

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wardlaw, Kim M	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 06/22/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. COURT OF APPEALS 125 S. GRAND AVENUE PASADENA, CA 91105	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	[REDACTED]
2. Board of Trustees	[REDACTED]
3. Director	[REDACTED]
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA (695500)									
2. Adobe Systems Inc		None			Sell	6/19	J	A	
3. Aflac Inc	A	Dividend	J	T	Buy	9/13	J		
4. Aflac Inc					Buy	11/21	J		
5. Agilent Technologies Inc		None	J	T	Partial Sale	3/16	J	A	
6. Air Products & Chemicals Inc	A	Dividend	K	T	Buy	10/20	J		
7. Alcoa Inc	A	Dividend	J	T	Buy	3/2	J		
8. Allergan Inc	A	Dividend	K	T					
9. American International Group Inc	A	Dividend	K	T					
10. American Standard Co	A	Dividend	K	T					
11. Amylin Pharmaceuticals Inc		None			Partial Sale	4/28	J	B	
12. Amylin Pharmaceuticals Inc					Sell	5/3	J	B	
13. Arch Coal Inc	A	Dividend	J	T	Buy	9/21	J		
14. Arch Coal Inc					Buy	9/22	J		
15. Astrazeneca PLC ADR (NYSE)	A	Dividend	K	T	Partial Sale	7/28	J	B	
16. AT&T, Inc.	A	Dividend	J	T					
17. Autonation Inc		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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18. Avon Products Inc	A	Dividend	J	T	Partial Sale	1/30	J	A	
19. Avon Products Inc					Buy	11/3	J		
20. BAE Systems PLC Spon ADR	A	Dividend	J	T	Buy	4/4	J		
21. BAE Systems PLC Spon ADR					Buy	4/4	J		
22. Baker Hughes Inc	A	Dividend	J	T	Partial Sale	7/28	J	B	
23. Barrick Gold Corp US\$	A	Dividend	J	T	Buy	1/18	J		
24. Barrick Gold Corp US\$					Buy	1/23	J		
25. Barrick Gold Corp US\$					Buy	2/10	J		
26. Baxter International Inc	A	Dividend	J	T					
27. Berkshire Hathaway Inc CL B		None	J	T					
28. Campbell Soup Co	A	Dividend	K	T					
29. Canon Inc ADR	A	Dividend	J	T					
30. Capital One Financial Corp	A	Dividend	J	T					
31. Carnival Corp Common Paired Stock	A	Dividend			Buy	3/2	J		
32. Carnival Corp Common Paired Stock					Buy	7/10	J		
33. Carnival Corp Common Paired Stock					Partial Sell	9/21	J	A	
34. Carnival Corp Common Paired Stock					Sell	9/22	J	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. Cerner Corp		None	J	T	Buy	8/3	J		
36. Cerner Corp					Buy	8/4	J		
37. Cerner Corp					Buy	8/23	J		
38. Chevron Corp	A	Dividend	J	T	Buy	3/2	J		
39. Chevron Corp					Partial Sale	10/5	J	A	
40. Chevron Corp					Partial Sale	11/7	J	A	
41. Chubb Corp	A	Dividend			Buy	2/28	J		
42. Chubb Corp					Sell	11/10	J	A	
43. Cincinnati Finl Corp	A	Dividend	J	T					
44. Cisco Systems Inc		None	K	T	Buy	1/13	J		
45. Cisco Systems Inc					Buy	8/16	J		
46. Citigroup Inc	A	Dividend	J	T					
47. Coca-Cola Co	A	Dividend	J	T	Buy	10/12	J		
48. Comcast Corp CL A		None	K	T					
49. Corning Inc Com		None	J	T	Buy	1/25	J		
50. Costco Wholesale Corp	A	Dividend	J	T	Partial Sale	4/26	J	A	
51. Costco Wholesale Corp					Partial Sale	10/17	J	B	

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52. Danaher Corp	A	Dividend	J	T					
53. Davita Inc		None	J	T					
54. Dell Inc		None	J	T	Buy	5/16	J		
55. Delta Petroleum Corp		None	K	T	Buy	3/2	J		
56. Delta Petroleum Corp					Buy	5/19	J		
57. Delta Petroleum Corp					Buy	5/22	J		
58. Delta Petroleum Corp					Buy	5/24	J		
59. Disney Walt Co (The)-Disney Common	A	Dividend	J	T	Buy	1/6	J		
60. Disney Walt Co (The)-Disney Common					Buy	1/9	J		
61. Disney Walt Co (The)-Disney Common					Buy	1/13	J		
