

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Lungstrum, John W	2. Court or Organization USDC, District of Kansas	3. Date of Report 4/26/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Visiting Professor from the Judiciary	University of Kansas School of Law (as approved by Chief Judge of my Circuit)
2. Director and officer	Westward Ho, Inc. [REDACTED] corporation which owns residential property for personal use in Colorado)
3.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

RECEIVED
 2004 MAY -3 P 12:10
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lungstrum, John W

Date of Report

4/26/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	University of Kansas income for approved teaching	\$4963.28

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lungstrum, John W

Date of Report

4/26/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

\$0

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting

Lungstrum, John W

Date of Report

4/26/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Morgan Stanley Dean Witter Liquid Asset Fund (Money Mkt)	A	Dividend	L	T	Deps. & W/ds				
2. Morgan Stanley Dean Witter Pacific Growth (Mutual Fund)	A	Dividend	J	T	Div Reinvest				
3. American Opportunities Fund (Mutual Fund)	A	Distribution	J	T	Div Reinvest				
4. Westar Ener Inc f/k/a Western Resources Inc. (Common stock)	A	Dividend	K	T					
5. IRA (See Attachments 1 and 2)	D	Interest	O	T					
6. Resource Bank (CD)	A	Interest			Redemp.	05-01	K	A	
7. Eaton Vance Tax MGD Growth Fund (mutual fund)		None	K	T					
8. Kansas State DOT (Bond)	A	Interest	K	T					
9. Sedgwick Co. USD (Bond)	A	Interest	K	T					
10. Kansas City GO (Bond)	A	Interest			Redemp.	09-02	K	A	
11. Lawrence KS GO (Bond)	A	Interest	K	T					
12. Wichita Rev. (Bond)	A	Interest	J	T					
13. Johnson Co Wtr Dist (Bond)	B	Interest	K	T					
14. Kansas St. Hwy Rev (Bond)	A	Interest	J	T					
15. Shawnee Co. USD 437 (Bond)	A	Interest	J	T					
16. Johnson Co. Rev. (Bond)	A	Interest	J	T					
17. Kansas St. Dev. Fin Auth (Bond)	A	Interest	K	T					
18. Derby GO (Bond)	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting

Lungstrum, John W

Date of Report

4/26/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Kansas St Dev-U (Bond)	A	Interest	K	T					
20. Burlington Envr (Bond)	A	Interest	K	T					
21. Johnson Co. Pk & Rec. (Bond)	A	Interest	J	T					
22. Western Bank (CD)	A	Interest	J	T	Purchase	05-08	J		
23. Volkswagen Bank (CD)	A	Interest	J	T	Purchase	05-08	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lungstrum, John W

Date of Report

4/26/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII:

I have treated the stock dividend reinvestment programs (lines 2 and 3) as exempt because they merely represent a change in the form of the asset.

With regard to the IRA (line 6), I have only shown interest in Column B(2) because the computer program would permit listing only one type. However, dividends and capital gains were also realized by the IRA.

With regard to certain assets shown on the 2002 report with redemption transactions, I mistakenly showed a value code at the end of the reporting period even though assets were entirely disposed of and do not appear on the 2003 report. Those assets are Morgan Stanley Dean Witter Capital Growth (Mutual Fund), Nebraska Public Power District (Bond), Rosemont Ill Gen Obligation (Bond) and Johnson Co. GO (Bond).

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Lungstrum, John W

Date of Report

4/26/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/26/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Attachment 1

**John W. Lungstrum
District of Kansas**

April 26, 2004

VII. Investments and Trusts continued

Listing of assets in rollover IRA as of 31 December, 2003

Description of Assets	Value Code	Value Method
US T Bd Fed Strip (Zero coupon treasury)	K	T
MSDW Liq. Asset (Money market fund)	J	T
MSDW Value Added Equity (Mutual fund)	K	T
MSDW Mid-Cap Growth FD (Mutual fund)	K	T
MSDW European Growth Fund (Mutual fund)	J	T
Cornerstone Fund (Mutual fund)	K	T
Select Future Fund (Mutual fund)	K	T
MSDW Real Estate Fund (Mutual Fund)	K	T
MSDW Dividend Growth (Mutual Fund)	J	T
Delaware Trend Fund A (Mutual Fund)	J	T
Templeton Developing Mkts Fund A (Mutual Fund)	J	T
MSIF Small Cap Value Instl (Mutual Fund)	K	T
MSDW International Fund D (Mutual Fund)	K	T
Sempra Energy (Bond)	J	T
General Motors Acceptance Corp. (Bond)	J	T
Household Finance Corp. (Bond)	J	T
May Dept. Stores Co. (Note)	K	T
Financing Corp. Ser 1 (Treasury)	J	T

Description of Assets	Value Code	Value Method
Financing Corp. Ser D (Treasury)	J	T
MSDW S&P 500 Index (Mutual Fund)	J	T
Spectrum Global Bal (Mutual Fund)	J	T
Van Kampen Comstock A (Mutual Fund)	K	T
Van Kampen Aggressive Growth A (Mutual Fund)	J	T
MSD International Value Equity Fund (Mutual Fund)	J	T
Enterprise Growth A (Mutual Fund)	K	T
Fidelity Advisor Mid Cap A (Mutual Fund)	J	T
Ing Int'l Value A (Mutual Fund)	J	T
MFS Value Fund A (Mutual Fund)	K	T
Pimco High Yield Fund A (Mutual Fund)	J	T
Pimco Small Cap Value Fund (Mutual Fund)	J	T
Berger Mid Cap Value FD (Mutual Fund)	J	T
Davis Real Estate A (Mutual Fund)	J	T
Evergreen Int'l GRFDCLA (Mutual Fund)	J	T
S&P 500 Index Fund D (Mutual Fund)	K	T
MSDW High Yield Securities B (Mutual Fund)	J	T

Attachment 2
John W. Lungstrum
District of Kansas

April 26, 2004

Description of Assets	Type	Date	Value Code	Gain Code
MSIF Instl Equity Growth A (Mutual Fund)	Redemp	02/19/03	K	A
Berger Mid Cap Value FD(Mutual Fund)	Buy	02/20/03	J	
Davis Real Estate A (Mutual Fund)	Buy	02/20/03	J	
Evergreen Int'l GR FD CL A (Mutual Fund)	Buy	02/20/03	J	
Franklin Real Estate Secs A (Mutual Fund)	Sell	02/21/03	J	A
Putnam Int'l Growth Fund A (Mutual Fund)	Sell	02/21/03	J	A
Strong Opportunity Fund (Mutual Fund)	Sell	02/21/03	J	A
S&P 500 Index Fund D (Mutual Fund)	Buy	02/24/03	K	
Pimco High Yield Fund A (Mutual Fund)	Sell	04/22/03	J	A
MSDW Federal Securities Trust B (Mutual Fund)	Redemp	05/05/03	J	A
MSDW High Yield Securities B (Mutual Fund)	Buy	05/05/03	J	
MFS Value Fund A (Mutual Fund)	Sell	07/22/03	J	
Van Kampen Comstock A (Mutual Fund)	Sell	08/28/03	J	
MSIF Small Cap Value Instl (Mutual Fund)	Sell	10/20/03	J	
Fidelity Advisor Mid Cap A (Mutual Fund)	Sell	10/22/03	J	