

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schall, Alvin A.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 05/08/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Suite 907 717 Madison Place, NW Washington, DC 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee (one of two)	Trust #2
2. Trustee (one of two)	Trustee #4
3. Executor (one of two)	Estate
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Schall, Alvin A.

Date of Report

05/08/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Rental of property for four and a half weeks	\$4,250.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	March 28-29, 2008	New York, NY	Federal Judiciary Dinner	Transportation, Meals, and Lodging
2. Administrative Office of the United States Courts	September 10-12, 2009	San Antonio, TX	Plans for Senior Status	Transportation, Meals, and Lodging
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Schall, Alvin A.

Date of Report

05/08/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Sun Trust Bank	Loan-Secured by mortgage on real property owned by reporting person [REDACTED]	K
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Schall, Alvin A.

Date of Report

05/08/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbott Laboratories, Inc.	B	Dividend	L	T					
2. Altria Group, Inc.	C	Dividend	K	T					
3. Anheuser-Busch Cos., Inc.	C	Dividend				11/18	M	G	
4. Boeing Co.	B	Dividend	K	T					
5. Citadel Broadcasting Corp		None	J	T					
6. Exxon Mobil Corp.	C	Dividend	M	T					
7. General Electric Co.	C	Dividend	L						
8. General Mills, Inc.	B	Dividend	K	T					
9. Home Depot, Inc.	B	Dividend	L	T					
10. Hospira, Inc.		None	J	T					
11. Intel Corp.	C	Dividend	L	T					
12. J.P. Morgan Chase & Co.	C	Dividend	L	T					
13. Kraft Foods, Inc.	B	Dividend	K	T					
14. MBIA, Inc.	A	Dividend	J	T					
15. Minnesota Mining & Mfg. Co.	B	Dividend	L	T					
16. Motorola, Inc.	A	Dividend	J	T					
17. PepsiCo, Inc.	C	Dividend	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. Philip Morris Int'l. Inc.	B	Dividend	L	T	Spinoff (from line 2)	03/31	L		
19. R.R. Donnelly & Sons Co.	B	Dividend	J	T					
20. DWS Advisor Funds Mid-Cap Growth Fund Class S	A	Dividend	L	T	Buy (add'l)	12/16	J		
21. DWS International Emerging Markets Equity Fund Class S	A	Dividend	K	T	Buy (add'l)	12/26	J		
22. Walt Disney Co.	A	Dividend	K	T					
23. Yum Brands, Inc.	A	Dividend	K	T					
24. 5.75% MD State Health Higher Edu. Fac. Bond, due 07/01/09	B	Interest	K	T					
25. 5.625% U.S. Treasury Notes, due 05/15/08	B	Interest			Redeemed	05/15	K		
26. Capital One Bank Certificate of Deposit	B	Interest	K	T					
27. Capital One Bank Certificate of Deposit	A	Interest	L	T	Buy	11/19	L		
28. American Express Certificate of Deposit	A	Interest	L	T	Buy	11/19	L		
29. Wachovia Bank Certificate of Deposit	A	Interest	K	T	Buy	05/16	K		
30. Scudder Cash Investment Trust	A	Interest	L	T					
31. Scudder Cash Investment Trust	A	Interest	K	T					
32. Great Horn Mining Syndicate		None	J	U					
33. Edgartown Water Co.		None	J	U					
34. Mainbocher, Inc.		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. Evergreen Money Fund	A	Interest	J	T					
36. TRUST #1:									
37. Blackrock Funds International Bond Portfolio Fund	A	Dividend	J	T					
38. Deutsche Bank Trust Co. U.S. Small Capitalization Fund	A	Dividend	J	T					
39. Deutsche Bank Trust Co. Tax-Free Income Fund	B	Dividend	K	T					
40. Deutsche Bank Trust Co. International Fund	A	Dividend	J	T					
41. Deutsche Bank Trust Co. Large Capitalization Value Fund	C	Dividend	L	T					
42. 2.22% U.S. Treasury Notes	A	Interest	J	T					
43. DWS High Income Fund Class A	A	Dividend	J	T					
44. MSCI Emerging Markets Index Fund	A	Dividend	J	T					
45. Goldman Sachs Natural Resources Index Fund	A	Dividend	J	T	Sold (part)	06/19	J	B	
46. Cohen & Steers Realty Index Fund	A	Dividend	J	T	Sold (part)	06/19	J	A	
47. Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	T					
48. TRUST #2:									
49. American Express Co.	A	Dividend	J	T					
50. BP PLC	C	Dividend	K	T					
51. Kimberly Clark Corp.	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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Schall, Alvin A.

Date of Report

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52. Raytheon Co.	A	Dividend	K	T					
53. R.R. Donnelly & Sons Co.	A	Dividend	K	T					
54. Wyeth Co.	A	Dividend	K	T					
55. Deutsche Bank Trust Co. Capital Growth Fund	C	Dividend	M	T					
56. Deutsche Bank Trust Co. U.S. Small Capitalization Fund	A	Dividend			Sold (part)	02/15	J	Loss	
57.					Sold	06/30	J	Loss	
58. Deutsche Bank Trust Co. Tax-Free Income Fund	D	Dividend	M	T					
59. Deutsche Bank Trust Co. International Fund	B	Dividend	K	T					
60. Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	T					
61. TRUST #3:									
62. Deutsche Bank Trust Co. U.S. Small Capitalization Fund	A	Dividend	J	T					
63. Deutsche Bank Trust Co. Tax-Free Income Fund	B	Dividend	K	T					
64. Deutsche Bank Trust Co. International Fund	A	Dividend	J	T					
65. Deutsche Bank Trust Co. Large Capitalization Value Fund	C	Dividend	L	T					
66. 2.22% U.S. Treasury Notes	A	Interest	J	T					
67. DWS High Income Fund Class A	A	Dividend	J	T					
68. MSCI Emerging Markets Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page.8 of 12

