

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carnes, Julie E.	2. Court or Organization Northern District, Georgia	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 2167 U.S. Courthouse 75 Spring St. SW Atlanta, GA 30303	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	lawyer - self-employed; partner in law firm
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 24-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Associated Credit Union	A	Interest	M	T					
2. Regions Bank	D	Interest	M	T					
3. SunTrust Bank (various accounts)	C	Interest	N	I					
4. SunTrust Bank Trustee	A	Interest	J	T					
5. Wachovia Bank	A	Interest	L	T					
6. ING	D	Interest	M	T					
7. Schwab One Money Market	D	Interest	M	T					
8. Columbia Co. GA Wtr & Swr Rev Due 06/01/2015	B	Interest	L	T					
9. Municipal Elec Auth GA Due 11/01/2013	D	Interest	M	T					
10. Countrywide Bank (formerly Treasury Bank, NA)	B	Interest			Redeemed	08/26/09	L	A	
11. First Bank	C	Interest	L	T					
12. Prudential Life Insurance Company Annuity	A	Dividend	O	T					
13. Georgia St Ser D Maty: 07/01/2009	A	Interest			Redeemed	07/01/09	K	A	
14. Cobb Co GA DV AU UNV Maty: 07/15/2009	A	Interest			Redeemed	07/01/09	K	A	
15. Georgia Hsg & Fin AU Maty: 12/01/2010	A	Interest	K	T					
16. Coweta Cnty GA Sch Sys Maty: 02/01/2011	A	Interest			Sold	03/10/09	L	B	
17. Georgia St Ser F Maty: 11/01/2011	A	Interest			Sold	03/10/09	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Municap Elec Auto GA Maty: 01/01/2012	D	Interest	M	T					
19. Georgia HSG & FIN AU Maty: 06/01/2012	C	Interest	M	T					
20. Savannah GA HSP AU Maty: 07/01/2012	D	Interest	M	T					
21. Fayette Co. GA Sch DT Maty: 09/01/2010	A	Interest	L	T					
22. Lowndes Cnty GA Pub Facs Maty: 06/01/2013	B	Interest	L	T					
23. GA H & F AU Single Fam Mtg Sr. A, Subsr A-2-AMT due 6/1/14	B	Interest	K	T					
24. Liberty Co. GA Hosp Auth Maty: 08/01/2014	B	Interest	K	T					
25. GA H & F AU Single Fam Mtg Sr. A, Subsr A-2-AMT due 6/1/15	B	Interest	K	T					
26. Gwinnett Co GA Wtr&Swr Maty: 03/01/2015	C	Interest	M	T					
27. GA Hsg&Fin AU Single Fam Mtg Sr.A, Subsr A-2-AMT due 6/1/16	B	Interest	K	T					
28. Fayette Co. GA Sch DT Maty: 03/01/2017	A	Interest	K	T					
29. Cobb Cnty GA Dev Auth Rev Ser A CIFG due 7/15/17	B	Interest	L	T					
30. Gainesville GA Wtr & Sew Rev Maty: 11/15/2017	B	Interest	M	T					
31. Savannah GA Hosp Auth Rev Maty: 07/01/2018	A	Interest			Sold	01/30/09	J	A	
32. Savannah GA Hosp Auto Rev Maty: 07/01/2018	A	Interest	J	T					
33. Silverton Bank	A	Interest			Redeemed	01/12/09	L		
34. Capital One Bank VA	B	Interest	L	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Schwab Money Market Fund	A	Dividend	M	T					
36. American Fund (AMCAP Fund)	A	Dividend	J	T					
37. American Fund (Washington Mutual Inv.)	A	Dividend	J	T					
38. American Fund (Capital World Bond Fund)	A	Dividend	J	T					
39. American Fund (Euro Pacific Growth Fund)	A	Dividend	J	T					
40. American Fund (Investment Co. of America)	A	Dividend	J	T					
41. American Fund (New Perspective Fund)	A	Dividend	J	T					
42. American Fund (New Economy Fund)	A	Dividend	J	T					
