

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wolfson, Freda L.	2. Court or Organization United States District Court for the District of New Jersey	3. Date of Report 5/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III District Judge, Active, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Clarkson S. Fisher U.S. Courthouse 402 East State Street Trenton, New Jersey 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Douglas Residential College Dean's Advisory Board, Member (non-compensated)	Douglas Residential College
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Schwartz Simon Edelstein Celso & Zitomer - Final partnership payment
2. 2018	Weingarten Law Firm LLC - salary
3. 2018	Lutz Shafrański Gorman & Mahoney - Referral fee from Certified Trial Attorney
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Jack and Sheryl Morris through Jags Leasing LLC	2/15/2018, 2/19/2018	New Jersey to Nassau, Bahamas and return	Personal vacation	Transportation
2. Jack and Sheryl Morris through JSM at Stelton LLC	2/15/2018 - 2/19/2018	Nassau, Bahamas	Personal vacation	Lodging and meals
3. Jack and Sheryl Morris through JSM at Falcon LLC	11/4/2018	Florida to New Jersey	Personal vacation	Transportation
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (various accounts)	A	Interest	K	T					
2. Charles Schwab & Co., Inc. Custodial IRA (H)									
3. - Blackrock Strat Incm Potty Port Instl: BSIIX	A	Dividend	K	T					
4. - Blackrock Strat Incm Potty Port Instl: BSIIX	A	Dividend			Sold (part)	01/21/18	J		
5. - Blackrock Strat Incm Potty Port Instl: BSIIX	A	Dividend			Sold (part)	10/12/18	J		
6. - Goldman Sachs Strategic Incm Fd Inst: GSZIX	A	Dividend	K	T					
7. - Goldman Sachs Strategic Incm Fd Inst: GSZIX	A	Dividend			Sold (part)	03/15/18	J		
8. - Goldman Sachs Strategic Incm Fd Inst: GSZIX	A	Dividend			Sold (part)	10/10/18	J		
9. - iShares Russell Mid Cap: IWP	A	Dividend	J	T					
10. - iShares S&P 500 Growth: IVW	A	Dividend	K	T					
11. - iShares S&P 500 Growth: IVW	A	Dividend			Sold (part)	01/31/18	K		
12. - iShares S&P 500 Growth: IVW	A	Dividend			Sold (part)	10/10/18	J		
13. -JPMorgan Strategic Income Opportunities Fund Class: JSOSX	A	Dividend	K	T					
14. -JPMorgan Diversified Altrntivs ETF: JPHF	A	Dividend	K	T	Buy	3/15/18	K		
15. - iShares Tips Bond ETF: TIP	A	Dividend			Sold	03/15/18	J		
16. - Powershares Emerg. Mkts: PCY	A	Dividend	J	T					
17. - SPDR Barclays High Yield: JNK	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - SPDR Barclays High Yield: JNK	A	Dividend			Sold (part)	10/10/18	J		
19. - Vanguard FTSE Developed: VEA	A	Dividend	K	T					
20. - Vanguard FTSE Developed: VEA	A	Dividend			Sold (part)	01/31/18	J		
21. - Vanguard FTSE Developed: VEA	A	Dividend			Sold (part)	10/21/18	J		
22. - SPDR Barclays High Yield: JNK	A	Dividend	J	T					
23. - SPDR Barclays High Yield: JNK	A	Dividend			Sold (part)	10/10/18	J		
24. - Vanguard High Dividend: VYM	A	Dividend	L	T					
25. - Vanguard High Dividend: VYM	A	Dividend			Sold (part)	01/31/18	J		
26. - Vanguard High Dividend: VYM	A	Dividend			Sold (part)	10/10/18	J		
27. - Vanguard High Dividend: VYM	A	Dividend			Sold (part)	10/12/18	J		
28. - Vanguard Short-Term Bond: BSV	A	Dividend	K	T					
29. - Vanguard Short-Term Bond: BSV	A	Dividend			Sold (part)	10/12/18	J		
30. - Vanguard Small Cap: VBK	A	Dividend	K	T					
31. - Wisdomtree Midcap: DON	A	Dividend	J	T					
32. - Wisdomtree Smallcap: DES	A	Dividend	K	T					
33. - WisdomTree Emerging Markets SmallCap Dividen Fund: DGS	A	Dividend	K	T					
34.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36.									
37.									
38.									
39.									
40.									
41.									
42.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Freda L. Wolfson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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