

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whipple, Mary Ann	2. Court or Organization U.S. Bankruptcy Court, N.D. OH	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address James M. Ashley and Thomas W.L. Ashley United States Courthouse 1716 Spielbusch Avenue, Room 111 Toledo, OH 43604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Uniform Gift to Minors Act [See Note in Part VIII]
2. Trustee	Trust #1 [See Note in Part VIII]
3. Executive Committee Member	Morrison R. Waite Chapter, American Inns of Court
4. Executive Board Secretary	University of Michigan Club of Toledo
5. Trustee	Trust # 2 [See Note in Part VIII]
6. Agent	Powers of Attorney for family members
7. Executor	Estate of deceased family member [See Note in Part VIII]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	State Teachers Retirement System (Ohio); interest in public pension plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	6/7/18 to 6/9/18	Lake Geneva, WI	Workshop Speaker	workshop registration fee and materials, lodging, transportation and meals
2. Federal Bar Association, Western District of Michigan	7/26/18 to 7/28/18	Traverse City, MI	Seminar speaker	seminar registration fee and materials, transportation and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington National Bank(IRA)(CD)(cash accounts)	B	Interest	M	T					See Note in Part VIII
2. Merrill Lynch Ready Assets	A	Int./Div.	J	T					
3. Blackrock Mid Cap Growth Equity Portfolio Class A (BMGAX)	C	Dividend	L	T					
4. AB Sustainable Int'l Thematic Fund Class A (AWPAX)		None	J	T					See Note in Part VIII
5. Nuveen Enhanced AMT-Free Municipal Credit Opportunity Fund (NVG)	A	Dividend	J	T					
6. Blackrock Balanced Capital Fund Class A (MDCPX)	A	Dividend	J	T					
7. MFS Municipal Income Fund A (MFIAX)	A	Dividend	J	T					
8. MFS Global Total Return Fund A (MFWTX)	A	Dividend	K	T					
9. MainStay Large Cap Growth Fund Class A	D	Dividend	K	T					
10. MainStay Mackay Common Stock Fund Class A (MSOAX)	D	Dividend	K	T					See Note in Part VIII
11. MainStay Epoch US EquityYield Fund Class A (EPLPX)	B	Dividend	K	T					
12. MainStayMackay Growth Fund Inv. Class (IRA)	A	Dividend	J	T					See Note in Part VIII
13. Investment Company of America-A Fund Number 4 (AIVSX)	D	Dividend	L	T					
14. Franklin Equity Income Fund-Class A(FISEX)	B	Dividend	K	T					
15. UBS Pace Large Company Value Equity Fund Class A (PCPAX)	B	Dividend	K	T					See Note in Part VIII
16. UBS Bank USA (cash account)	A	Interest	K	T					
17. UBS Pace Global Fixed Income Fund Class A (PWFAA)	A	Dividend	K	T					See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. UBS U.S. Allocation Fund Class A (PWTAX)	B	Dividend	K	T					See note in Part VIII
19. Columbus Life Ins. Co. Fixed Annuity	C	Interest	M	T					
20. Vanguard Windsor II Fund (UGMA)	C	Dividend	K	T					See Note in Part VIII
21. Washington Federal Seattle Wash (CD) (UGMA)	A	Interest	K	T					See Note in Part VIII
22. U.S. Savings Bonds		None	K	T					
23. STRS (Ohio) Money Market Account (Ohio public pension)	A	Dividend	J	T					
24. STRS (Ohio) Russell 1000 Index Fund (Ohio public pension)		None	J	T					
25. PNC Bank (cash accounts)	A	Interest	K	T	Redeemed	04/06/18	L		See Note in Part VIII
26.					Open	08/18/18	J		
27.					Open	08/18/18	L		
28.					Closed	10/01/18	L		
29. Sun Federal Credit Union (cash account)	A	Interest			Closed	02/02/18	J		
30. Northwestern Mutual Extra Ordinary Life Policy	A	Dividend	J	T					
31. Northwestern Mutual 65 Life Policy	A	Dividend	K	T					
32. New York Life Whole Life Policy	A	Dividend	J	T					
33. New York Life Paid-up at 85	A	Dividend	J	T					
34. Washington Federal Seattle Wash (cash account)(CD)	B	Interest	M	T					

