

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CAUTHRON, ROBIN J.	2. Court or Organization WESTERN DISTRICT OF OKLAHOMA	3. Date of Report 04/08/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 4001 U.S. COURTHOUSE 200 N.W. 4TH STREET OKLAHOMA CITY, OK 73102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Oklahoma Special Olympics
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Cauthron, Robin J.

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

04/08/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Self-employed lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Okla. Ass'n of Defense Counsel	6/17-6/19/2010	Irving, Texas	Panelist	Summer Meeting of OADC
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

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04/08/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

04/08/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Allegiance Credit Union Oklahoma City, OK	A	Interest	K	T					
2. Legg Mason Partners: Appreciation Fund (mutual fund)	A	Dividend	J	T					
3. John Powell, Ltd. Partnership Tulsa County, OK real est.		None	K	R					See VIII, Explanations
4. Winston Oil Co. 1981-1982 Ltd. Partnership, Marion, OH		None	J	W					
5. Dage Oil Co., Ltd. Partnership Oklahoma City, OK		None	J	W					
6. Mineral interest in Woods County, OK	A	Royalty	J	W					
7. Persuasive Strategies, LLC	A	Interest	K	T					
8. BROKERAGE ACCOUNT #1									
9. -American Fund: American Balanced Fund C (mutual fund)	A	Dividend	J	T					
10. -American Fund: Capital Income Builder Fund (mutual fund)	A	Dividend	J	T					
11. -Amer. Fund: Capital World Growth & Income Fund C (mut fund)	A	Dividend	J	T					
12. -Income Fund of America C (mutual fund)	A	Dividend	J	T					
13. -Chesapeake Energy Corp (common stock)	A	Dividend	J	T					
14. -Superior Energy Services, Inc. (common stock)	A	Dividend	J	T					
15. -Janus Forty Fund	A	Dividend	J	T					
16. -Mainstay Lg Cap Growth Fund	A	Dividend	J	T					
17. -Blackrock Eq Dividend Fd	A	Dividend	J	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

04/08/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
18. -Seligman Lg Cap Value Fd	A	Dividend	J	T					
19. -Alger Small Cap Growth Fd	A	Dividend	J	T					
20. -TCW Small Cap Growth Fd	A	Dividend	J	T					
21. -Perkins Small Cap Value	A	Dividend	J	T					
22. -Royce Premier Fund	A	Dividend	J	T					
23. -AIM Developing Mkts Fd	A	Dividend	J	T					
24. -Lazard Emerging Markets	A	Dividend	J	T					
25. -Henderson Int'l Opp Fund	A	Dividend	J	T					
26. -Nuveen Trwds Int'l Val Fd	A	Dividend	J	T					
27. -Thornburg Int'l Value Fd	A	Dividend	J	T					
28. New York Life Ins. (whole life)	A	Interest	K	T					
29. IRA ACCOUNT #1									
30. -American Funds: Growth Fund of America (mutual fund)	A	Dividend			Sold	10/18/10	J	A	
31. -American Funds: Investment Co. of America (mutual fund)	A	Dividend			Sold	10/18/10	K	B	
32. -Langston University bonds	A	Interest			Matured	08/02/10	J	A	
33. -Oklahoma City Municipal Bonds	A	Interest			Matured	11/01/10	J	A	
34. -Citigroup	A	Dividend			Sold	02/19/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

04/08/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Continental Resources Inc. (common stock)	A	Dividend	J	T					
36. -General Electric Co. (see Part VIII)	A	Dividend	J	T					
37. -Netflix (common)					Buy	10/18/10	K		
38.					Sold	12/17/10	K	B	
39. -China Green Agriculture (common)					Buy	12/21/10	J		
40. -Microsoft (common)					Buy	12/03/10	J		
41. -Walmart (common)					Buy	12/10/10	J		
42. -Yongye International (common)					Buy	12/17/10	J		
43. Stock Exchange Bank, Woodward, OK	A	Interest	K	T					
44. BROKERAGE ACCT #2									
45. -Berkshire Hathaway A	A	Dividend	L	T					
46. -Langston University bonds	C	Interest	L	T					
47. -Oklahoma City Municipal Bonds	A	Interest			Matured	11/01/10	J	A	
48. -Sandridge Energy Co. (common)	A	Dividend	K	T	Buy (add'l)	08/11/10	J		
49. - Microsoft (common)					Buy	12/03/10	J		
50. -Walmart (common)					Buy	12/10/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

04/08/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts

Line 3 - John Powell Limited Partnership was purchased in 1973 for \$26,000.

