

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) THOMPSON, MYRON H.	2. Court or Organization US DISTRICT COURT/MIDDLE DISTRICT/AL	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE, SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address ONE CHURCH STREET MONTGOMERY, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NYU Law School	3/21/18-3/23/18	New York, NY	Speech	Travel, lodging, meals
2. Association of Pro Bono Counsel	10/2/18-10/3/18	Atlanta, GA	Speech	Travel, lodging, meals
3. Appellate Judges Education Institute	11/8/18-11/9/18	Atlanta, GA	Speech	Travel, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (cash)	A	Interest	K	T					
2. IRA #1 (H)									
3. UBS Bank USA Deposit Account (cash) (X) (Y)									
4. Morgan Stanley Portfolio Management Retirement Account (cash) (X)	A	Interest	J	T					
5. Accenture PLC Ireland CL A (ACN)	A	Dividend	J	T	Buy	08/08/18	J		
6.					Buy (add'l)	09/06/18	J		
7. Alphabet Inc Cl C (GOOG)		None	J	T	Sold (part)	12/24/18	J	A	
8. At Home Group Inc (HOME)		None	J	T	Buy	11/01/18	J		
9. Boeing Company (BA)	A	Dividend	J	T					
10. China Yuchai Int LTD Ord (CYD)	A	Dividend	J	T	Buy	04/24/18	J		
11.					Buy (add'l)	04/25/18	J		
12. Fortis Inc Canada Ord CAD (FTS)		None			Sold	01/16/18	J		
13. Intuit DE (INTU)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
14. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
15. Medical Properties Trust Inc Reit (MPW)	A	Dividend	J	T					
16. Pepsico, Inc. (PEP)	A	Dividend	J	T					
17. Starbucks Corp (SBUX)	A	Dividend			Sold	12/20/18	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

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18. United Health Group Inc (UNH)	A	Dividend	J	T	Sold (part)	12/24/18	J	B	
19. Visa Inc. Cl A (V)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
20. Walt Disney Co. (DIS)	A	Dividend			Sold	04/23/18	J	A	
21. Western Alliance Bancorp DE (WAL)		None			Sold	11/06/18	J		
22. Financial Select Sector SPDR Trust ETF (XLF)	A	Dividend			Sold	12/20/18	J	A	
23. iShares US Aerospace & Defense ETF (ITA)	A	Dividend	J	T	Buy	01/16/18	J		
24. Robo Global Robotics & Aut Index ETF (ROBO)		None			Sold	12/20/18	J		
25. Vanguard Index Funds Vanguard Small Cap ETF (VB)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
26. Vanguard Index Funds Vanguard Mid Cap ETF (VO)	A	Dividend	J	T					
27. Vanguard Sector Index Fd Vanguard Financials ETF (VFH)	A	Dividend	J	T					
28. PIMCO RAE Fundamental Plus Fund Cl P (PIXPX)	A	Dividend	J	T					
29. Primecap Odyssey Growth Fund (POGRX)		None			Buy	04/12/18	J		
30.					Sold	07/26/18	J	A	
31. PIMCO Income Fund Cl P (PONPX)	A	Dividend			Sold	03/22/18	K	A	
32. IRA #2 (H)									
33. iShares U.S Healthcare ETF (IYH)	A	Dividend	J	T					
34. IRA #3 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. UBS Bank USA Deposit Account (cash) (X) (Y)									
36. Morgan Stanley Cash Deposit Account (cash) (X)	A	Interest	J	T					
37. Accenture PLC Ireland CI A (ACN)	A	Dividend	J	T	Buy	08/08/18	J		
38.					Buy (add'l)	09/04/18	J		
39. Alibaba Group Holding LTD (BABA)	A	Dividend	J	T	Buy	02/15/18	J		
40.					Buy (add'l)	09/13/18	J		
41.					Sold (part)	12/24/18	J		
42. Alphabet Inc CI C (GOOG)		None	J	T					
43. At Home Group Inc (HOME)		None	J	T	Buy	11/01/18	J		
44. Boeing Company (BA)	A	Dividend	J	T					
45. Broadcom LTD (AVGO)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
46. CIGNA Corporation Com (CI)		None	J	T	Buy	09/11/18	J		
47. Fortis Inc Canada Ord CAD (FTS)	A	Dividend			Sold	01/16/18	J		
48. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
49. Medical Properties Trust Inc, Reit (MPW)	A	Dividend	J	T	Buy (add'l)	04/26/18	J		
50. Nvidia (NVDA)	A	Dividend			Sold	11/16/18	J		
51. Pepsico Inc. (PEP)	A	Dividend	J	T					

