

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Chair of Board of Trustees	Whitman College
2. Trustee	Hugh S. Cannon Foundation
3.	
4.	
5.	

RECEIVED
2009 MAY 18 P 3:02
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Thomson - West Legal Works	February 26-27, 2008	Atlanta, GA	Spoke at Patent Seminar	Cabs, airfare, parking, hotel, meals
2. Thomson-West Legal Works	March 5-6, 2008	Palo Alto, CA	Spoke at Patent Seminar	Cabs, airfare, parking, hotel, meals
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Credit Card	J
2. Bank of America	Credit Card	J
3. Whitman College	Multi-year pledge	M
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank	A	Interest	K	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2008 - \$813,990)	D	Rent	O	S					
4. Seattle, WA King Cnty Rental Property (2008 - \$284,000)	D	Rent	N	S					
5. ISROB Associates		None	J	U					
6. Bank of Washington Common Stock	A	Dividend	L	T					
7. BROKERAGE ACCOUNT #1									
8. -- Starbucks Common Stock		None	M	T					
9. -- Schwab Money Fund	F	Interest	M	T					
10. -- Silver Standard Common Stock		None	J	T					
11. -- SPDR Units	C	Dividend	L	T					
12. -- Energy Connect Group		None	J	T					Note 1
13. -- MSCI Em. Mkts I-Shares	E	Dividend	O	T	Buy	1/3	K		
14. -- MSCI EAFE Index Fund	E	Dividend	M	T	Sold (part)	1/3	K		
15. -- S&P 600 I-Shares	D	Dividend	N	T					
16. -- Vanguard REIT Fund	D	Dividend	N	T					
17. -- S&P 500 IShares	D	Dividend	N	T	Buy	1/3	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- Boston Priv. Fin. Hldg.	B	Dividend	K	T					
19. Chevron Common Stock	C	Dividend	M	T					
20. Vanguard GNMA Mutual Fund	D	Dividend	M	T	Donated (part)				
21. BROKERAGE IRA #1									
22. -- Starbucks Common Stock			M	T					
23. -- Gov't FHLBB Notes	D	Interest	L	T	Redeemed (part)	1/7	L		
24.					Redeemed (part)	4/24	K		
25.					Redeemed (part)	12/1	L		
26. -- Resolution Trust Strips			M	T	Redeemed (part)	7/15	J	C	
27. -- Providian Bank CD	B	Interest			Matured	4/2	K		
28. -- Doral Bank CD	B	Interest	L	T					
29. -- Flagstar Bank CD	C	Interest	L	T					
30. -- Morton Bank CD	C	Interest	L	T					
31. -- Fed Nat Mortg. Notes	E	Interest	N	T	Buy (add'l)	1/2	L		
32.					Redeemed (part)	2/4	L		
33. -- Citibank Bank Deposit Program	C	Interest	N	T					
34. -- MB Financial CD	C	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -- Lehman Bros Bank CD	A	Interest	K	T					
36. -- BMW Bank CD	C	Interest	L	T					
37. -- Metro Bank CD	C	Interest	L	T					
38. -- First Sign. Bank CD	B	Interest			Matured	11/26	K		
39. -- Sweden Zero Bonds					Matured	4/1	J	B	
40. -- International Lease Bonds	B	Interest			Redeemed	2/15	K		
41. -- Dow Chem. Bonds	A	Interest			Redeemed	3/17	J		
42. -- GMAC Bonds	B	Interest			Redeemed	3/17	K		
43. -- Merrill Lynch Notes	B	Interest			Matured	4/7	L		
44. -- MSCI EAFE Index I-Shares	D	Dividend	N	T					
45. -- Citicorp Term Notes	B	Interest			Matured	11/17	K		
46. -- S&P 600 IShares	D	Dividend	N	T					
47. -- TIPS IShares	E	Interest	N	T					
48. -- SW Bank of St. Louis CD	B	Interest	L	T	Buy	1/16	L		
49. -- Costco Corp. Notes	B	Interest	L	T	Buy	1/15	L		
50. -- Nat. City Bank CD	B	Interest	L	T	Buy	3/31	L		
51. -- First Bank of Puerto Rico CD	B	Interest	L	T	Buy	4/8	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	7/7	K		
53. -- GE Capit. Notes	B	Interest	L	T	Buy	5/23	L		
54. -- Banco Pop. Corp. N.A. CD	A	Interest	L	T	Buy	7/7	L		
55. -- GE Money Bank CD			L	T	Buy	10/2	L		
56. BROKERAGE IRA #2									
57. -- U.S. Treasury Strips		None	K	T					
58. -- S&P 500 I-Shares	A	Dividend	L	T					
59.					Buy (add'l)	1/3	J		
60. -- Schwab Money Fund	A	Interest	J	T					
61. BROKERAGE IRA #3									
62. -- U.S. Treasury Strips		None	K	T					
63. -- Am. Century Ultra Mut. Fund	A	Dividend	J	T					
64. -- Janus Balanced Mut. Fund	A	Dividend	J	T					
65. -- T.R. Price New Asia Mut. Fund	A	Dividend	J	T					
66. -- Schwab Money Fund	A	Interest	J	T					
67. -- MSCI EAFE Index Fund	A	Dividend	J	T	Buy	2/4	J		
68. BROKERAGE IRA #4									

