

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goodwin, Joseph R	2. Court or Organization US District Court, Southern WV	3. Date of Report 05/06/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge\Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2007 to 12/31/2007
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Virginia Street E Ste 5009 PO Box 2546 Charleston, WV 25301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Pastoral Relations Committee	Elizabeth Memorial United Methodist Church
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Office of the Secretary, Education, State of West Virginia, Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Scudder Mt Tax Free Fund	B	Interest	L	T	Buy	01/25	J		
2.					Buy	02/22	J		
3.					Partial Sell	03/05	J	A	
4.					Buy	03/26	J		
5.					Partial Sale	04/23	J	A	
6.					Buy	04/24	J		
7.					Buy	05/25	J		
8.					Buy	06/25	J		
9.					Buy	07/25	J		
10.					Buy	08/27	J		
11.					Buy	09/24	J		
12.					Buy	10/25	J		
13.					Buy	11/26	J		
14.					Buy	12/24	J		
15. Charles Schwab Investment Account									
16. --Charles Schwab Cash Account/ Money Funds	B	Int./Div.	K	T	Pt. Withdraw	08/09	J		Withdraw of Funds by Owner
17. --Common Stock United Bankshares	B	Dividend	K	T	Partial Sale	12/11	K	E	

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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18. --McData Corp CI A Class A		None			Merger	01/30	J		Merge with Brocade Comm. S
19.					Sell	07/20	J	A	
20. --NY Stock Exchange Group., Common Stock		None			Buy	01/09	J		
21.					Sell	06/20	J	A	
22. --Coca Cola Company, Common Stock	A	Dividend			Buy	10/19	J		
23.					Sell	12/14	J	A	
24. --Lowes Companies, Inc., Common Stock		None	J	T	Buy	11/20	J		
25. --Dodge & Cox Mutual Stock Fund	E	Distribution	M	T					
26.	C	Dividend							
27. --Schwab Tax-Free	B	Int./Div.	L	T	Buy	07/06	J		
28.	E	Distribution							
29. Reaal Estate #2, Ripley, WV 1/2 Undivided Interest	D	Rent	K	W					
30. Reasl Estate #5, Ripley, WV		None	J	W					
31. 1/4 Min Int Grant & Mineral Co., WV	A	Royalty	J	T					
32. Charles Schwab IRA Account No. 1									
33. --Charles Schwab Money Fund	A	Interest	J	T					
34. --Rainier Small;Mid Cap Equity Portfolio	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3. Value Method Codes (See Column C2)					

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35. Trust #1	D	Royalty	L	T					
36. --Royalty Interest #1, Nicholas County, WV									
37. --Royalty Interest #2, Nicholas County, WV									
38. --Royalty Interest #3, Nicholas County, WV									
39. --Royalty Interest #4, Nicholas County, WV									
40. --Royalty Interest #6, Nicholas County, WV									
41. --Royalty Interest #7, Nicholas County, WV									
42. --Royalty Interest #8, Nicholas County, WV									
43. --Royalty Interest #9, Nicholas County, WV									
44. --Royalty Interest #10, Randolph County, WV									
45. --Royalty Interest #11, Randolph County, WV									
46. --Royalty Interest #12, Randolph County, WV									
47. --Royalty Interest #13, Randolph County, WV									
48. --Royalty Interest #14, Randolph County, WV									
49. --Royalty Interest #15, Randolph County, WV									
50. --Royalty Interest #16, Braxton County, WV									
51. --Royalty Interest #17, Braxton County, WV									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --Royalty Interest #18, Braxton County, WV									
53. --Royalty Interest #19, Braxton County, WV									
54. --Royalty Interest #20, Braxton County, WV									
55. --Royalty Interest #21, Braxton County, WV									
56. --Royalty Interest #22, Braxton County, WV									
57. --Royalty Interest #23, Braxton County, WV									
58. --Royalty Interest #24, Nicholas County, WV									
59. --Royalty Interest #25, Nicholas County, WV									
60. B B & T Account No. 1	A	Interest	J	T					
61. WV Media Partners	A	Int./Div.	J	T					
62.									
63.									

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544