

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JACOBS, DENNIS	2. Court or Organization Court of Appeals, 2d Circuit	3. Date of Report 04/28/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address U. S. Courthouse 40 Foley Square New York, N.Y. 10007		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. JOHN LAIRD	WINE	\$ 700.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Muni Bond: NYC Ser F U/T Book Entry Dtd 3/1/95	A	Interest			CALL	02/15	K		
2. Muni Bond: New York NY Ser B Book Entry Unltd Tax 8/15/96	C	Interest	L	T					
3. Muni Bond: New York, NY Rfdg - Ser A FSA-CR 7/23/98	C	Interest	L	T					
4. Muni Bond: New York City Ser H G/O Book Entry 1/28/97	D	Interest	M	T					
5. Muni Bond: New York State Twy Auth Svc. Hwy & Brdg 8/1/00	B	Interest	L	T					
6. Muni Bond: New York City Ser L G/O MBIA Insd 6/10/97	B	Interest			EXCHANGED	01/21	L		
7. Muni Bond: New York NY Ser D FGIC 11/18/97	C	Interest	L	T					
8. Muni Bond: New York NY Ser L-MBIA-IBC 6/10/97	B	Interest			EXCHANGED	07/14	L		
9. Muni Bond: Long Island Pwr Au Elec Sys Gen Rv-A 5/1/98	B	Interest	K	T					
10. Muni Bond: Long Island Pwr Au Elec sys Rev Gen MBIA 10/15/98	C	Interest	L	T					
11. Muni Bond: New York NY Ser H-FGIC-TCRS UNLTD/TAX 3/29/01	B	Interest	K	T					
12. Muni Bond: New York ST DORM AUTH REVS SER-B-E.T.M. 7/1/90	B	Interest	K	T					
13. Muni Bond: New York ST DORM AUTH REVS RFDG-ST UNIV 8/1/93	C	Interest	L	T					
14. Muni Bond: New York ST TWY AUTH SVC CRCT REV RFDG 8/15/98	C	Interest	L	T					
15. Muni Bond: New York ST TWY AUTH SVC CRCT REV RFDG F9/1/97	B	Interest	K	T					
16. Muni Bond: New York ST URB DEV CRP RV-B-CRCTL FACS 11/1/98	C	Interest	L	T					
17. Muni Bond: L INY Pwr Au Elec Sys Gen Rv DTD 5/1/98	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000 Q - Appraisal U - Book Value	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000 R - Cost (Real Estate Only) V - Other	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000 S - Assessment W - Estimated	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000 T - Cash Market	E - \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS — *Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)*

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Muni Bond: Greenwich NY Cent Sch Dist Rfdg DTD 6/24/02	C	Interest	L	T					
19. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser C 7/1/02	C	Interest	L	T					
20. Muni Bond: NY St TWY Auth Hwy & Brdg Rfdg Ser C 7DTD7/1/02	C	Interest	L	T					
21. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser B DTD 7/1/00	D	Interest	M	T					
22. Muni Bond: NYS Twy Auth Svc Cont Rev Rfdg DTD 8/7/02	C	Interest	L	T					
23. Muni Bond: NYS TWY Auth Hwy & Brdg TR Fd Ser A FSA dated 3/1	B	Interest			SELL	10/18	K		
24. Muni Bond: NY St UDC Corr & Youth Facs Svc Contract 11/26/02	B	Interest	L	T					
25. Muni Bond: NY Ser F MBIA IBC OID 11/21/96	B	Interest	K	T					
26. Muni Bond: New York NY Ser F MBIA IBC OID 11/21/96	C	Interest	L	T					
27. Muni Bond: New York NY Ser E FGIC UT 11/21/96	B	Interest	K	T					
28. Muni Bond: New York NY Ser E FGIC U/T 11/21/96	B	Interest	K	T					
29. Muni Bond: NYS Dorm Au Rev Upstate CNMTY 3/3/05	A	Interest	K	T	BUY	02/23	K		
30. Muni Bond: NYC G/O Ser E Ref FSA 11/10/04	B	Interest	K	T	BUY	03/01	K		
31. Muni Bond: NYNY Ser L MBIA IBC 6/10/97	A	Interest	K	T	EXCHANGED	07/14	K		
32. Muni Bond: NYNY Ser L MBIA IBC 6/10/97	A	Interest	K	T	EXCHANGED	07/14	K		
33. Muni Bond: NYNY Ser L U/T MBIA 6/10/97	A	Interest	K	T	EXCHANGED	01/21	K		
34. Muni Bond: NYNY Ser L U/T MBIA 6/10/97	A	Interest	K	T	EXCHANGED	01/21	K		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 - \$25,000,001 - \$50,000,000 Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	P4 - More than \$50,000,000 S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Money Mkt: Smith Barney Muni NY Money Mkt. Portfolio	A	Dividend	J	T					
36. Checking: Chase Manhattan Bank, NYC	A	Interest	J	T					
37. Checking: Amalgamated Bank of New York	A	Interest	J	T					
38. Chase Manhattan Mortgage Corp: Escrow Account	A	Interest	J	T					
39. Fidelity Rollover IRA	F	Dividend	PI	T					
40. - Fidelity Spartan 500 Index					CONVERSION	10/14	M		
41. - Vanguard Index Trust S&P 500 Portfolio									
42. - Fidelity Cash Reserves									
43. - Baron Growth Fund									
44. - Dodge & Cox Stock Fund									
45. - Royce Total Return Fund									
46. - American Growth Fund of America									
47. - Dreyfus Mid-Cap Fund									
48. - Bond: Fedl Home Loan Bank Bonds									
49. - Bond: Fedl Farm Cr Bks Cons Bd									
50. - Bond: Fedl Farm Credit Banks Funding Corp									
51. - Bond: Fedl Home Ln Bk 5/13/11									

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000 Q –Appraisal U –Book Value	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000 R –Cost (Real Estate Only) V –Other	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000 S –Assessment W –Estimated	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000 T –Cash Market	E –\$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Bond: Fedl Farm CR Bks FDG 12/20/10									
53. - Bond: Fedl Frm Cr BKS 4/11/12									
54. - Bond: Fedl Home Ln Bks Cons Bd 6/29/09									
55. - Bond: Fedl Home Ln Bk 11/15/11									
56. - Bond: Fedl Home Ln Bk 11/15/11									
57. - Bond: Fedl Home Ln Bks Gbl 9/16/13									
58. - Bond: Fedl Home Ln Bks 02/13/05					BUY	07/21	K		
59. TIAA-CREF		None	L	T					
60. - CREF Stock Account									
61.									

1. Income Gain Codes (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000 Q –Appraisal U –Book Value	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000 R –Cost (Real Estate Only) V –Other	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000 S –Assessment W –Estimated	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000 T –Cash Market	E –\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

With respect to Part VII. Investments and Trusts:

Line 6: A single bond , valued at L, was exchanged for two bonds of the same issue, each valued at K. The new bonds are listed at lines 33 and 34.

Line 8: A single bond, valued at L, was exchanged for two bonds of the same issue, each valued at K. The new bonds are listed at lines 31 and 32.

Line 40: Fidelity Investments notes a conversion of shares from Spartan 500 Index Investor Class to Spartan 500 Index FID Advantage Class. I did not initiate or direct this transaction, and there was no monetary gain or loss.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/28/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544