62. Disney Walt Co (The)-Disney Common					Buy	1/13	J		
63. Duke Energy Corp	A	Dividend	K	T					
64. East West Bancorp Inc	A	Dividend	J	T					
65. Embarq Corp Com		None			Spin Off	5/18	J		From Sprint Nextel Corp
66. Embarq Corp Com					Sell	5/31	J	A	
67. Emerson Electric Co	A	Dividend	K	T					
68. EOG Resources Inc	A	Dividend	J	T	Buy	9/28	J		

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69. Exelixis Inc		None	J	T	Buy	5/23	J		
70. Exelixis Inc					Buy	5/24	J		
71. Exelon Corporation	A	Dividend	J	T					
72. Exxon Mobil Corp	A	Dividend	K	T	Partial Sale	8/17	J	B	
73. Exxon Mobil Corp					Partial Sale	11/7	J	B	
74. Fedex Corp	A	Dividend	J	T					
75. Fifth Third Bancorp	A	Dividend	J	T					
76. Fluor Corp	A	Dividend	K	T					
77. Forest Labs Inc		None	K	T					
78. Gallagher Arthur J	A	Dividend	J	T					
79. General Dynamics Corp	A	Dividend	J	T					
80. General Electric Co	B	Dividend	K	T	Buy	2/3	J		
81. General Electric Co					Buy	3/2	J		
82. General Growth Properties Inc	A	Dividend	K	T					
83. Golden West Financial Corp	A	Dividend			Merger	10/2	J	A	Into Wachovia Corp
84. Hanesbrands Inc		None	J	T	Spin Off	9/5	K		From Sara Lee Corp
85. Hanesbrands Inc					Buy	9/11	J		

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86. Heineken NV ADR	A	Dividend	J	T	Partial Sale	1/13	J	A	
87. Hewlett-Packard Co	A	Dividend	J	T					
88. HSBC Holdings PLC ADR Spon New	A	Dividend	K	T					
89. Idearc Inc		None	J	T	Spin Off	11/17	J		From Verizon Communications
90. Illinois Tool Works Inc	A	Dividend	K	T	Buy	10/12	J		
91. Imclone Sys Inc		None	J	T					
92. Intel Corp	A	Dividend	J	T	Buy	3/6	J		
93. Intel Corp					Buy	3/21	J		
94. Intel Corp					Buy	4/28	J		
95. Intel Corp					Buy	5/9	J		
96. International Business Machines Corp	A	Dividend	J	T	Partial Sale	10/5	J	A	
97. Jarden Corp		None	J	T	Buy	6/27	J		
98. Jarden Corp					Buy	7/5	K		
99. Johnson Controls Inc		None	J	T	Buy	10/17	J		
100. JPMorgan Chase & Co	A	Dividend	K	T	Partial Sale	5/16	J	A	
101. JPMorgan Chase & Co					Partial Sale	9/13	J	B	
102. Kinder Morgan Management LLC		None	J	T	Partial Sale	2/14	J	A	

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103. Kinder Morgan Management LLC					Partial Sale	5/15	J	A	
104. Kinder Morgan Management LLC					Partial Sale	8/14	J	A	
105. Kinder Morgan Management LLC					Partial Sale	10/18	J	A	
106. Kinder Morgan Management LLC					Partial Sale	11/14	J	A	
107. Kraft Foods Inc CL A	A	Dividend	J	T	Buy	3/2	J		
108. Leggett & Platt Inc	A	Dividend	J	T	Partial Sale	5/25	J	A	
109. Leggett & Platt Inc					Partial Sale	7/10	J	A	
110. Lexmark International Inc CL A		None			Sell	2/10	J	A	
111. Lincare Holdings Inc		None	J	T	Buy	9/11	J		
112. Lowes Companies Inc	A	Dividend	K	T	Buy	5/25	J		
113. Lowes Companies Inc					Buy	7/10	J		
114. Marsh & McLennan Cos Inc	A	Dividend	K	T	Buy	3/3	J		
115. Marsh & McLennan Cos Inc					Buy	3/7	J		
116. Marsh & McLennan Cos Inc					Buy	7/27	J		
117. Marsh & McLennan Cos Inc					Buy	10/24	J		
118. Marsh & McLennan Cos Inc					Buy	10/25	J		
119. McDonalds Corp	A	Dividend	K	T					

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120. Medco Health Solutions Inc		None	J	T	Partial Sale	11/10	J	A	
121. Medtronic Inc	A	Dividend	J	T	Buy	3/14	J		
122. Medtronic Inc					Buy	3/31	J		
123. Microsoft Corp	A	Dividend	K	T	Buy	2/3	J		
124. Microsoft Corp					Buy	3/2	J		
125. Microsoft Corp					Partial Sale	5/2	J	A	
126. Millennium Pharmaceuticals Inc		None	J	T	Buy	4/28	J		
