

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haynes, Catharina D.	2. Court or Organization Fifth Circuit	3. Date of Report 04/28/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 1100 Commerce Street Rm. 1452 Dallas, TX 75242	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Vickery Meadow Learning Center
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Haynes, Catharina D.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

04/28/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 8/21/10	The Bellagio video poker jackpot	\$1,270.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Thompson & Knight Law Partnership Income
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	3/4-5/2010	Tucson, AZ	Speak at Insurance CLE	Travel, meals, lodging
2.	American Bar Association	4/23-24/2010	New York, New York	Speak at Litigation CLE	Travel, meals, lodging
3.	Bar Association of the Fifth Federal Circuit	5/11-12/2010	Shreveport, LA	Speak at Bar Association Appeals CLE	Travel, meal
4.	University of Texas Law School	8/12-13/2010	Galveston, TX	Speak at Bankruptcy CLE	Travel, lodging, meals
5.	Bar Association of the Fifth Federal Circuit	9/24-26/2010	Lubbock, TX	Speak at Bar Association Appeals CLE	Travel, meal

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Name of Person Reporting

Haynes, Catharina D.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

04/28/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	D	Interest	PI	T					
2. Janus Money Fund		None	J	T					
3. T. Rowe Price (TRP) Prime Reserve Fund	A	Interest	O	T					
4. TRP New Income	A	Dividend	J	T					
5. TRP International Stock	B	Dividend	M	T	Buy	02/16/10	J		
6.					Buy (add'l)	06/08/10	J		
7. TRP New America Growth	B	Dividend	M	T					
8. TRP Health Science Fund	B	Dividend	M	T	Buy	02/16/10	J		
9.					Buy (add'l)	06/08/10	J		
10.					Buy (add'l)	09/08/10	J		
11. TRP Tax Efficient Equity	A	Dividend	M	T	Buy	02/16/10	J		
12.					Buy (add'l)	06/08/10	J		
13.					Buy (add'l)	09/08/10	J		
14. TRP Tax Free Income	E	Dividend	O	T	Buy	02/16/10	J		
15.					Buy (add'l)	06/08/10	J		
16. TRP Tax Free Short Intermediate	A	Dividend	K	T					
17. British Petroleum (BP)	A	Dividend			Sold	05/27/10	J	B	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

04/28/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IBM	A	Dividend	L	T					
19. Motorola (MOT)		None	J	T					
20. Pfizer (PFE)	A	Dividend			Sold	07/12/10	J		
21. Franklin Mutual Shares Class Z	D	Dividend	N	T	Buy	02/09/10	J		
22.					Buy (add'l)	09/07/10	J		
23. Janus Fund	A	Dividend	N	T	Buy	02/09/10	J		
24. TRP Capital Appreciation	A	Dividend	J	T					
25. TRP Equity Fund	B	Dividend	L	T	Redeemed (part)	06/01/10	J		
26. Vanguard REIT Fund	D	Dividend	M	T	Buy	02/09/10	J		
27.					Buy (add'l)	06/08/10	J		
28.					Buy (add'l)	09/07/10	J		
29. Vanguard 500 Index Fund	D	Dividend	N	T	Buy	02/09/10	J		
30.					Buy (add'l)	06/08/10	J		
31.					Buy (add'l)	09/07/10	J		
32. Vanguard TM Small Cap	B	Dividend	M	T	Buy	02/09/10	J		
33.					Buy (add'l)	06/08/10	J		
34. US EE Savings Bond	C	Dividend	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

04/28/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. TRP Retirement 2020 (IRA)	A	Dividend	K	T					
36. TRP Retirement 2030 (IRA)	C	Dividend	N	T					
37. Thompson Knight Partnership Equity		None	L	U	Buy	12/31/10	J		
38. TX Emp. Ret. Plan	A	Dividend	M	T					
39. -Vanguard Institutional Fund,									
40. -Vanguard Growth Fund									
41. -Black Rock Bond Fund (see note at Section VIII)									
42. State of Tx. Judicial Retirement Equity	C	Interest	L	T					
43. Texas County & District Retirement System	A	Interest	J	T					
44. TRP Small Cap. Value	A	Dividend	J	T					
45. Thompson Knight 401K	D	Dividend	O	T					
46. -Vanguard Wellington Fund									
47. -Vanguard Institutional Fund									
48. -Stable Value Fund									
49. -Fidelity Freedom K 2025 (see note at VIII)									
50. TRP Financial Services (IRA)	A	Dividend	J	T	Redeemed (part)	06/01/10	J		
51. TRP Global Stock (IRA)	A	Dividend	K	T	Redeemed (part)	06/01/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

