

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barkett, Rosemary	2. Court or Organization U. S. Court of Appeals, 11th Circuit	3. Date of Report 04/26/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 99 N.E. 4th Street Suite 1223 Miami, FL 33132	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/1/79	State of Florida, Div. of Retirement; Sect. 401(a), IRC
2. 10/1/82	Aetna Life Ins.; Deferred Comp., Sect. 457, IRC
3. 3/3/87	Nationwide Life Ins.; Deferred Comp., Sect. 457, IRC

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Barkett, Rosemary

Date of Report

04/26/2010

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	State of Florida Retirement (Aetna & Nationwide)	\$11,474.35
2. 2008	State of Florida Judicial Retirement	\$49,211.34
3. July 2008	New York University -- Teaching Appellate Judges Seminar	\$1,800.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Florida Supreme Court Historical Society, Inc.	1/29 - 30/2009	Tallahassee, Florida	Annual dinner meeting; speech	Transportation, meals
2.	University of Colorado	3/4 - 6/2009	Boulder, Colorado	Speech	Transportation, lodging, meals
3.	The American Society of International Law	3/19 - 20/2009	Washington, DC	Attend meeting	Transportation, lodging, meals
4.	The American Society of International Law	03/26 - 27/2009	Washington, DC	Panel discussion	Transportation, lodging, meals
5.	International Judicial Committee	4/5 - 9/2009	Strasbourg, France	Seminar	Lodging, meals
6.	University of California	4/17 - 19/2009	Sacramento, California	Moot court	Transportation, lodging, meals

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
Barkett, Rosemary

Date of Report
04/26/2010

7.	American Bar Association	5/24 - 6/1/2009	Dubai/Qatar, United Arab Emirates	Law forum	Transportation, lodging, meals
8.	American Constitution Society	6/18 - 20/2009	Washington DC	Speech	Transportation
9.	New York University	7/12 - 17/2009	New York, New York	Teaching	Transportation, lodging, meals
10.	Stanford University	8/13 - 16/2009	Stanford, California	Speech	Transportation, lodging, meals
11.	Florida State University	10/26 - 27/2009	Tallahassee, Florida	Meeting	Transportation
12.	Kids in Need of Defense	10/29 - 30/2009	Washington, DC	Panel discussion	Transportation, lodging

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
Barkett, Rosemary

Date of Report
04/26/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wachovia Bank (re-mortgage)	Real Estate Mortgage (1/2 owner)	L
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Barkett, Rosemary

Date of Report

04/26/2010

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. A T & T, Inc. (formerly SBC & before that Pacific Telesis)	A	Dividend	K	T					
2. Altera	A	Dividend	K	T					
3. Amgen, Inc. (Amgn)		None	L	T					
4. Apple		None	J	T					
5. Applied Materials	A	Dividend	K	T					
6. Attl (formerly Firstcom FCLX)		None	J	T					
7. Cisco		None	K	T					
8. Citicorp (c) formerly Travelers Group	A	Dividend	J	T					
9. Coca Cola (KO)	A	Dividend	K	T					
10. Coming, Inc. (GLW)	A	Dividend	K	T					
11. Dell		None	J	T					
12. Walt Disney (DIS)	A	Dividend	J	T					
13. EMC Corp.		None	K	T					
14. Federal National Mortgage Association (Fannie MAE)		None	J	T					
15. Fid. Contra Fd FCNTX		None	K	T					
16. Hewlett-Packard (HPQ)	A	Dividend	L	T					
17. Intel Corporation	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000 R = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P = \$1,000,001 - \$5,000,000 Q = \$5,000,001 - \$25,000,000 R = \$25,000,001 - \$50,000,000	P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000	P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000	P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Barkett, Rosemary

Date of Report

04/26/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IBM	A	Dividend	J	T					
19. JP Morgan Chase (JPM)	A	Dividend	J	T					
20. Nokia Corp. (NOK)	A	Dividend	K	T					
21. North. Trust NTRS	A	Dividend	K	T					
22. Qualcomm, Inc. (QCOM)	A	Dividend	J	T					
23. Research Motion LTD		None	J	T					
24. Texas Instruments	A	Dividend	K	T					
25. Live Ops		None	L	T					
26. Aetna Deferred Comp Plan (now ING Life)	D	Dividend	L	T					
27. Nationwide	D	Dividend	L	T					
28. Skandia now GldnSelect (Am. Skandia transferred into ING)		None	M	T					
29. TRUST #1 (Global Managed Portfolio Level 5 (Citibank))	C	Dividend	N	T					
30. IRA #1 (ClearBridge Multi Cap Growth Portfolios (Citibank))	A	Dividend	M	T					
31. Citibank Money Market	A	Int./Div.	L						
32. Re-Parcel 1 -Lake Park, FL (1/2 Ownership)		None	N	W					
33. Re-Parcel 2-Key Largo, FL (1/4 ownership)		None	K	W					
34. Re-Parcel 4-Homestead, FL (1/3 ownership)		None	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	S = Assessment T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Barkett, Rosemary

Date of Report

04/26/2010

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Re-Parcel 5-Homestead, FL (1/2 ownership)		None	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 8 of 9

Name of Person Reporting Barkett, Rosemary	Date of Report 04/26/2010
--	-------------------------------------

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Barkett, Rosemary

Date of Report

04/26/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Sign

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544