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35. The Trust Company of Toledo, N.A.(IRA Rollover) (H)									
36. --Vanguard Institutional Index Fund (IRA)	D	Dividend	N	T					
37. --MFS Int'l New Discovery-1 Fund (IRA)	B	Dividend	K	T					
38. --Vanguard Short Term Federal Bond Fund (IRA)	B	Dividend	L	T					
39. --Northern Institutional Prime Obligations Portfolio (IRA)	B	Interest	M	T					
40. --Vanguard Intermediate Term Bond Index (IRA)	C	Dividend	L	T					
41. --Harbor International Fund (IRA)	E	Dividend	L	T					
42. --Harbor Bond Fund (IRA)	A	Dividend	K	T					
43. --Fidelity Contrafund (IRA)	D	Dividend	M	T					
44. --Sterling Capital Stratton Small-Cap Value Fund (IRA)	C	Dividend	L	T					
45. --Dodge & Cox (IRA)	D	Dividend	L	T					
46. --Vanguard Dividend Growth-1 (IRA)	D	Dividend	L	T					
47. --Invesco Int'l Growth (IRA)	C	Dividend	K	T					
48. Trust #1 (H)									See Note in Part VIII
49. --Massachusetts Mutual Permanent Life Policy	D	Dividend			Distributed	10/22/18	N		
50. --Massachusetts Mutual Settlement Option Contract Account	C	Interest	N	T	Open	10/22/18	N		
51. --Northwestern Mutual Whole Life Policy	C	Int./Div.			Distributed	10/30/18	M		

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52. --Columbus Life Insurance Policy (whole life)	C	Int./Div.			Distributed	11/14/18	K		
53. --PNC Bank (cash account)	A	Interest	J	T	Open	11/20/18	J		
54. --Edward Jones Money Market Fund X	A	Dividend	J	T	Buy	11/14/18	M		
55.					Buy	12/06/18	K		
56.					Sold (part)	12/10/18	M		
57.					Sold (part)	12/12/18	J		
58. --AT&T Inc (T) X		None	J	T	Buy	12/10/18	J		
59. --Abbvie Incorporated (ABBV) T		None	J	T	Buy	12/10/18	J		
60. --Blackrock National Municipal Fund C1 K (BNMLX) X	A	Dividend	K	T	Buy	12/10/18	K		
61. --Blackrock Strategic Municipal Opportunities Fund C1 K (MKMTX) X	A	Dividend	K	T	Buy	12/10/18	K		
62. --Broadcom Inc (AVGO) X	A	Dividend	J	T	Buy	12/10/18	J		
63. --CVS Health Corporation 9CVS) X		None	J	T	Buy	12/10/18	J		
64. --Columbia Strategic Municipal Income Fund C1 I3 (CATYX) X	A	Dividend	K	T	Buy	12/10/18	K		
65. --Dominion Energy Inc. (D) X		None	J	T	Buy	12/10/18	J		
66. --Enbridge Inc (ENB) X		None	J	T	Buy	12/10/18	J		
67. --First Trust Capital Strength ETF (FTCS) X	A	Dividend	J	T	Buy	12/10/18	J		
68. --First Trust Value Line Dividend Index Fund (FVD) X	A	Dividend	J	T	Buy	12/10/18	J		

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69. --General Motors Company (GM) X		None	J	T	Buy	12/10/18	J		
70. --Kraft Heinz Co. (KHC) X		None	J	T	Buy	12/10/18	J		
71. --MFS Growth Fund CI R6 (MFEKX) X	A	Dividend	J	T	Buy	12/10/18	J		
72. --MFS Int'l Diversification Fund CI R6 (MDIZX) X	B	Dividend	K	T	Buy	12/10/18	K		
73. --Microsoft Corp (MSFT) X		None	J	T	Buy	12/10/18	J		
74. --Nutrien Limited (NTR) X		None	J	T	Buy	12/10/18	J		
75. --Royal Dutch Shell PLC ADR Repstg A Shares RDS A X		None	J	T	Buy	12/10/18	J		
76. --American SMALLCAP World Fund CI F3 (SFCWX) X	A	Dividend	J	T	Buy	12/10/18	J		
77. --American Tax-Exempt Bond Fund of America CI F3 (TFEBX) X		None	K	T	Buy	12/10/18	K		
78. --United Parcel Service Inc CI B (UPS) X		None	J	T	Buy	12/10/18	J		
79. --Wells Fargo & Co (WFC) X		None	J	T	Buy	12/10/18	J		
80. --Welltower Inc REIT (WELL) X		None	J	T	Buy	12/10/18	J		
81. The Huntington Investment Co. (H)									
82. --American Funds: Capital World Growth & Income Fund A (CWGIX)	A	Dividend	J	T					
83. --American Funds: Washington Mutual Investors Fund Class A (AWSHX)	B	Dividend	K	T					
84. --American Funds: High Income Municipal Bond Fund Class A (AMHIX)	A	Dividend	J	T					
85. --American Funds: Tax Exempt Bond Fund of America A (AFTEX)	A	Dividend	J	T					

