

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carlson, Thomas E.	2. Court or Organization U.S. Bankruptcy Court, N.D. CA	3. Date of Report 06/04/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Recalled Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Hon. Thomas E. Carlson 235 Pine Street, 24th Floor San Francisco, CA 94104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Carlson, Thomas E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Deloitte & Touche

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Savings Account	A	Interest	J	T					
2. Wells Fargo Bank IRA Deposit Account	A	Interest			Sold	01/13/12	K	A	Wells Fargo Bank
3. Wells Fargo Bank IRA Stage Coach Equity Index Fund A	A	Dividend	J	T					
4. Wells Fargo Bank IRA Stage Coach Equity Index Fund A	B	Dividend	L	T					
5. Wells Fargo Bank - Savings Account	A	Interest	J	T					
6. Wells Fargo Bank - Money Market Account	B	Interest	J	T					
7. Wells Fargo Bank - Checking Account	A	Interest	J	T					
8. Wells Fargo Bank - Asset Allocation Fund B	A	Dividend	J	T					
9. Fidelity - Equity Growth Fund B	A	Dividend	J	T					
10. Wells Fargo Bank - Large Company Growth Fund B	B	Dividend	K	T					
11. Deloitte & Touche - 401(k) Vanguard (no control)	A	Dividend	N	T	Buy	01/07/12	J		Deloitte
12. Deloitte & Touche - Profit Sharing (no control)	A	Distribution	N	T	Buy	5/25/12	J		Deloitte
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Attachment to 2012 Financial Disclosure Report
Thomas E. Carlson
Attachment 1 of 1

Part of Report: VII. INVESTMENTS and TRUSTS

11. (2) Date

12. (2) Date

Transactions of [REDACTED] re Deloitte 401(k) Plan and Deloitte Profit Sharing Plan (her employer)

Contributions 2012

Date 01/07/12 - 401(k) -	[REDACTED]	J
Date 01/20/12 - 401(k) -	[REDACTED]	J
Date 02/03/12 - 401(k) -	[REDACTED]	J
Date 02/17/12 - 401(k) -	[REDACTED]	J
Date 03/02/12 - 401(k) -	[REDACTED]	J
Date 03/16/12 - 401(k) -	[REDACTED]	J
Date 03/30/12 - 401(k) -	[REDACTED]	J
Date 04/13/12 - 401(k) -	[REDACTED]	J
Date 04/27/12 - 401(k) -	[REDACTED]	J
Date 05/11/12 - 401(k) -	[REDACTED]	J
Date 05/25/12 - 401(k) -	[REDACTED] J Profit Sharing -	J
Date 06/15/12 - 401(k) - \$	[REDACTED] J Profit Sharing -	J
Date 06/29/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 07/13/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 07/27/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 08/10/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 08/24/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 09/07/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 09/21/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 10/05/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 10/19/12 - 401(k) - \$	0.00 J Profit Sharing -	J
Date 11/02/12 - 401(k) - \$	0.00 J Profit Sharing - \$	0.00 J
Date 11/16/12 - 401(k) - \$	0.00 J Profit Sharing - \$	0.00 J
Date 11/30/12 - 401(k) - \$	0.00 J Profit Sharing - \$	0.00 J
Date 12/14/12 - 401(k) - \$	0.00 J Profit Sharing - \$	0.00 J
Date 12/28/12 - 401(k) - \$	0.00 J Profit Sharing - \$	0.00 J

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas E. Carlson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544