04/28/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. TRP Media Telecommunications (IRA)	A	Dividend	J	T	Redeemed (part)	06/01/10	J		
53. TRP New Era (IRA)	A	Dividend	J	T	Redeemed (part)	06/01/10	J		
54. TRP Spectrum Growth (IRA)	A	Dividend	K	T	Redeemed (part)	06/01/10	J		
55. TRP Spectrum Income (IRA)	B	Dividend	K	T	Redeemed (part)	06/01/10	J		
56. TRP Summit Cash (IRA)	A	Interest	N	T	Redeemed (part)	06/01/10	J		
57. US i Savings Bond	A	Interest	J	T					
58. Chase Bank Account checking		None	M	T					
59. PEBCX (IRA)	A	Dividend	K	T					
60. PCRCX (IRA)	A	Dividend	K	T					
61. PCRAX (IRA)	A	Dividend	J	T					
62. Amegy Bank - CD	A	Interest	M	T					
63. Citibank Account, CD	B	Interest	M	T					
64. Capital One Bank Account	B	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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T = Cash Market

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

04/28/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II., III., and VII: I recuse from all cases in which Thompson Knight is either a party or represents a party.

VII. Lines 38 and 41: Without my involvement or realization, at the end of 2009, the portion of my Texas Employees Retirement Plan that had been in the Fidelity US Bond Fund was moved to the Black Rock Bond Fund.

VII. Lines 45 and 49: In January 2010, a fund (Fidelity Freedom K 2025) was added to [REDACTED] regular 401K plan. [REDACTED] makes regular payroll deduction contributions (amount J) to this fund every paycheck (twice a month) until the maximum allowable 401K limit is reached. [REDACTED] firm also contributes to this fund as part of [REDACTED] compensation.

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Catharina D. Haynes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haynes, Catharina D.	2. Court or Organization Fifth Circuit	3. Date of Report 05/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 1100 Commerce Street Rm. 1452 Dallas, TX 75242	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Vickery Meadow Learning Center
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007-09	Baker Botts 401k Plan (rolled over June 2008; due to market fluctuations, a nominal balance remained which was closed out in 2009)
2.	
3.	

Haynes, Catharina D. A

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Name of Person Reporting
Haynes, Catharina D.

Date of Report
05/10/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Thompson & Knight law partnership income
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Conference Institute	4/29-30/09	San Francisco, CA	Speak at Insurance CLE for Attorneys	Travel, Meals, Lodging
2. American Bar Association	5/15/09	New Orleans, LA	Speak at Young Lawyers Conference	Travel, Meals
3. American Bar Association	5/28-29/09	Chicago, IL	Teach at Appellate Advocacy Training for Attorneys	Travel, Meals, Lodging
4.				
5.				

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Haynes, Catharina D.

Date of Report

05/10/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE</u>	<u>CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Accounts	D	Interest	P1	T					
2. Janus Money Fund		None	J	T					
3. T.Rowe Price (TRP) Prime Reserve Fund	B	Interest	O	T					
4. TRP New Income	A	Dividend	J	T					
5. TRP International Stock	C	Dividend	M	T	Buy	02/02/09	J		
6. TRP New America Growth		None	M	T					
7. TRP Health Science Fund		None	M	T	Buy	02/02/09	J		
8. TRP Tax Efficient Equity		None	M	T	Buy	02/02/09	J		
9. TRP Tax Free Income Fund	E	Dividend	O	T	Buy	02/02/09	J		
10. TRP Tax Free Short Intermediate	A	Dividend	K	T					
11. British Petroleum (BP)	A	Dividend	J	T					
12. IBM	A	Dividend	L	T					
13. Motorola (MOT)	A	Dividend	J	T					
14. Pfizer (PFE)	A	Dividend	J	T					
15. Franklin Mutual Shares Class Z	D	Dividend	N	T	Buy	02/05/09	J		
16. Janus Fund	A	Dividend	M	T					
17. TRP Capital Appreciation (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	D = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C7)					