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86. Trust #2 (H)									See Note in Part VIII
87. --Andersons Inc 5,10 & 15 YR Debentures	A	Interest	J	T					
88. --Washington Federal Bank (CD)	A	Interest	L	T					
89. --Fifth Third Bank (cash accounts) X	A	Interest	M	T	Open	8/20/18	M		
90. --Key Bank Variable Rate Deposit Account (Cash) X	A	Interest			Closed	09/17/18	K		
91. --Federated Gov Obl Tax-MNG Auto X	A	Interest	K	T	Buy	09/17/18	K		
92.					Buy (add'l)	11/02/18	J		
93.					Buy (add'l)	11/19/18	J		
94.					Buy (add'l)	11/23/18	J		
95.					Buy (add'l)	11/27/18	J		
96. --GNMA GTD Remic Pass thru Secs 2008-36 X	A	Interest	J	T					
97. --FNMA GTD Remic Pass Thru TR Remic TR 2007-76 CL-EB X	A	Interest	J	T					
98. --Clorox Co CLX X	B	Dividend	K	T					
99. --Welltower Inc WELL X	A	Dividend	J	T					
100. --Franklin Rising Dividends Fund Class A FRDPX X	A	Dividend	K	T					
101. --Franklin Rising Dividends Fund Class C FRD TX X	A	Dividend	L	T					
102. --PIMCO Income Strategy Fund II PFN X	C	Dividend	K	T					

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103. --VOYA Corporate Leaders 100 Fund Class C ICCLX X	A	Dividend	J	T					
104. --FT Unit 6164 Dividend Equity Port Series 26 X	A	Dividend			Redeemed	08/22/18	K		
105. --FT Unit 6964 Deep Value Dividend Opportunity Portfolio Series 2 X	B	Dividend	L	T					
106. --FT Unit 7181 Global Bond Income Closed End Portfolio Series 28 X	C	Dividend	K	T					
107. --Edward Jones Money Market Account X	A	Dividend	K	T					
108. --Harbor Real Return Bond Fund I X	A	Dividend							
109.					Sold	12/11/18	K		
110. --Harbor Bond Fund I X	B	Dividend							
111.					Sold	12/11/18	L		
112. --Comerica Inc. (CMA) X	C	Dividend	K	T					
113.					Sold (part)	12/12/18	M		
114.					Sold (part)	12/12/18	K		
115. --Franklin OH Tax Free Income C X	A	Dividend							
116.					Sold	12/12/18	K		
117. --Invesco High Yield Municipl High Inceom A X	A	Dividend							
118. --MFS Moderate Allocation Fund A X	A	Dividend							
119.					Sold	12/12/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --MFS Municipal High Income Fund A X	A	Dividend							
121.					Sold	12/12/18	K		
122. --MFS Municipal Income A X	B	Dividend							
123.					Sold	12/12/18	K		
124. --Blackrock National Municipal Fund C1 K X		None	K	T	Buy	12/12/18	K		
125. --Blackrock Strategic Municipl Opportunity Fund CL K X		None	K	T	Buy	12/12/18	K		
126. --Bristol-Meyers Squibb Co. (BMY) X		None	J	T	Buy	12/12/18	J		
127. --Columbia Strategic Municipal Income Fund C1 K (CATYX) X		None	K	T	Buy	12/12/18	K		
128. --Walt Disney Co.(DIS) X		None	J	T	Buy	12/12/18	J		
129. --Fed Ex Corp. (FDX) X		None	J	T	Buy	12/12/18	J		
130. --First Trust Capital Strength ETF (FTCS)X		None	K	T	Buy	12/12/18	K		
131. --First Trust Value Line Dividend Index Fund (FVD) X		None	K	T	Buy	12/12/18	J		
132. --Marathon Petroleum Corp. (MPC) X		None	J	T	Buy	12/12/18	J		
133. --MFS Growth Fund Class R6 (MFEKX) X		None	K	T	Buy	12/12/18	K		
134. --Medtronic PLC (MDT) X		None	J	T	Buy	12/12/18	J		
135. --MFS International Diversification Fund Class R6 (MDIZX) X		None	L	T	Buy	12/12/18	L		
136. --McDonalds Corp. (MCD) X		None	J	T	Buy	12/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Microsoft Corp. (MSFT) X		None	J	T	Buy	12/12/18	J		
138. --Procter & Gamble Co. (PG) X		None	J	T	Buy	12/12/18	J		
139. --American SMALLCAP World Fund Class F3 (SFCWX) X		None	K	T	Buy	12/12/18	K		
140. --American Tax-Exempt Bond Fund of America Class F3 (TFEBX) X		None	K	T	Buy	12/12/18	K		
141. --Visa Inc. Class A (V) X		None	J	T	Buy	12/12/18	J		
142. --Blackrock National Strategic Municipal Opp. Fund Class K (MKMTX) X		None	K	T	Buy	12/12/18	K		
143. --Berea OH City School Dist COPS 10/1/2019 X	A	Interest	K	T					
144. --American Municipal Power OH Inc. Rev B 2/15/2022 X	A	Interest	J	T					
145. --Greene County OH Hosp Kettering 4/1/2027 X	A	Interest	J	T					
146. --Montgomery County OH Rev Rfdg A 12/1/2033 X	A	Interest	K	T					
147. --Montgomery County OH Transn Rev 4.5% 12/1/2033 X	A	Interest							
148. --Goshen Local Sch Dist OH Transn Rev 12/15/2034 X	A	Interest	K	T					
149. --Cleveland OH St. Univ Gen Rec 6/1/2037 X	A	Interest	K	T					
150. --OH St Hosp Rev Univ Hosps Hlth 1/15/2039 X	A	Interest	J	T					
151. --American Municipal Power OH Inc. Rev B 2/15/2042 X		None	J	T					
152. --SC St Pub Svc Auth Rev Rfdg A 12/1/2049 X	A	Interest	J	T					
153. --Lucas County OH Hosp Rev Series D 11/15/38	A	Interest			Redeemed	11/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I [Line 1] and VII [Lines 20 and 21] Note: The Minor reached the age of majority under the Ohio UGMA statute in 2014. However, the Washington Federal (Seattle Wash) CD reported at Part VII [Line 21] and the Vanguard Windsor II Fund reported at Part VII [Line 20] were both still maintained in my name as custodian and in my nominal custody at December 31, 2018.