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -- S&P 500 I Shares	B	Dividend	L	T					
70. -- Russell 2000 I Shares	A	Dividend			Sold	1/9	L	E	
71. -- Citibank Bank Deposit Program	A	Interest	J	T					
72. -- MSCI Em. Mkts I Shares	B	Dividend	K	T					
73.					Buy (add'l)	1/9	L		
74. -- S&P 600 I Shares	A	Dividend	K	T	Buy	1/9	L		
75. BROKERAGE ACCOUNT #2									
76. -- Maricopa, AZ Dev. Auth. Bond	A	Interest			Redeemed	1/2	J		
77. -- Phoenix, AZ G.O. Bond	B	Interest			Redeemed	7/1	K		
78. -- U of IL Notes	B	Interest	K	T					
79. -- WA St. Health Bonds	B	Interest	K	T					
80. -- Gilbert AZ G.O. Bonds	A	Interest	J	T					
81. -- Maricopa AZ SD Bonds	A	Interest	J	T					
82. -- Tamarack Funds	A	Interest	K	T					
83. HUGH CANNON FOUNDATION									
84. -- Boeing Common Stock	B	Dividend	K	T	Sold (part)	4/28	M	F	
85.					Sold (part)	12/10	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -- Exxon Mobil Common Stock	C	Dividend	L	T	Sold (part)	1/28	M	F	
87.					Sold (part)	4/28	N	F	
88.					Sold (part)	12/10	K	B	
89. -- Fannie Mae Common Stock	B	Dividend			Buy (add'l)	4/28	K		
90.					Sold	6/9	L	E	
91. -- Fed Home Loan Common Stock	B	Dividend			Sold	8/18	K		
92. -- Ford Common Stock					Sold (part)	1/28	K		
93.					Buy	4/29	L		
94.					Sold	10/24	K		
95. -- G.E. Common Stock	D	Dividend	K	T	Sold (part)	1/29	L	E	
96.					Sold (part)	4/28	M	E	
97.					Sold (part)	12/10	J	A	
98. -- IBM Common Stock	B	Dividend	L	T	Sold (part)	1/28	M	E	
99.					Sold (part)	4/23	M	E	
100.					Sold (part)	12/10	J		
101. -- Merck Common Stock	C	Dividend	L	T	Sold (part)	1/28	K	C	
102.					Sold (part)	4/23	J	B	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	12/10	J		
104. -- Pfizer Common Stock					Sold	1/28	M		
105. -- Safeco Common Stock	C	Dividend			Sold (part)	1/28	M	E	
106.					Sold	6/5	L	D	
107. -- Verizon Common Stock	D	Dividend	L	T	Sold (part)	4/23	K		
108. -- WA Mutual Bank Common Stock	C	Dividend			Sold (part)	1/28	M		
109.					Sold (part)	2/1	M		
110.					Sold (part)	4/23	L		
111.					Sold	10/28	J		
112. -- 3M Common Stock	C	Dividend	L	T	Sold (part)	1/28	M	D	
113.					Sold (part)	4/23	L		
114. -- Tamarack Money Fund	A	Dividend	M	T					
115. -- Medco Common Stock					Sold	1/28	K	E	
116. -- BP ADR	D	Dividend	L	T	Sold (part)	4/23	M	E	
117.					Sold (part)	12/10	J	B	
118. -- PacCar Inc.	B	Dividend	L	T	Buy	4/28	K		
119.					Sold (part)	12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -- US Basic Mat. I Shares	A	Dividend			Buy	6/5	L		
121.					Sold	8/11	L		
122. -- Financial SPDR					Buy	9/26	L		
123.					Sold	11/18	K		
124. -- Bancroft Fund	A	Dividend	K	T	Buy	11/18	K		
125. -- Eagle Mid Cap Fund			M	T	Buy	4/29	M		
126. -- Fidelity Lev. Co. Fund	A	Dividend	L	T	Buy	4/29	M		
127. -- Keeley Sm. Cap. Fund			M	T	Buy	4/29	M		
128. -- Russell Emerg. Mkts FD			L	T	Buy	2/4	M		
129. -- Thornberg Int'l Value FD	B	Dividend	M	T	Buy	4/29	M		
130. -- Thornberg Int'l Growth FD			L	T	Buy	4/29	M		
131. -- Eaton Vance Income FD	F	Interest	O	T	Buy	2/4	O		
132. BROKERAGE IRA #5									
133. -- Vanguard REIT Fund	D	Dividend	M	T					
134. -- Schwab Money Market Fund	C	Interest	K	T					
135. -- TIPS I-Shares	D	Interest	N	T					
136. -- S&P 600 I-Shares	D	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000

E=\$15,001 - \$50,000

2. Value Codes (See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000

H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes (See Column C2)

Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

S=Assessment
W=Estimated

T=Cash Market

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Microfield changed name to Energy Connect Group

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Robart, James L.

Date of Report

05/12/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR CONCEALS INFORMATION MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544