

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whitworth, Matt J.	2. Court or Organization United States District Court - Western District of Missouri	3. Date of Report 05/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Hon. Matt J. Whitworth, Chief United States District Judge, Western District of Missouri Charles Evans Whittaker United States Courthouse 400 East 9th Street, Chambers No. 6652 Kansas City, Missouri 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Whitworth, Matt J.

Date of Report

05/23/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Whitworth, Matt J.

Date of Report

05/23/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MOHela	College Student Loans [REDACTED]	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Whitworth, Matt J.

Date of Report

05/23/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank Personal Savings Account	E	Interest	K	T					
2. U.S. Bank Personal Checking Account	C	Interest	J	T					
3. (H) Morgan Stanley Traditional IRA									
4. Cons. Discret Sel Sect - SPDR FD	A	Dividend	J	T					
5.					Buy (add'l)	10/24/18	J		
6.					Sold (part)	10/3/18	J	A	
7.									
8. Morgan Stanley Traditional IRA - Cash	A	Interest	J	T	Buy	12/31/18	J		
9. Health Care Sel Sect - SPDR FD	A	Dividend	J	T					
10.					Buy (add'l)	03/26/18	J		
11.					Sold (part)	10/3/18	J	A	
12. Industrial Sel Sec SPDR FD	A	Dividend	J	T					
13.					Buy (add'l)	10/24/18	J		
14. SPDR NYSE Technology	A	Dividend	J	T					
15.					Sold (part)	10/03/18	J	A	
16.					Buy (add'l)	10/24/18	J		
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.									
19.									
20. SPDR Aerospace & Def EFT	A	Dividend	J	T					
21.					Sold (part)	10/03/18	J	A	
22.					Buy (add'l)	10/24/18	J		
23. SPDR S&P EFT (KBE)	A	Dividend							
24.					Buy (add'l)	03/26/18	J		
25.					Sold	10/24/18	J	A	
26.									
27. SPDR S&P Biotech (XBI)	A	Dividend							
28.					Buy (add'l)	10/24/18	J		
29.					Sold	11/06/18	J	A	
30.									
31. SPDR S&P Health Care Equip	A	Dividend	J	T					
32.					Sold (part)	10/03/18	J	A	
33.					Buy (add'l)	10/24/18	J		
34. SPDR Insurance EFT (KIE)	A	Dividend	J	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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Whitworth, Matt J.

Date of Report

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35.					Buy (add'l)	10/03/18	J		
36.					Buy (add'l)	10/24/18	J		
37.									
38.									
39.									
40.									
41.									
42.									
43.									
44.									
45.									
46.									
47.									
48.	SPDR S & P Regional Banking EFT	A	Dividend						
49.					Buy (add'l)	03/26/18	J		
50.					Sold	10/21/18	J	A	
51.	SPDR S&P Semiconductor (XSD)	A	Dividend	J	T				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52.					Buy (add'l)	10/03/18	J		
53.					Buy (add'l)	10/24/18	J		
54.									
55.									
56. SPDR S & P Software and Ser EFT (XSW)	A	Dividend	J	T					
57.					Buy (add'l)	10/03/18	J		
58. SPDR S & P Transn EFT (XIN)	A	Dividend	J	T					
59.					Sold (part)	10/03/18	J	A	
60.					Buy (add'l)	10/24/18	J		
61.									
62. The Financial Sel Sect SPDR FD (XLF)	A	Dividend	J	T					
63.					Sold (part)	10/03/18	J	A	
64.					Buy (add'l)	10/24/18	J		
65.									
66.									
67. The Technology Sel Sec SPDR FD (XLK)	A	Dividend	J	T					
68.					Sold (part)	10/03/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69.					Buy (add'l)	10/24/18	J		
70. SPDR S&P Capital Mkts EFT(XCE)	A	Dividend	J	T					
71.					Buy (add'l)	03/26/18	J		
72.					Buy (add'l)	10/24/18	J		
73. SPDR S&P Oil & Gas Exp & Pro (XDP)	A	Dividend	J	T					
74.					Buy	05/02/18	J		
75.					Buy (add'l)	10/24/18	J		
76. Comm Serv Select Sector SPDR (XLC)	A	Dividend	J	T					
77.					Buy	10/03/18	J		
78.					Buy (add'l)	10/24/18	J		
79.									
80.									
81.									
82.									
83.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Whitworth, Matt J.

Date of Report

05/23/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following assets in Morgan-Stanley Traditional IRA were sold in full:

- SPDR S & P EFT (KBE)
- SPDR S & P Biotech (XBI)
- SPDR S & P Oil and Gas Equip SVC (XES)
- SPDR Regional Banking EFT

The following assets were added in Morgan Stanley Traditional IRA:

- COMM SERV SELECT Sector SPDR (XLC)
- SPDR S & P Oil & Gas Expo & Pro (XOP)

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Whitworth, Matt J.

Date of Report

05/23/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Matt J. Whitworth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544