

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALLACH, EVAN J.	2. Court or Organization U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT	3. Date of Report 01/16/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT 717 MADISON PLACE, NW SUITE 814 WASHINGTON, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	AMERICAN LAW INSTITUTE
2.	MEMBER	BOARD OF DIRECTORS, LIEBER SOCIETY
3.	MEMBER	COUNCIL ON FOREIGN RELATIONS
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Amazon.com on Behalf of On-Demand Publishing (CreateSpace)	\$24.12
2. 2018	Amazon.com on Behalf of Kindle Direct Publishing	\$0.54
3. 2018	Carolina Academic Press, LLC	\$885.01
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NYU and Columbia Schools of Law	02/23/2018-02/25/2018	Manhasset, New York	Judicial education seminar	Transportation, Lodging and Food
2. University of California, Berkeley	07/22/2018-07/25/2018	Berkeley, California	Educational activities	Transportation, Lodging and Food
3. Board of Regents Nevada System of Higher Education	10/16/2018-10/19/2018	Las Vegas, Nevada	Educational activities	Transportation, Lodging and Food
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK CASH	A	Interest	K	T					
2. CITIBANK CASH	A	Interest	M	T					
3.									
4. FIDELITY IRA									
5. -FIDELITY CONTRAFUND	A	Dividend	M	T					
6. -FIDELITY MAGELLAN	A	Dividend	M	T					
7. -FIDELITY PURITAN	A	Dividend	M	T					
8. TIAA-TRADITIONAL		None	K	T					
9. TIAA-CREF STOCK		None	M	T					
10. TIAA-CREF GROWTH STOCK		None	J	T					
11. TIAA-CREF GLOBAL EQUITIES		None	K	T					
12. TIAA-CREF SOCIAL CHOICE		None	L	T					
13.									
14. Live Oak Bank		None	J	T					
15.									
16. WELLS FARGO IRA - 1507									
17. -WELLS FARGO CASH	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -FIDELITY ADVISOR SER 1 EQUITY GROWTH FD-M		None	L	T					
19. -FIDELITY ADVISOR EQUITY PORT INCOME CLASS M	A	Dividend	K	T					
20. -GROWTH FUND OF AMERICA CL F1	A	Dividend	L	T					
21. Wells Fargo - First Clearing LLC	E	Distribution			Distributed	02/06/18	K		
22. Merchants Bank of INDI LYNN CD		None			Redeemed	03/28/18	L	A	
23. Bank Hapoalim BM NY CD		None			Redeemed	04/26/18	L	A	
24. Wells Fargo - First Clearing LLC	E	Distribution			Distributed	12/05/18	K		
25.									
26.									
27.									
28.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **EVAN J. WALLACH**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544