

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scheindlin, Shira A.	2. Court or Organization S.D.N.Y.	3. Date of Report 06/23/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge/active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address USDC-SDNY 500 Pearl Street New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Justice Resource Center
2. Director	Good Shepherd Services
3. Director	Sedona Conference
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Scheindlin, Shira A

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1-12/08	State University of New York
2. 1-12/08	IRA #2 withdrawal
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	1/10/08-1/14/08	Snowmass Vill., Colorado	Speaker	Travel, Food, Lodging
2. American Intellectual Property Law Ass'n	1/23/08-1/24/08	Phoenix, Arizona	Speaker	Travel, Food, Lodging
3. American Bar Association	3/27/08-3/29/08	Tucson, Arizona	Speaker	Travel, Food, Lodging
4. DePaul University Law School	4/02/08-4/04/08	Chicago, Illinois	Speaker	Travel, Food, Lodging
5. Sedona Conference	5/14/08-5/16/08	New Orleans, Louisiana	Speaker	Travel, Food, Lodging
6. ALI-ABA	5/28/08-5/30/08	Charleston, South Carolin	Speaker	Travel, Food, Lodging
7. Sedona Conference	8/21/08-8/22/08	Washington, DC	Board Meeting	Travel, Food, Lodging

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8. University of Indiana School of Law	10/20/08-10/23/08	Brussels, Belgium	Speaker	Travel, Food, Lodging
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9. Practicing Law Institute	12/3/08-12/7/08	San Francisco, Calif.	Speaker	Travel, Food, Lodging
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Countrywide Home Loans	Mortgage on Rental Property #1, Brooklyn, NY	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code: 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Prop. #1, Kings County, NY	F	Rent	P1	W					
2. Teachers Insurance Annuity Association	F	Interest	P1	T					
3. AXA Financial	A	Dividend	J	T					
4. Wachovia Bank Deposit Sweep	A	Dividend	J	T					
5. IRA #1	B	Dividend	M	T					
6. IRA Sentinel Cap Inc Markets Class B		None	K	T	Transferred (to line 14)	March			
7. IRA Sentinel Cap Growth Fund Class A*		None	K	T					
8. IRA Sentinel MidCap Growth Class B		None	K	T					
9. IRA Sentinel High Yield Bond Class B		None	K	T	Merged (with line 14)	10/3			
10. IRA Sentinel Intl Equity Class B		None	K	T					
11. IRA Sentinel Small Company B		None	K	T					
12. IRA Sentinel Common Stock Class B		None	K	T					
13. IRA Sentinel Gov't. Securities CI A		None	K	T					
14. IRA Sentinel Conservative Alloc. Class B		None	K	T					
15. Intel		None			Sold	5/5	J		
16. College Retirement Equities Fund		None	P1	T					
17. Supplemental and Group Retirement Annuities	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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18. Vanguard Int'l Mutual Fund	A	Dividend	J	T					
19. Pfizer	C	Dividend	M	T					
20. IBM Common Stock	A	Dividend			Sold	12/19	J	C	
21. IBM Common Stock	A	Dividend			Sold	12/19	J	D	
22. Brooklyn Federal S & L	A	Interest	J	T					
23. Brooklyn Federal S & L	A	Interest	J	T					
24. Chase Manhattan Bank	A	Interest	J	T					
25. Chase Manhattan Bank	A	Interest	K	T					
26. Chase Manhattan Bank	A	Interest	K	T					
27. Chase Manhattan Bank	C	Interest	L	T					
28. Chase Manhattan Bank	A	Interest	K	T					
29. Chase Manhattan Bank	A	Interest	K	T					
30. NYS Local Gov't Assist. Corp. Series C	B	Interest	K	T					
31. Fidelity Advantage Small Cap.		None	K	T					
32. Fidelity Advantage Mid Cap	A	Dividend	K	T					
33. IRA ACCOUNT #2	B	Distribution	K	T					
34. NWD Var Ins Trust NVIT S&P Index Fund C14*		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. IRA Fidelity VIP Contrafund		None	K	T					
36. IRA Dreyfus Growth & Income		None	J	T					
37. IRA DWS Variable Series One		None	J	T					
38. Brokerage Account #1									
39. Excelsior NY Tax Exempt Money Fund*(sweep Account)	D	Int./Div.			Transferred (to line 58)	5/5	M		
40. Excelsior NY Tax Exempt Money Fund*(Sweep Account)	A	Distribution			Transferred (to line 58)	5/5	M		
41. Excelsior NY Inter-term tax exempt Fund	C	Int./Div.			Merged (with line 60)	5/5	N		
42. Excelsior Large Cap Growth Fund		None			Transferred (to line 57)	3/28	M		
43. Excelsior Value and Restructuring	A	Int./Div.			Transferred (to line 54)	3/28	M		
44. Lazard Emerging Mkts	A	Dividend			Sold	12/4	J		
45. Lazard Emerging Mkts	A	Distribution			Sold	12/4	J		
46. Excelsior Equity Opp. FD		None			Buy	3/4	K		
47. Excelsior Equity Opp. Fund (Equity Core)	A	Dividend			Transferred (to line 55)	3/28	L		
48. Excelsior Directional Hedge Fund of Funds		None	L	T					
49. Julius Baer Inv. Fund		None			Sold (part)	11/30	J		
50. Julius Baer Inv. Fund		None			Sold (part)	12/3	J		
51. Julius Baer Inv. Fund	C	Dividend			Transferred (to line 61)	11/30	K		

