

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FAY, PETER T		2. Court or Organization U.S. Court of Appeals, 11 Cir.		3. Date of Report 03/06/2008	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge		5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final		6. Reporting Period 01/01/2007 to 12/31/2007	
7. Chambers or Office Address Federal Justice Building 99 N.E. 4 Street, Suite 1255 Miami, Florida 33132		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Emeritis Member of Board of Trustees	University of Miami, Miami, Florida
2. Emeritis Member of Board of Trustees	University of Florida School of Law, Gainesville, Florida
3. St. Thomas School of Law Board of Advisors	St. Thomas School of Law, Miami, Florida
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Florida Moot Court Competition	February 23, 2007	Gainesville, Florida	judging moot court finals	Lodging
2.	11th Circuit Judicial Conference	May 2-5, 2007	Atlanta, Georgia	Judicial Conference	Transportation, Lodging and Food
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common stock - Central Ill. Pub. Service Corp.	A	Dividend	J	T					
2. Savings account - Bank of America	A	Interest			Closed	5/23	J		
3. Land near Milton, Florida		None	K	W					
4. Mineral lease on land near Milton, Florida	A	Royalty	J	W					
5. Coca Cola Co.	A	Dividend	J	T					
6. New York City Mun. Water & Sewer Sys.	A	Interest	K	T	Buy	12/31	K		
7. Nuveen Mun. Fund	B	Dividend	K	T					
8.									Left Blank Intentionally
9. Fidelity High Yield Tax Free	A	Dividend	J	T					
10. Monster Worldwide Inc.		None	J	T					
11. Spartan Florida Mun. Fund	A	Dividend	J	T					
12. Nuveen Mun. Market Opportunity Fund	A	Dividend	J	T					
13. Harbor Capital Appreciation Fund	A	Dividend	J	T					
14. Vanguard Group, 500 Portfolio	A	Dividend	K	T					
15. Vanguard Group, European Portfolio	A	Dividend	K	T					
16. Wachovia Bank Deposit	C	Dividend	L	T					
17. Time Warner	A	Dividend	J	T					

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American International Group Inc.	A	Dividend	J	T					
19. Amgen Inc.		None	J	T					
20. Broadcom Corp. Class A		None	J	T					
21. Home Depot Inc.	A	Dividend	J	T					
22. Melbourne, Fla. Water & Sewer Rev.	A	Interest	K	T	Buy	1/23	K		
23. MCI Worldcom Inc. This co. has simply disappeared		None							
24. Microsoft Corp. Washington	A	Dividend	J	T					
25. Brevard County Fla. G/O LTD B/E	B	Interest	K	T					
26. Cape Coral, Fla. WST WTR & IRR	A	Interest	K	T					
27. Walmart Stores Inc.	A	Dividend	K	T					
28. Bank of America Time Deposit (CD)	B	Interest	K	T	Buy	5/23	K		
29.									Left blank intentionally
30. Reedy Creek Fla. Impt. Dist. Fla. Utilities Rev.	B	Interest	K	T					
31. Jacksonville Fla. Excise Taxes Rev.	B	Interest	K	T					
32. Port Palm Beach Dist. Fla. Rev.	B	Interest	K	T					
33. Dade County Fla. Water & Sewer System	B	Interest			Redemption	10/29	K	A	
34. Jacksonville, Fla. Health Aut. Hospital Rev.	B	Interest	L	T	Buy	5/23	L		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35.									Left Blank Intentionally
36. Wachovia Bank Dep.Sweep Opt.(for.Bedford Mon.Market Fund)	A	Interest			Sold	5/23	L	A	
37. Condo unit, Melbourne Beach, Fla.		None	M	W					
38. Ft. Myers Fla. Gas Tax Revenue	B	Interest	K	T					
39. Orlando Fla., Waste Water	B	Interest	K	T					
40. Lauderhill, Fla. Water & Sewer	B	Interest	K	T					
41. Tampa Sports Authority	B	Interest	K	T					
42. Brevard County Fuel Tax Revenue Bond	B	Interest	K	T					
43. Plaza San Remo Partners, LLLP		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The Boards of Trustees referred to in Part I are governing boards of universities and not trusts or estates holding assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544