

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ECHOLS, ROBERT L.	2. Court or Organization U.S.D.C., Middle Dist. of Tenn	3. Date of Report 05/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 801 BROADWAY, SUITE 824 NASHVILLE, TN 37203	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DEAN'S CIRCLE	UNIV. OF TENNESSEE COLLEGE OF LAW
2. CIVILIAN AIDE TO THE SECRETARY OF THE ARMY	UNITED STATES ARMY
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Echols, Robert L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. BANK OF AMERICA	MORTGAGE/INVESTMENT PROP/NAPLES,FL (Part VII, Line 6)	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COM. STOCK, BANK OF AMERICA	A	Dividend	J	T					
2. COM. STOCK, REGIONS BANK	D	Dividend	N	T					
3. COM. STOCK, WACHOVIA	A	Dividend	J	T					
4. PARCEL 1, COMMERCIAL BUILDING, NASHVILLE, TN	F	Rent	M	S					
5. 5 ACRES OF LAND, DECHERD, TN		None	J	S					
6. CONDOMINIUM, NAPLES FL		None	N	S					
7. ING USA Annuity & Life Ins- ANNUITY #1		None	K	T					
8. ING USA Annuity & Life Ins- ANNUITY #2		None	K	T					
9. ING USA Annuity & Life Ins- ANNUITY #3		None	L	T					
10. ING USA Annuity & Life Ins. ANNUITY #4		None	K	T					
11. IRA #2 -- UBS FINANCIAL	G	Int./Div.	P1	T					
12. - UBS FINANCIAL MONEY FUND									
13. - CORRECTIONS CORP. OF AMERICA									
14. - CITIGROUP, INC.									
15. - NETAPP, INC.									
16. - GENERAL ELECTRIC COMPANY									
17. -AMERIPRISE FINANCIAL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - COMMERCE UNION BANK									
19. - FIRST AMERICAN FINANCIAL HOLDINGS									
20. - AMERICAN FUNDS - BOND FUND OF AMERICA									
21. - BLACKROCK PFD & CORP. INCOME STRATEGIES FUND					Sold	03/18/09	K		
22. - CALAMOS CONV. OPPOR. & INCOME FUND					Redeemed	08/20/09	K		
23. - PIMCO HIGH INCOME FUND					Redeemed	03/20/09	K		
24. - BANK OF AMERICA (bond)									
25. - WACHOVIA BANK (CD)									
26. - GE MONEY BANK (CD)					Redeemed	11/06/09	L		
27. IRA -- WACHOVIA	A	Dividend	K	T					
28. - JOHN HANCOCK REGIONAL BANK FUND									
29. COM. STOCK, CHARLES SCHWAB	A	Dividend	J	T					
30. COM. STOCK, INTERNATIONAL PAPER	A	Dividend	J	T					
31. SECURITIES - UBS FINANCIAL									
32. - UBS FINANCIAL MONEY FUND	A	Interest	J	T					
33. - COM. STOCK, HOME DEPOT	A	Dividend	J	T					
34. - COM. STOCK, GENERAL ELECTRIC	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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35. - COM. STOCK, WALMART	A	Dividend	J	T					
36. - COM. STOCK, MCDONALDS	A	Dividend	J	T					
37. - AMERICAN FUNDS	A	Dividend	L	T					
38. IRA - PFS INVESTMENTS	B	Dividend	L	T					
39. - AIM CONSTELLATION FUND									
40. - AIM CHARTER FUND									
41. - AIM VALUE FUND									
42. COM. STOCK, EASTMAN KODAK CO.	A	Dividend	J	T					
43. COM. STOCK, EXXON CORPORATION	A	Dividend	K	T					
44. COM. STOCK, DOW CHEMICAL	A	Dividend	K	T					
45. REGIONS ACCOUNTS	C	Interest	J	T					
46. PRIMERICA ACCOUNT		None	J	T					
47. BANK OF AMERICA ACCOUNT	A	Interest	K	T					
48. GREEN BANK ACCOUNT	A	Interest	K	T					
49. COM. STOCK, ESSEX TECHNOLOGY		None	K	T					
50. AVENUE BANK ACCOUNTS	D	Interest	M	T					
51. RENTAL HOUSE, NASHVILLE, TN	D	Rent	M	W					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. FIFTH THIRD BANK ACCOUNTS	B	Interest	M	T					
53. RENTAL HOUSE, SPRINGFIELD, TN (X)	D	Rent	O	W					
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report)*

Section 7, Line 4:

This parcel was reassessed in January 2009, with an assessed value of \$106,680. The assessed value is 40% of the appraised value, which is \$266,700.

Section 7, Line 5:

This parcel has an assessed value of \$13,850. The assessed value is 25% of the appraised value, which is \$55,400.

Section 7, Line 6:

This parcel has an assessed value of \$606,970. The assessed value is 100% of the appraised value. This filer has a 50% interest in the property.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544