

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Kathleen M.	2. Court or Organization Southern District of Florida	3. Date of Report 05/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 400 North Miami Avenue Room 11-3 Miami, FL 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	Revolving Charge Card	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. United Technologies (UTX)	D	Dividend	O	T					
2. ATT (T)	C	Dividend	K	T					
3. Edison (EIX)	A	Dividend	K	T					
4. Lockheed Martin (LMT)	B	Dividend	L	T					
5. IBM (IBM)	A	Dividend	J	T					
6. Prime Preferred Securities (PRFCX)	C	Int./Div.	L	T					
7. Nationwide Fixed Rate Annuity		None	N	T					
8. Wells Fargo Money Sweep	A	Interest	J	T					
9. WELLS FARGO MANAGED ASSET ACCOUNT HOLDINGS BELOW									
10. Goldman Sachs Treasury Inst (FTIXX)	A	Interest			Sold	04/13/18	J	A	
11. Goldman Sachs Treasury Inst (FTIXX)	A	Interest	J	T	Buy	10/22/18	J		
12. MFS Emerg Mkt Debt (MEDIX)	A	Int./Div.	K	T	Buy (add'l)	10/22/18	J		
13. Oppenheimer Intl Fund (OIBYX)	A	Dividend	J	T					
14. American Euro-Pacific Growth (AEPFX)	A	Int./Div.	K	T					
15. Brookfield Inv Fund (BLRYX)	A	Int./Div.	J	T	Buy (add'l)	10/22/18	J		
16. Cohen&Steers Rlty (CSRSX)	A	Dividend	J	T					
17. Diamond Hill Large Cap (DHLRX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. J Hancock FDS III (JGYIX)	A	Dividend	J	T					
19. Lord Abbett Value Fund (LVOYX)	A	Dividend			Sold	10/22/18	K	B	
20. MFS Mun Fund (MMIIX)	A	Interest	K	T	Buy (add'l)	10/22/18	J		
21. MFS Value Fund (MEIIX)	A	Dividend	K	T					
22. Nuveen Mon. Trust (NUVBX)	A	Interest	J	T					
23. Wells Fargo Emerg Mkts	A	Dividend	J	T					
24. JP Morgan Large Cap (SEEGX)	A	Dividend	K	T	Buy (add'l)	10/22/18	J		
25. Prudential Mid-Cap (PEGZX)	A	Dividend			Sold	10/22/18	J	A	
26. T Rowe Price Mun Funds (PRINX)	A	Interest	K	T	Buy (add'l)	10/22/18	J		
27. T Rowe Price Mun Inter Fund (PRSMX)	A	Interest	K	T	Buy (add'l)	10/22/18	J		
28. T Rowe Price Tax Free (PRFSX)	A	Int./Div.	J	T	Buy (add'l)	10/22/18	J		
29. T Rowe Price Blue Chip (TRBCX)	A	Dividend	K	T	Sold (part)	10/22/18	J	A	
30. Brown Adv Small Cap (BAFSX)	A	Dividend	J	T					
31. PIMCO FDS Pac Invst (PCRIX)	A	Dividend	J	T	Buy (add'l)	10/22/18	J		
32. Hartford Midcap (HFMIX)		None	J	T	Buy	10/22/18	J		
33. Janus Henderson Small Cap Value (JSCOX)	A	Dividend	K	T	Buy	10/22/18	K		
34. Wells Fargo MidCap Value (WFMIX)	A	Dividend	J	T	Buy	10/22/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kathleen M. Williams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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