

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Graber, Susan P.	2. Court or Organization U.S. Court of Appeals 9th Circuit	3. Date of Report 04/30/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address The Pioneer Courthouse 700 SW 6th Ave Portland OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1988	Oregon Public Employee's Retirement Systems (PERS) with former employer when I was a state court judge. (statutorily defined benefits, no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Portland General (retirement income)
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. U.C. Davis School of Law	January 26 & 27 2008	Davis, CA	Moot Court Competition	Transportion, food and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Charles Schwab Cash Reserves #1	A	Dividend	J	T					
2. Charles Schwab Cash Reserves #2	A	Dividend	J	T					
3. Charles Schwab Cash Reserves #3 (Y)									
4. Oregon Savings Growth Plan		None	K	T					
5. PERS account (See description in Part II (1))		None	N	W					
6. [REDACTED] Farm LLC - appraisal dated 5/28/98	D	Distribution	M	Q					
7. IRA #1 - reported in the aggregate	E	Dividend							
8. --Vanguard Short Term Bond Index Fund			N	T	Buy (add'l)	11/19	M		
9. --Vanguard Intermediate Bond Index Fund					Sold	11/19	M		
10. --Vanguard Index 500			K	T	Sold (part)	7/15	L		
11. --Vanguard Mid-Cap Index			L	T	Sold (part)	10/8	L		
12. --Vanguard Total Stock Market Index			L	T					
13. --Vanguard GNMA Fund					Buy	10/8	M		
14. --Vanguard GNMA Fund			M	T	Buy (add'l)	11/19	L		
15. --Vanguard Inflation Protected Securities					Buy	07/15	M		
16. --Vanguard Inflation Protected Securities					Sold	11/19	L		
17. IRA #2 - reported in the aggregate	E	Dividend							

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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18. --Vanguard Short Term Bond Index Fund			M	T	Buy (add'l)	11/19	M		
19. --Enron Common Stock			J	T					
20. --Schwab Cash Reserves	A	Dividend			Transferred (to line 21)				
21. --Schwab US Treasury Money Market Funds	A	Dividend	M	T	Transferred (from line 20)				
22. --Vanguard Mid Cap Index Fund					Sold (part)	6/25	L		
23. --Vanguard Mid Cap Index Fund					Sold	9/26	L		
24. --Vanguard Intermediate Bond Fund Index					Buy	1/24	L		
25. --Vanguard Intermediate Bond Fund Index					Sold (part)	6/20	K		
26. --Vanguard Intermediate Bond Fund Index					Sold	11/18	M		
27. --Vanguard Developed Markets Index Fund					Sold (part)	7/14	L		
28. --Vanguard Developed Markets Index Fund			L	T	Sold (part)	9/18	L		
29. --Schwab Core Equity Fund					Sold (part)	6/20	K		
30. --Schwab Core Equity Fund			M	T	Sold (part)	7/14	L		
31. --Schwab Yield Plus Select					Sold	1/18	M		
32. --Fidelity Equity Income Fund					Sold	1/23	L		
33. --Vanguard GNMA Fund					Buy (add'l)	1/22	M		
34. --Vanguard GNMA Fund			N	T	Buy (add'l)	11/19	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. --Vanguard Inflation Protected Securities					Buy	6/26	L		
36. --Vanguard Inflation Protected Securities					Buy (add'l)	7/15	L		
37. --Vanguard Inflation Protected Securities					Sold	11/18	M		
38. --Vanguard Energy Fund					Buy	6/23	K		
39. --Vanguard Energy Fund					Buy (add'l)	7/15	L		
40. --Vanguard Energy Fund					Sold	10/07	L		
41. --Vanguard REIT Index					Buy	6/23	K		
42. --Vanguard REIT Index					Sold	9/26	K		
43. IRA #3 --Fidelity Spartan International Index Fund	A	Dividend	K	T					
44. Trust #1									
45. --Vanguard Short Term Bond Index Fund	A	Dividend			Sold (part)	2/19	K		
46. --Vanguard Short Term Bond Index Fund					Sold	6/25	K		
47. --Schwab Cash Reserves	A	Dividend			Transferred (to line 48)				
48. --Schwab US Treasury Money Market Fund	A	Dividend	K	T	Transferred (from line 47)				
49. Vanguard Index 500	A	Dividend			Sold	9/18	J		
50. Schwab Cash Reserves	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, lines 1-3: The Charles Schwab Cash Reserve funds are cash-equivalent funds. [REDACTED] fund is listed separately.

Part VIII - IRA accounts, lines 7, 17, & 43. Gains and losses are not reported to us in detail in these accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544