

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                       |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>WOODLOCK, DOUGLAS P.                                                                                                              | <b>2. Court or Organization</b><br><br>DISTRICT OF MASSACHUSETTS                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>08/15/2011                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>ACTIVE U. S. DISTRICT JUDGE                                                | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br><br>United States Courthouse<br>Suite 4110, 1 Courthouse Way<br>Boston, MA 02210                                                                               | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                      |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                       |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. Trustee      | Trust No. 1                        |
| 2. Trustee      | Trust No. 2                        |
| 3.              |                                    |
| 4.              |                                    |
| 5.              |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

Woodlock, Douglas P.

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                              |
|-------------|-----------------------------------------------------|
| 1. 2010     | Provided care and assistance for elderly [REDACTED] |
| 2.          |                                                     |
| 3.          |                                                     |
| 4.          |                                                     |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X " after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Liquidated 2001                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 2. Liquidated 2001                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 3. Fidelity Cash Reserves (Money Market)<br>[FDRXX]                                                                        | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 4. Merrill Lynch-CMA BoA Tax Exempt<br>(Money Market Account)                                                              | A                                       | Dividend                               |                                                 |                                    | Sold                                       | 12/03/10         | L                        |                         |                                                            |
| 5. No longer reportable                                                                                                    |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 6. Liquidated 2000                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 7. Liquidated 1995                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 8. Liquidated 1993                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 9. Liquidated 2002                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 10. Liquidated 1993                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 11. Liquidated 2004                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 12. Liquidated 1995                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 13. Fidelity GNMA [FGMNX]                                                                                                  | C                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 14. Fidelity Independence [FDFFX]                                                                                          |                                         | None                                   |                                                 |                                    | Sold                                       | 03/16/10         | L                        | C                       |                                                            |
| 15. Fidelity Growth Company [FGDRX]                                                                                        | A                                       | Dividend                               | M                                               | T                                  | Sold<br>(part)                             | 03/16/10         | K                        | B                       |                                                            |
| 16. Fidelity Magellan [FMAGX]                                                                                              |                                         | None                                   |                                                 |                                    | Sold                                       | 03/16/10         | L                        | A                       |                                                            |
| 17. Liquidated 1995                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

Date of Report

08/15/2011

## VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Liquidated 1995                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 19. Fidelity Convertible Securities [FCVSX]                                                                                 |                                         | None                                   |                                                 |                                    | Sold                                       | 03/16/10         | L                        | B                       |                                                            |
| 20. Liquidated 1999                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 21. T.Rowe Price Small Cap [OTCFX]                                                                                          | A                                       | Dividend                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 22. Liquidated 1998                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 23. Liquidated 2007(Y)                                                                                                      |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 24. Liquidated 2005 (Y)                                                                                                     |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 25. Liquidated 1998                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 26. First Nat'l Bank of Ipswich (Checking/<br>Savings)                                                                      | A                                       | Interest                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 27. Liquidated 1998                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 28. Liquidated 1998                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 29. Liquidated 1998                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 30. Liquidated 1995                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 31. Liquidated 1999                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 32. Liquidated 1999                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 33. Liquidated 1996                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 34. Liquidated 1996                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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Date of Report

08/15/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 35. Liquidated 2005(Y)                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 36. Liquidated 2002                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 37. Liquidated 2006                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 38. No longer reportable 2002                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 39. Liquidated 2007                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 40. Liquidated 2008                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 41. Fidelity Disciplined Equity [FDEXQ]                                                                                     | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. Fidelity Stock Selector [FDSSX]                                                                                         | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. Trust No. 1                                                                                                             | E                                       | Distribution                                  | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. No longer reportable 2002                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 45. Liquidated 1996                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 46. Liquidated 2001                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 47. Liquidated 2000                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 48. Liquidated 2004                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 49. Davis New York Venture Fund, C1.A<br>[NYVTX]                                                                            | C                                       | Dividend                                      | N                                               | T                                         | Buy<br>(add'l)                                  | 01/11/10                | J                               |                                |                                                                   |
| 50.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/18/10                | K                               | D                              |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/28/10                | K                               | E                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/09/10                | J                               | B                              |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/10                | J                               | D                              |                                                                   |
| 54. Liquidated 2007                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 55. T.Rowe Price Blue Chip Growth [TRBCX]<br>[FRSRX]                                                                        | A                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 01/22/10                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/10                | J                               |                                |                                                                   |
| 57. Franklin Small Mid-Cap Growth Cl.A<br>[FRSGX] [X]                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58.                                                                                                                         | A                                       | Distribution                                  |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 59. Liquidated 1999                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 60. Liquidated 1999                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 61. Liquidated 1999                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 62. Liquidated 1999                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 63. Liquidated 1999                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 64. Liquidated 1998                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 65. Liquidated 1998                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 66. Liquidated 2000                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 67. Liquidated 2000                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 68. Liquidated 2006                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$50,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