Parts I [Line 2] and VII [Lines 48-80] Note: Trust #1 is a life insurance trust for a family member of which I am the trustee. Trust #1 and the three whole life insurance policies held by Trust #1 have been previously reported. The beneficiaries are all family members. The insured family member died on July 22, 2018, as a result of which the policies were distributed during 2018 and other assets acquired as are reported. I am also a beneficiary of the trust but did not receive any income from it during the reporting period. I am not being compensated for my services as trustee.

Parts I [Line 5] and VII [Lines 86-149] Note: Trust #2 is a trust that became irrevocable under the trust agreement upon a family member's death (trustee and settlor) in July 2018. The beneficiaries are all family members. I was the successor trustee and became trustee upon the family member's death. I became responsible for the assets in the trust on July 22, 2018, the date upon which the family member died. The assets held in the trust at the date of death and subsequent transactions are reported in Part VII [Lines 86-149]. I am also a beneficiary of the trust but did not receive any income from it during the reporting period. I am not being compensated for my services as trustee.

Part I [Line 7] Note: I am the executor for the estate of a family member who died on July 22, 2018. The decedent's will was filed without probate. There are presently no reportable assets in the estate. I am not being compensated for my services as executor.

Part VII [Line 1] Note: The Huntington National Bank IRA reported at Part VII [Line 1] is invested in a Huntington National Bank CD.

Part VII [Line 4] Note: The AB Sustainable International Thematic Fund Class A was formerly known and reported as the AB International Growth Fund Class A. The fund name was changed effective January 8, 2018.

Part VII [Line 10]. Note: The MainStay Mackay Common Stock Fund Class A was formerly known and reported as the MainStay Common Stock Fund Class A. The fund name was changed effective February 28, 2018.

Part VII [Line 12]. Note: The MainStay Mackay Growth Fund Investor Class was formerly known and reported as the MainStay Cornerstone Growth Fund Investor Class. The fund name was changed effective February 28, 2018.

Part VII [Lines 15, 17 and 18] Note: The three reported UBS mutual fund holdings were previously Class C shares. At the close of business on October 15, 2018, the Class C shares were all automatically converted to Class A shares.

Part VII [Lines 25-28] Note: As previously reported, I had PNC Bank accounts. A CD I held at PNC Bank matured and was redeemed as reported, however, I still maintained another cash account at that time. Effective August 18, 2018, after the death of a family member on July 22, 2018, I was added as an account holder to two existing PNC accounts of another family member. Effective October 1, 2018, I was removed as an account holder from one of the two family member accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Ann Whipple**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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