43. Value Line Premier Growth Fund(fmly Special Situations Fund)	A	Dividend	K	T					
44. Bestbank	C	Interest	M	T					
45. American Tax Exempt BD FD of AMER CL A					Sold	01/05/09	K	A	
46.	E	Dividend			Sold	04/30/09	P1	A	
47. Merrill Lynch Bank	B	Interest	M	T					
48. American Govt. Securities FD CL A	D	Interest	M	T					
49. BB&T Bank	D	Interest	M	T					
50. Phoenix AZ Ser A Maty: 7/01/21	C	Interest	M	T					
51. Kentucky ST PPTY &BLDGS 10/08/08 Nov 01/18	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
52. Pennsylvania ST First Ser A Nov 01/17	D	Interest	M	T					
53. Maine Mun Bd Bk Lt Ser C Nov 01/17	D	Interest	M	T					
54. Ohio ST Major New ST Infrastructure PJ Rev 1 Jun 15/16	D	Interest	M	T					
55. CMA Money Fund	A	Interest	N	T					
56. ML US Treasury Money Fund	A	Interest	J	T					
57. Georgia B & T NA	B	Interest	L	T	Buy	02/19/09	L		
58. Sterling Savings BK	B	Interest	L	T	Buy	02/11/09	L		
59. Homestreet Bank	B	Interest	L	T	Buy	02/12/09	L		
60. FNB of the South	A	Interest	K	T	Buy	02/18/09	K		
61. Huntington NB	B	Interest	L	T	Buy	02/18/09	L		
62. Capmark Bank NA	B	Interest	L	T	Buy	02/18/09	L		
63. Sallie Mae Bank	B	Interest	L	T	Buy	02/18/09	L		
64. Discover Bank NA	C	Interest	L	T	Buy	02/18/09	L		
65. United Commerce Bank					Buy	02/18/09	L		
66.	A	Interest			Redeemed	11/06/09	L	A	
67. Discover Bank NA	A	Interest	K	T	Buy	02/18/09	K		
68. Citibank	A	Interest	J	T	Open	01/01/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. U.S. Trsy Inflation Note APR 15 2010	A	Interest	K	T	Buy	01/28/09	K		
70. U.S. Trsy Inflation Note APR 15 2011	A	Interest	K	T	Buy	01/28/09	K		
71. U.S. Trsy Inflation Note APR 15 2012	A	Interest	K	T	Buy	01/28/09	K		
72. The Endowment Registered Fund LP	A	Dividend	O	T	Buy	07/30/09	N		
73. First Eagle Overseas CL I					Buy	10/27/09	K		
74.	B	Dividend	K	T	Buy	12/01/09	J		
75. First Eagle Gold CL I					Buy	10/27/09	K		
76.	A	Dividend	K	T	Buy	12/01/09	J		
77. Ivy Global Natural Resources FD CL I					Buy	10/27/09	K		
78.	A	Dividend	K	T	Buy	12/01/09	J		
79. Pimco Unconstrained Bond Fund CL P					Buy	10/27/09	L		
80.	C	Dividend	K	T	Buy	12/01/09	K		
81. American New World Fund CL F2					Buy	10/27/09	K		
82.	A	Dividend	K	T	Buy	12/01/09	J		
83. T Rowe Price Health Sciences FD Retail Class					Buy	10/27/09	K		
84.	A	Dividend	K	T	Buy	12/01/09	J		
85. Oppenheimer Developing Markets FD CL A					Buy	10/27/09	K		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., repl. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.	A	Dividend	K	T	Buy	12/01/09	J		
87. Templeton Gbl Bond FD ADV CL					Buy	10/27/09	L		
88.			M	T	Buy	12/01/09	K		
89. Blackrock Global Allocation FD Inc INSTL					Buy	10/27/09	K		
90.	A	Dividend	K	T	Buy	12/01/09	J		
91. Eaton Vance Gbl Macro Absolute Return CL I	C	Dividend	L	T	Buy	12/01/09	L		
92. Goldman Sachs Absolute Return Tracker FD CL A	A	Dividend	L	T	Buy	12/01/09	L		
93. Washington Cnty Ore. Maty 10/1/19	D	Dividend	M	T	Buy	03/20/09	M		
94. Blackrock GNMA C	A	Dividend	K	T	Buy	10/30/09	M		
95. Eaton Vance Strategic Income Fund	A	Dividend	K	T	Buy	10/30/09	K		
96. Lord Abbett Short Duration Income Fund	A	Dividend	K	T	Buy	10/30/09	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544