127. Motorola Inc	A	Dividend	J	T	Buy	9/13	J		
128. National Instruments Corp	A	Dividend	J	T					
129. Nestle Nam Spon ADR	A	Dividend	J	T					
130. Nokia Corp Sponsored ADR	A	Dividend	J	T	Buy	3/7	J		
131. Nokia Corp Sponsored ADR					Buy	3/8	J		
132. Novartis AG Namen Spon ADR	A	Dividend	J	T					
133. O&M Inv Partners/M Jackson		None	K	T					
134. Omnicom Group Inc	A	Dividend	K	T					
135. Pepsico Inc	A	Dividend	K	T					
136. Plains Exploration & Production Co		None			Buy	5/1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Plains Exploration & Production Co					Buy	5/2	J		
138. Plains Exploration & Production Co					Partial Sale	10/11	J	A	
139. Plains Exploration & Production Co					Sell	10/16	J	A	
140. Potash Corp of Saskatchewan Inc US\$	A	Dividend	K	T	Buy	10/17	J		
141. Procter & Gamble Co	A	Dividend	J	T					
142. Rentech Inc		None	J	T	Buy	9/25	J		
143. Rentech Inc					Buy	9/26	J		
144. Rentech Inc					Buy	9/27	J		
145. Rentech Inc					Buy	9/27	J		
146. Rentech Inc					Buy	9/28	J		
147. Rentech Inc					Buy	9/29	J		
148. Rio Tinto PLC ADR Spon	A	Dividend	K	T	Partial Sale	1/3	J	B	
149. Royal Dutch Shell CL A ADR	A	Dividend	K	T	Buy	3/2	J		
150. SAP AG Spon ADR	A	Dividend	K	T					
151. Sara Lee Corp	A	Dividend	K	T	Buy	6/2	J		
152. Sara Lee Corp					Buy	6/5	J		
153. Sara Lee Corp					Buy	6/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Sara Lee Corp					Buy	6/19	J		
155. Sara Lee Corp					Buy	6/23	J		
156. Sara Lee Corp					Buy	7/25	J		
157. Sara Lee Corp					Buy	7/31	J		
158. Schlumberger Ltd	A	Dividend	K	T	Partial Sale	4/25	J	C	
159. Semtech Corp		None	J	T					
160. Sepracor Inc		None	J	T	Buy	4/5	J		
161. Sepracor Inc					Partial Sale	7/25	J	A	
162. Sepracor Inc					Partial Sale	7/26	J	A	
163. Siemens AG ADR	A	Dividend	J	T					
164. SLM Corp	A	Dividend	K	T	Buy	12/6	J		
165. Southwest Airlines Co	A	Dividend	J	T					
166. Sprint Nextel Corp Series 1	A	Dividend	J	T	Buy	3/1	J		
167. Sprint Nextel Corp Series 1					Buy	4/5	J		
168. SSGA Money Market Fund	C	Dividend	K	T					
169. State Street Corp	A	Dividend			Partial Sale	5/4	J	B	
170. State Street Corp					Sell	5/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Suncor Energy Inc US\$	A	Dividend	J	T					
172. Target Corp	A	Dividend	K	T	Buy	4/12	J		
173. Target Corp					Buy	10/5	J		
174. Time Warner Inc	A	Dividend	K	T					
175. Umpqua Holdings Corp	A	Dividend	J	T					
176. Unilever NV NY Shrs	A	Dividend	J	T					
177. Union Pacific Corp	A	Dividend	K	T					
178. United Parcel Service Inc CL B	A	Dividend	J	T	Buy	3/3	J		
179. United Technologies Corp	A	Dividend	K	T	Partial Sale	10/12	J	B	
180. Unitedhealth Group Inc		None	J	T	Buy	4/25	J		
181. Verigy Ltd		None	J	T	Spin Off	10/31	J		From Agilent Technologies
182. Verigy Ltd					Partial Sale	11/1	J	A	
183. Verizon Communications	A	Dividend	J	T					
184. Vodafone Group PLC ADR	A	Dividend			Sell	2/23	J	A	
185. Wachovia Corporation	A	Dividend	K	T	Buy	4/26	J		
186. Wachovia Corporation					Buy	7/10	J		
187. Wachovia Corporation					Partial Sale	10/2	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Washington Mutual Inc	A	Dividend	K	T	Buy	3/31	J		
189. Weatherford Intl Ltd		None	J	T	Partial Sale	4/25	J	B	
190. Wellpoint Inc		None	K	T	Partial Sale	10/12	J	B	
191. Wells Fargo & Co	A	Dividend	K	T					
192. Whole Foods Market	A	Dividend	J	T	Partial Sale	10/12	J	B	
193. Williams-Sonoma Inc	A	Dividend	J	T					