1. Income Gain Codes. (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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52. Blair William Fund Int. Small Cap Growth Class I		None			Sold	9/29	K		
53. Columbia FDS SER TR Value & Restructuring FD CL Z	B	Dividend	M	T	Transferred (from line 43)	3/28	M		
54. Columbia FDS SER TR Value & Restructuring FD CL Z	A	Distribution	M	T	Transferred (from line 43)	3/28	M		
55. Columbia FDS SER TR I Select Opportunities FD CL-Z	A	Dividend			Transferred (from line 47)	3/28	L		
56. Columbia FDS SER TR I Select Opportunities FD CL-Z		None			Sold	12/4	K		
57. Columbia FDS SER TR I Select LGE CAP Grth FD CL-Z		None	L	T	Transferred (from line 42)	3/28	M		
58. Columbia NY Tax Exempt RES. FD(sweep account)	A	Dividend	J	T	Transferred (from line 39)	3/31	L		
59. Columbia NY Tax Exempt RES. FD (sweep Account)	A	Distribution	J	T	Transferred (from line 39)	3/31	L		
60. Columbia FDS SER TR I NY Inter muni BD FD CZ	D	Interest	N	T	Transferred (from line 41)	5/5	N		
61. ARTIO Int. Eq. FD LTD.		None	L	T	Transferred (from line 51)	11/30	L		
62. Pimco Foreign BD FD Insl. unhedged	B	Dividend			Sold	10/20	K		
63. Pimco Funds DEV LCLMKT	B	Dividend			Buy	3/4	K		
64. Pimco Funds DEV LCLMKT	B	Dividend			Sold	10/20	K		
65. ASTON Montag & Caldwell Grth FD CL1	A	Dividend	K	T	Buy	9/29	K		
66. ASTON Montag & Caldwell Grth FD CL1	B	Distribution	K	T	Buy	12/4	K		
67. Columbia NY InterMuni BD FD CL-Z		None	N	T	Buy	10/20	L		
68. Columbia Funds MidCap Growth		None			Buy	1/2	K		

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3. Value Method Codes (See Column C2)	U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Columbia Funds MidCap Growth		None			Sold	12/4	K		
70. Columbia DIV. INC FD CLZ	A	Dividend	L	T	Buy	12/4	L		
71. Janus ADVISER MidCap Grth FD	A	Dividend	K	T	Buy	12/4	K		
72. Barclay Bk MSCI India		None	J	T	Buy	6/10	J		
73. MSCI Pacific	A	Dividend	J	T	Buy	6/10	J		
74. MSCI Taiwan	A	Dividend			Buy	6/10	J		
75. MSCI Taiwan	A	Dividend			Sold	12/30	J		
76. MSCI South Korea	A	Dividend	J	T	Buy	6/10	J		
77. MSCI Mexico	A	Dividend	J	T	Buy	6/10	J		
78. MSCI Hong Kong	A	Dividend	J	T	Buy	6/10	J		
79. MSCI Hong Kong	A	Dividend	J	T	Buy	12/30	J		
80. FTSE Xinhua China	A	Dividend	J	T	Buy	6/10	J		
81. FTSE Xinhua China	A	Dividend	J	T	Buy	12/30	J		
82. SPDR Gold Trust	A	Distribution	J	T	Buy	6/10	J		
83. SPDR Gold Trust	A	Distribution	J	T	Buy	9/15	J		
84. SPDR Gold Trust	A	Distribution	J	T	Buy	12/29	J		
85. Brandywine Blue FD		None	J	T	Buy	10/27	J		

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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86. Brandywine Blue FD		None	J	T	Buy	12/29	J		
87. FBR Focus Fund	B	Distribution	J	T	Buy	9/18	J		
88. Harbor Bond Fund		None	J	T	Buy	12/29	J		
89. Long Leaf Partners Fund	A	Dividend	J	T	Buy	9/16	J		
90. Perritt Micro Cap FD		None	J	T	Buy	10/27	J		
91. Perritt Micro Cap FD		None	J	T	Buy	12/29	J		
92. United Community Bank (Scoottrade sweep account)		None	K	T	Buy	6/10	J		
93. Frontline LTD	A	Dividend	J	T	Buy	5/5	J		
94. Huaneng PWR	A	Dividend	J	T	Buy	5/5	J		
95. Windstream	A	Dividend	J	T	Buy	5/5	J		
96. Markwest	A	Dividend	J	T	Buy	11/14	J		
97. Ultra Financial partners		None	J	T	Buy	12/30	J		

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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII I. 39,40 , 58, 59 and 92. These are just sweep accounts for cash that accumulates in a brokerage account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FAULTS IN THE PREPARATION OF THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544