Name of Person Reporting

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05/08/2009

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69. Goldman Sachs Natural Resources Index Fund	A	Dividend	J	T	Sold (part)	04/16	J	C	
70. Cohen & Steers Realty Index Fund	A	Dividend	J	T					
71. Blackrock Funds International Bond Portfolio Fund	A	Dividend			Sold	04/16	J	A	
72. Deutsche Bank Trust Co. Money Market Deposit Account	A	Interest	J	T					
73. TRUST #4:									
74. American Express Co.	A	Dividend	J	T	Sold (part)	10/29	J	C	
75. BP PLC	C	Dividend	K	T	Sold (part)	10/29	K	E	
76. General Electric Co.	C	Dividend	K	T	Sold (part)	10/29	J	D	
77. Merck & Co., Inc.	B	Dividend	K	T					
78. Procter & Gamble Co.	B	Dividend	K	T	Sold (part)	10/29	J	D	
79. Deutsche Bank Trust Co. International Fund	C	Dividend	K	T	Sold (part)	10/29	K	Loss	
80. Deutsche Bank Trust Co. Taxable Income Fund	E	Dividend	N	T	Sold (part)	10/29	L	Loss	
81. DWS High Income Fund-Insured	B	Dividend	K	T	Buy	11/07	K		
82. I Shares U.S. Treasury Inflation Protected Securities Fund	A	Dividend	K	T	Buy	11/07	J		
83. PIMCO Developing Local Markets Fund	A	Dividend	K	T	Buy	11/07	K		
84. Eaton Vance Structured Emerging Markets Fund	A	Dividend	J	T	Buy	10/31	K		
85. Deutsche Bank Trust Co. Large Capitalization Value Fund	B	Dividend	L	T	Buy	10/31	L		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. I Path Dow Jones AIG Commodity Index Total Return Fund	A	Dividend	J	T	Buy	10/31	J		
87. DWS Reef Global Real Estate Secured Fund	A	Dividend	J	T	Buy	10/31	J		
88. Deutsche Bank Trust Co. Money Market Deposit Account	A	Dividend	K	T					
89. ESTATE (Opened 10/31/08)									
90. Dreyfus Municipal Bond Fund Admiral Shares Fund	D	Dividend	PI	T					
91. J.P. Morgan Intermediate Tax-Free Bond Fund - Select	D	Dividend	O	T	Buy (add'l)	10/31	J		
92.					Buy (add'l)	11/28	J		
93.					Buy (add'l)	12/31	J		
94. Vanguard Long-Term Tax-Exempt Fund No. 43	A	Dividend	J	T	Buy (add'l)	10/31	J		
95.					Buy (add'l)	11/28	J		
96.					Buy (add'l)	12/20	J		
97.					Buy (add'l)	12/31	J		
98. Vanguard Short-Term Tax-Exempt Admiral Shares Fund No. 541	A	Dividend	M	T	Buy (add'l)	10/31	J		
99.					Buy (add'l)	11/28	J		
100.					Buy (add'l)	12/20	J		
101.					Buy (add'l)	12/31	J		
102. Vanguard Inter.-Term Tax-Exempt Admiral Shares Fund No.542	A	Dividend	M	T	Buy (add'l)	10/31	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103.					Buy (add'l)	11/28	J		
104.					Buy (add'l)	12/20	J		
105.					Buy (add'l)	12/31	J		
106. Williams, 79 Associates (Real Estate Partnership)	A	Distribution	J	W					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Schall, Alvin A.

Date of Report

05/08/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

ADDITIONAL INFORMATION WITH RESPECT TO PART VII OF THIS REPORT:

- I. Items 1-19, 22, 23, 32-34, 49-54 and 74-78 are common stock holdings.
2. Items 20, 21, 37, 43-46, 67-71, 81-84, 86, 87, 90, 91, 94, 98 and 102 are mutual funds.
3. Items 26-29 are certificates of deposit.
4. Items 35, 47, 60, 72 and 88 are in the nature of money markets accounts.
5. Item 24 is a state bond.
6. Items 25, 42, and 66 are United States Treasury Notes.
7. Items 30 and 31 are Individual Retirement Accounts in the form of money markets accounts.
8. Items 38, 40, 41, 55, 56, 59, 62, 64, 65, 79 and 85 are commingled common stock trust funds managed by Deutsche Bank Trust Co.
9. Items 39, 58 and 63 are commingled tax-free securities trust funds managed by Deutsche Bank Trust Co.
10. Item 80 is a commingled debt securities trust fund managed by Deutsche Bank Trust Co.
11. Item 106 is real estate partnership.
12. Items 38, 56, 62 (Deutsche Bank Trust Co. U.S. Small Capitalization Fund) was formerly Deutsche Bank Trust Co. Special Opportunity Fund.
13. Items 41, 65, 85 (Deutsche Bank Trust Co. Large Capitalization Value Fund) was formerly Deutsche Bank Trust Co. Capital Income Fund.
14. Item 80 (Deutsche Bank Trust Co. Taxable Income Fund) was formerly Deutsche Bank Trust Co. Maximum Income Fund.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Schall, Alvin A.

Date of Report

05/08/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544