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52. Starbucks Corp (SBUX)	A	Dividend			Sold	12/20/18	J	A	
53. Ultimate Software Group Inc		None			Sold	02/13/18	J	A	
54. UnitedHealth Group Inc. (UNH)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
55. Visa Inc. Cl A (V)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
56. Walt Disney Co. (DIS)	A	Dividend			Sold	04/23/18	J	A	
57. Western Alliance Bancorp (WAL) (Y)									
58. Financial Sector SPDR Fund ETF (XLF)	A	Dividend			Sold	12/20/18	J	A	
59. Invesco (formerly Powershares) QQQ Trust ETF (QQQ)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
60. Robo Global Robotics & Aut Index ETF (ROBO)	A	Dividend			Sold	12/20/18	J		
61. Vanguard Mid Cap ETF (VO)	A	Dividend	J	T					
62. Vanguard Sector Index Fd Vanguard Financials ETF (VFH)	A	Dividend	J	T					
63. Primecap Odyssey Growth Fund (POGRX)		None			Buy	04/12/18	J		
64.					Buy (add'l)	05/31/18	J		
65.					Sold	07/31/18	J	A	
66. PIMCO Income Fund Cl P	A	Dividend			Sold (part)	03/22/18	J		
67.					Sold	03/23/18	J	A	
68. IRA #4 (H)									

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69. Gabelli Dividend & Income Trust (GDV)	A	Dividend	J	T					
70. ESA #2 (H)									
71. Blackrock Global Allocation Fund (MDLOX)		None			Sold	04/19/18	J	A	
72. Pimco RAE Fundamental Plus Fund CI A (PIXAX)	A	Dividend			Sold	04/19/18	J	A	
73. Brokerage Acct #1 (H)									
74. UBS Bank USA Deposit Account (cash) (X) (Y)									
75. Morgan Stanley Cash Deposit Acct (cash) (X)	A	Interest	J	T					
76. ALIBABA GROUP HLDG LTD SPON ADR (BABA)		None	J	T	Buy	01/15/18	J		
77.					Sold (part)	12/24/18	J		
78. Alphabet Inc CI C (GOOG)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
79. Altria Group Inc (MO)	A	Dividend			Sold	12/17/18	J	B	
80. Berkshire Hathaway Inc New (BRKB)		None	J	T					
81. Boeing Company (BA)	A	Dividend	J	T					
82. Broadcom LTD (AVGO)	A	Dividend	J	T	Sold (part)	12/24/18	J	B	
83. China Yuchai Intl LTD Ord (CYD)	A	Dividend			Buy	04/24/18	J		
84.					Sold	08/28/18	J		
85. Fortis Inc Canada Ord CAD (FTS)	A	Dividend			Sold	01/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. GMS Inc DE (GMS)		None			Sold	02/06/18	J	A	
87. Medical Properties Trust Inc Reit (MPW)	A	Dividend			Sold	08/28/18	J	B	
88. Pepsico Inc (PEP)	A	Dividend	J	T					
89. United Health Group Inc (UNH)	A	Dividend	J	T	Sold (part)	12/24/18	J	B	
90. Visa Inc Cl A (V)	A	Dividend	J	T	Sold (part)	12/24/18	J	A	
91. Walt Disney Co.	A	Dividend			Sold	04/23/18	J	A	
92. iShares US Aerospace & Defense ETF (ITA)	A	Dividend			Buy	01/16/18	J		
93.					Sold	08/28/18	J	A	
94. Vanguard Index Funds Vanguard Mid Cap ETF (VO)	A	Dividend	J	T					
95. Vanguard Sector Index Fund Vanguard Financials (VFH)	A	Dividend	J	T					
96. Pimco Stockplus Small Fund Cl P (PCKPX)		None			Sold	08/28/18	J	A	
97. Primecap Odyssey Growth Fund (POGRX)		None			Buy	04/12/18	J		
98.					Buy (add'l)	05/31/18	J		
99.					Sold	07/31/18	J	A	
100. Brokerage Account #2 (H)									
101. iShares MSCI Singapore Capped ETF (EWS)	A	Dividend	J	T					
102. Brokerage Account #3 (H)									

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103. Morgan Stanley Private Bank NA (cash) (X)	A	Interest	J	T					
104. Alibaba Group (BABA)		None	J	T	Buy	02/09/18	J		
105. Ford Motor Company Com New (F)	A	Dividend			Sold	12/24/18	J		
106. iShares MSCI Germany ETF (EWG)		None	J	T					
107. The Financial Sel Sect SPDR Fd (XLF) (X)	A	Dividend			Sold	12/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
THOMPSON, MYRON H.

Date of Report
05/14/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

THOMPSON, MYRON H.

Date of Report

05/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/* MYRON H. THOMPSON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544