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TRP Equity Income	B	Dividend	L	T					
19. Vanguard REIT Fund	C	Dividend	M	T	Buy	08/24/09	J		
20. Vanguard 500 Index Fund	D	Dividend	M	T	Buy	02/02/09	K		
21.					Buy (add'l)	03/03/09	J		
22. Vanguard TM Small Cap	A	Dividend	M	T					
23. US EE Savings Bond	C	Dividend	L	T					
24. TRP Retirement 2020 (IRA)	A	Dividend	K	T					
25. TRP Retirement 2030 (IRA)	C	Dividend	M	T	Spinoff (from line 27)	11/13/09	J		Rolled over from Line 27
26. Thompson Knight Partnership Equity		None	L	U					
27. Baker Botts 401k Plan (AIM Liquid, Fidelity Mag., Fid. Grow)		None			Closed	11/13/09	J		Rolled over to line 25
28. TX Emp. Ret. Plan	A	Dividend	M	T					
29. -Fidelity US Bond					Closed	12/04/09	L		moved to line 30 see note
30. -Black Rock Bond Fund (see note at VIII)					Open	12/04/09	L		moved from line 29see note
31. -Vanguard Institutional Fund									
32. -Vanguard Growth Fund									
33. Thompson Knight 401K see note at VIII	D	Dividend	O	T					
34. -Vanguard Wellington Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	D=\$1,001 - \$2,500 O=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	B=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Vanguard Institutional Fund									
36. -Stable Value Fund									
37. State of Tx. Judicial Retirement Equity	C	Interest	L	T					
38. Texas County & District Retirement System	A	Interest	J	T					
39. TRP Small Cap. Value (X)	A	Dividend	J	T					
40. TRP Financial Services (IRA)(X)	A	Dividend	J	T					
41. TRP Global Stock (IRA)(X)	A	Dividend	K	T					
42. TRP Media Telecommunications (IRA)(X)	A	Dividend	J	T					
43. TRP New Era (IRA)(X)	A	Dividend	J	T					
44. TRP Spectrum Growth (IRA)(X)	A	Dividend	J	T					
45. TRP Spectrum Income (IRA)(X)	B	Dividend	K	T					
46. TRP Summit Cash (IRA)(X)	A	Interest	N	T	Buy	06/15/09	K		Roll over from line 49
47. US i Savings Bonds(X)	A	Interest	J	T					
48. Chase Bank Account		None	K	T					
49. Chase Bonds IRA (X)	A	Interest			Sold	06/15/09	K		Bonds matured; rolled over
50. PEBCX (IRA)(X)	A	Dividend	K	T					
51. PCRCX (IRA)(X)	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Haynes, Catharina D.

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05/10/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. PCRAX (IRA)(X)	A	Dividend	J	T					
53. Amegy Bank	B	Interest	M	T	Open	01/30/09	L		
54. Citibank	C	Interest	M	T	Open	02/13/09	M		
55. Capital One Bank	B	Interest	M	T	Open	02/23/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	D = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	B = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Haynes, Catharina D.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

II. and VII.: I recuse from cases in which Baker Botts is either a party or represents a party.

II., III., and VII.: I recuse from cases in which Thompson Knight is either a party or represents a party.

VII. Lines 28-30: Without my involvement or realization, at the end of 2009, the portion of my Texas Employees Retirement plan that had been in the Fidelity US Bond Fund was moved to the Black Rock Bond Fund.

VII. Lines 33-36: ☐ makes regular payroll deductions contributions (amount J) to this fund every paycheck (twice a month) until the maximum allowable 401K limit is reached. ☐ firm also contributes to this fund as part of ☐ compensation.

Reason for Amendment: In preparing the 2010 report, the information regarding the Black Rock Fund came to light (see lines 28-30 and above note). Also, last note above added for further detail.

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Name of Person Reporting

Haynes, Catharina D.

Date of Report

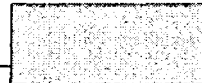
05/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544