WOODLOCK, DOUGLAS P.

Date of Report

08/15/2011

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Liquidated 2000                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 70. Liquidated 2007                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 71. Liquidated 2007                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 72. Liquidated 2000                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 73. Liquidated 2007                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 74. Liquidated 2007                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 75. Vanguard Prime (Money Market)<br>[VMMXX]                                                                                | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 76. Liquidated 2007                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 77. Vanguard Long Term Investment Grade<br>[VWETX]                                                                          | D                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 78. Liquidated 2006                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 79. Liquidated 2000                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 80. Mass. Turnpike Authority 1/1/13 (Bonds)                                                                                 | B                                       | Interest                               |                                                 |                                    | Redeemed                                   | 06/28/10         | K                        |                         |                                                            |
| 81. Liquidated 2005                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 82. Liquidated 2005                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 83. Vanguard Mid-Cap Index [VIMAX]                                                                                          | B                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 84. Vanguard Value Index [VVIAX]                                                                                            | B                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 85. Vanguard 500 Index [VFIAX]                                                                                              | B                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

Date of Report

08/15/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Vanguard Intermediate Term Bond Index [VBILX]                                                                           | D                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 87. Vanguard Short Term Bond Index [VBIRX]                                                                                  | C                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 88. Vanguard Treasury (Money Market) [VUSXX]                                                                                |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 89. Liquidated 2001                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 90. Liquidated 2001                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 91. Liquidated 2000                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 92. Van Kampen Mass VIMT [VMV]                                                                                              | B                                       | Dividend                               |                                                 |                                    | Sold<br>(part)                             | 04/27/10         | K                        |                         |                                                            |
| 93.                                                                                                                         |                                         |                                        |                                                 |                                    | Sold                                       | 04/29/10         | K                        |                         |                                                            |
| 94. Liquidated 2005                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 95. Liquidated 2005                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 96. Liquidated 2007                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 97. Liquidated 2001                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 98. Liquidated 2005                                                                                                         |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 99. Fidelity Advisor High Inc. Advantage, Cl.T [FAHYX]                                                                      | D                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 100. Sequoia [SEQUX]                                                                                                        | B                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 101. Liquidated 2007                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 102. T. Rowe Price Mid-Cap Value [TRMCX]                                                                                    | B                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |

|                                                  |                                                                                 |                                                    |                                                                                    |                                                          |                       |
|--------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A=\$1,000 or less<br>F=\$50,001 - \$100,000                                     | B=\$1,001 - \$2,500<br>G=\$100,001 - \$1,000,000   | C=\$2,501 - \$5,000<br>H1=\$1,000,001 - \$5,000,000                                | D=\$5,001 - \$15,000<br>H2=More than \$5,000,000         | E=\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J=\$15,000 or less<br>N=\$250,001 - \$500,000<br>P3=\$25,000,001 - \$50,000,000 | K=\$15,001 - \$50,000<br>O=\$500,001 - \$1,000,000 | L=\$50,001 - \$100,000<br>P1=\$1,000,001 - \$5,000,000<br>P4=More than \$5,000,000 | M=\$100,001 - \$250,000<br>P2=\$5,000,001 - \$25,000,000 |                       |
| 3. Value Method Codes<br>(See Column C2)         | Q=Appraisal<br>U=Book Value                                                     | R=Cost (Real Estate Only)<br>V=Other               | S=Assessment<br>W=Estimated                                                        | T=Cash Market                                            |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