Line 36 - In previous years, this item has been inadvertently listed under Brokerage Account #2, rather than IRA #1

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

04/08/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBIN J. CAUTHRON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CAUTHRON, ROBIN J.	2. Court or Organization WESTERN DISTRICT OF OKLAHOMA	3. Date of Report 08/15/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 4001 U.S. COURTHOUSE 200 N.W. 4TH STREET OKLAHOMA CITY, OK 73102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Oklahoma Special Olympics
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Cauthron, Robin J. A

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

08/15/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Self-employed lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Okla. Ass'n of Defense Counsel	6/17-6/19/2010	Irving, Texas	Panelist	Summer Meeting of OADC
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

08/15/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Allegiance Credit Union Oklahoma City, OK	A	Interest	K	T					
2. Legg Mason Partners: Appreciation Fund (mutual fund)	A	Dividend	J	T					
3. John Powell, Ltd. Partnership Tulsa County, OK real est.		None	K	R					See VIII, Explanations
4. Winston Oil Co. 1981-1982 Ltd. Partnership, Marion, OH		None	J	W					
5. Dage Oil Co., Ltd. Partnership Oklahoma City, OK		None	J	W					
6. Mineral interest in Woods County, OK	A	Royalty	J	W					
7. Persuasive Strategies, LLC	A	Interest	K	T					
8. BROKERAGE ACCOUNT #1									
9. -American Fund: American Balanced Fund C (mutual fund)	A	Dividend	J	T					
10. -American Fund: Capital Income Builder Fund (mutual fund)	A	Dividend	J	T					
11. -Amer. Fund: Capital World Growth & Income Fund C (mut fund)	A	Dividend	J	T					
12. -Income Fund of America C (mutual fund)	A	Dividend	J	T					
13. -Chesapeake Energy Corp (common stock)	A	Dividend	J	T					
14. -Superior Energy Services, Inc. (common stock)	A	Dividend	J	T					
15. -Janus Forty Fund	A	Dividend	J	T					
16. -Mainstay Lg Cap Growth Fund	A	Dividend	J	T					
17. -Blackrock Eq Dividend Fd	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

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3. Value Method Codes
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FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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28. New York Life Ins. (whole life)	A	Interest	K	T					
29. IRA ACCOUNT #1									
30. -American Funds: Growth Fund of America (mutual fund)	A	Dividend			Sold	10/18/10	J	A	
31. -American Funds: Investment Co. of America (mutual fund)	A	Dividend			Sold	10/18/10	K	B	
32. -Langston University bonds	A	Interest			Matured	08/02/10	J	A	
33. -Oklahoma City Municipal Bonds	A	Interest			Matured	11/01/10	J	A	
34. -Citigroup	A	Dividend			Sold	02/19/10	J	A	

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Continental Resources Inc. (common stock)	A	Dividend	J	T					
36. -General Electric Co. (see Part VIII)	A	Dividend	J	T					
37. -Netflix (common)					Buy	10/18/10	K		
38.					Sold	12/17/10	K	B	
39. -China Green Agriculture (common)		None	J	T	Buy	12/21/10	J		
40. -Microsoft (common)		None	J	T	Buy	12/03/10	J		
41. -Walmart (common)		None	J	T	Buy	12/10/10	J		
42. -Yongye International (common)		None	J	T	Buy	12/17/10	J		
43. Stock Exchange Bank, Woodward, OK	A	Interest	K	T					
44. BROKERAGE ACCT #2									
45. -Berkshire Hathaway A	A	Dividend	L	T					
46. -Langston University bonds	C	Interest	L	T					
47. -Oklahoma City Municipal Bonds	A	Interest			Matured	11/01/10	J	A	
48. -Sandridge Energy Co. (common)	A	Dividend	K	T	Buy (add'l)	08/11/10	J		
49. -Microsoft (common)		None	J	T	Buy	12/03/10	J		
50. -Walmart (common)		None	J	T	Buy	12/10/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

08/15/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts

Line 3 - John Powell Limited Partnership was purchased in 1973 for \$26,000.

Line 36 - In previous years, this item has been inadvertently listed under Brokerage Account #2, rather than IRA #1

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

CAUTHRON, ROBIN J.

Date of Report

08/15/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBIN J. CAUTHRON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544