received separate from the K-1, it is recorded on the portfolio company's line in Column B. If the reporting person carries no year end value for a portfolio company, there is no year end value included in Column C of this report. But, the company is still noted on this report because it is a portfolio company of a reportable limited partnership. See Note 10 for more information.

For purposes of this report, deemed contributions/distributions that occurred in 2018, are dated as of the end of the quarter in which they were deemed to have occurred.

NOTE 16: Gains/Losses on Foundation activity are included on a tax basis.

NOTE 17: Not used.

NOTE 18: The reporting person's [REDACTED] received a 2018 K-1 from this entity. The K-1 is for a carried interest allocation due to a relationship with the original Vestar funds not because the reporting family made an investment in the entity. All the income lines were summed and included on this report in Column B and noted as "Distribution." Since there is no investment in this partnership, there is no year-end value to report.

NOTE 19: The reporting person's [REDACTED] has a beneficial interest in this trust. Therefore, its assets are deemed reportable.

NOTE 20: There are no codes in Columns B and C because all assets are listed separately on this report.

NOTE 21: There is no year-end value to report because this asset was completely sold on various dates in 2018. The final piece was sold in a transaction that did not meet the \$1,000 reporting requirement.

NOTE 22: This footnote not needed on the Amended Report. This company's 2018 K-1 was not received by the time this report was filed. Therefore, the company's income was estimated. Once the actual K-1 is received, if the income code based on the actual K-1 differs from the code noted in this report, an amended report will be filed to reflect the change. In amended report, this footnote is no longer used because all 2018 K-1s have been received and compared to original filing.

NOTE 23: This investment was held in a suspense account at the end of the prior year. It was not included on the prior year's investment bank statement. As such it was omitted from the prior year's report in error. Since this same type of investment is held in another trust and listed on this report, amending the prior year report was deemed unnecessary.

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE 24: All holdings from the beginning of the year were sold. Then, at a later date, additional holdings were acquired. This investment was not held in the account during the interim period.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimba M. Wood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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