Date of Report

08/15/2011

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Liquidated 2007                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 104. Wellington Shields Brokerage-Evergreen<br>Money Market Fd.C1.A                                                         | A                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 105. Liquidated 2007                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 106. Liquidated 2005                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 107. Liquidated 2007                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 108. T. Rowe Price Value [TRVLX]                                                                                            | A                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 109. VanguardSmall-CapIndex [VSMAX]                                                                                         | B                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 110. Vanguard Extended Market Index<br>[VEXAX]                                                                              | B                                       | Dividend                               | M                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 111. T. Rowe Price New American Growth<br>[PRWAX]                                                                           | A                                       | Dividend                               |                                                 |                                    | Sold                                       | 11/09/10         | L                        |                         |                                                            |
| 112. T. Rowe Price Equity Income [PRFDX]                                                                                    | A                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 113. Liquidated 2008                                                                                                        |                                         |                                        |                                                 |                                    |                                            |                  |                          |                         |                                                            |
| 114. Trust No. 2                                                                                                            | E                                       | Distribution                           | N                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 115. T. Rowe Price Emerging Mkts. [PRMSX]                                                                                   | A                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 116. Dodge & Cox International [DODFX]                                                                                      | A                                       | Dividend                               | K                                               | T                                  | Buy<br>(add'l)                             | 01/22/10         | J                        |                         |                                                            |
| 117. Dodge & Cox Income [DODIX]                                                                                             | C                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 118. T.Rowe Price Mid-Cap Growth [RPMGX]                                                                                    | A                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 119. Fidelity Real Estate Investment [FRESX]                                                                                |                                         | None                                   |                                                 |                                    | Sold                                       | 03/16/10         | K                        | C                       |                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOODLOCK, DOUGLAS P.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Liquidated 2008                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 121. Oppenheimer Equity Income [OAEIX]                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/12/10                | J                               | A                              |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/20/10                | J                               |                                |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/18/10                | J                               |                                |                                                                   |
| 124. Fidelity Four-In-One [FFNOX]                                                                                           |                                         | None                                          |                                                 |                                           | Buy                                             | 01/13/10                | J                               |                                |                                                                   |
| 125.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 03/15/10                | J                               | A                              |                                                                   |
| 126. Vanguard Int'l Stock Index [VGTSX](X)                                                                                  | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 03/15/10                | L                               |                                |                                                                   |
| 127. Vanguard Total Bond Index [VBTIX](X)                                                                                   | C                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 03/15/10                | M                               |                                |                                                                   |
| 128. Vanguard Total Stock Index [VTSAX](X)                                                                                  | C                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 03/15/10                | M                               |                                |                                                                   |
| 129. Fidelity Spartan 500 Index [FUSVX]                                                                                     | B                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 03/16/10                | M                               |                                |                                                                   |
| 130. Fidelity Spartan Extended Market [FSEVX]                                                                               | C                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 03/16/10                | M                               |                                |                                                                   |
| 131. Fidelity Spartan Int'l Index [FSIVX]                                                                                   | C                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 03/16/10                | M                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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**FINANCIAL DISCLOSURE REPORT**

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WOODLOCK, DOUGLAS P.

Date of Report

08/15/2011

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part I and Part VII:

Trust No. 1 (Part I, Item 1; Part VII, Line 43) and Trust No. 2 (Part I, Item 2; Part VII, Line 108) are revocable trusts which do not appear to meet the definition of an Aggregate Ownership Arrangement. These trusts include assets fully disclosed within Part VII, where the income and value of such holdings are separately reported as part of the individual identification of those assets. Thus, the report of income and value provided for these trusts in Part VII constitutes duplicate reporting of the income and value of the underlying assets.

Part VII, Line 58:

The reported income consists of distribution payments, from a fund established by the SEC in an administrative proceeding, made by Prudential Securities, Inc. Distribution Fund to investors in this fund.

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ DOUGLAS P. WOODLOCK**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544