194. XL Capital Ltd CL A	A	Dividend	J	T					
195. Zymogenetics Inc		None	J	T	Buy	3/16	J		
196. Zymogenetics Inc					Buy	10/12	J		
197. Trust #1									
198. Columbia Gov Reserves Fund (fm'ly Nations Gov Resv Tst Fund)	A	Dividend			Sell	6/16	M	A	
199. Columbia Florida Muni Fund (fm'ly Nations Florida Muni Fnd)	B	Dividend			Sell	4/18	L	B	
200. Columbia Marsico Grwth Fund (fm'ly Nations Marsico Grwth Fd)		None			Sell	4/18	L	C	
201. Money Market Act	A	Interest	K	T	Opened Acct.	4/27	K		
202. [REDACTED] (IRA)									
203. Abbot Labs Com Stock	A	Dividend	K	T					
204. Air Prod & Chemicals Com Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Ambac Financial Com Stock	A	Dividend	K	T	Partial Sale	2/21	J	A	
206. Ambac Financial Com Stock					Partial Sale	10/17	J	A	
207. American Int'l Group Com Stock	A	Dividend	K	T	Partial Sale	2/21	J		
208. Amgen Inc. Com Stock		None	K	T					
209. Anadarko Petroleum Com Stock	A	Dividend	K	T					
210. Applied Materials Com Stock	A	Dividend	K	T					
211. Avery Dennison Com Stock	A	Dividend	K	T					
212. BHP Billiton Com Stock	A	Dividend	K	T	Partial Sale	5/15	J	B	
213. Biogen IDEC Com Stock		None	K	T					
214. BP PLC ADR Com Stock	A	Dividend	K	T					
215. Broadcom Corp Com Stock		None	K	T	Buy	5/15	K		
216. Burlington Santa Fe Com Stock	A	Dividend	K	T	Partial Sale	1/13	J	C	
217. Burlington Santa Fe Com Stock					Partial Sale	5/15	J	B	
218. Capital One Financial Com Stock	A	Dividend	K	T	Buy	2/14	K		
219. Caterpillar Com Stock	A	Dividend	K	T	Partial Sale	1/13	J	C	
220. Caterpillar Com Stock					Partial Sale	5/15	J	D	
221. Chevron Corp Com Stock	A	Dividend	K	T	Buy	10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Cisco Systems Com Stock		None	K	T					
223. Citigroup Com Stock	B	Dividend	K	T					
224. Colgate-Palmolive Com Stock	A	Dividend	K	T	Partial Sale	10/17	J	A	
225. Corning Inc Com Stock		None	K	T					
226. Danaher Corp Com Stock	A	Dividend	K	T					
227. Dell Inc Com Stock		None			Sell	5/15	K		
228. Dentsply Int'l Com Stock	A	Dividend	K	T					
229. Disney Co. Com Stock		None	K	T	Buy	2/21	K		
230. Du Pont Com Stock	A	Dividend			Sell	2/16	K		
231. Ebay Com Stock		None	K	T	Buy	2/21	K		
232. Ebay Com Stock					Buy	8/16	J		
233. FPL Group Com Stock	A	Dividend	K	T					
234. Fortune Brands Com Stock	A	Dividend	K	T	Buy	1/13	J		
235. Gannett Co Com Stock	A	Dividend	K	T					
236. General Electric Com Stock	A	Dividend	K	T					
237. Global Industries Com Stock		None	K	T	Buy	1/13	J		
238. Goldman Sachs Group Com Stock	A	Dividend	K	T	Partial Sale	2/21	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Goldman Sachs Group Com Stock					Partial Sale	10/17	J	C	
240. Home Depot Inc Com Stock	A	Dividend			Sell	8/16	J		
241. IBM Com Stock	A	Dividend	K	T					
242. IDEARC Com Stock		None	J	T	Spin Off	11/20	J		From Verizon
243. Intel Corp Com Stock	A	Dividend	K	T	Buy	8/16	J		
244. Johnson & Johnson Com Stock	A	Dividend	K	T					
245. JP Morgan Chase Com Stock	A	Dividend	K	T	Partial Sale	2/21	J	A	
246. JP Morgan Chase Com Stock					Partial Sale	10/17	J	B	
247. KB Homes Com Stock	A	Dividend			Partial Sale	1/13	J	B	
248. KB Homes Com Stock					Sell	8/16	J	B	
249. Lehman Bros Com Stock	A	Dividend	K	T	Partial Sale	2/21	J	C	
250. Lockheed Martin Com Stock	A	Dividend	K	T	Partial Sale	10/17	J	C	
251. Masco Com Stock	A	Dividend			Sell	2/14	J		
252. McGraw-Hill Com Stock	A	Dividend	K	T	Partial Sale	10/17	J	C	
253. Medtronic Inc. Com Stock	A	Dividend	K	T	Buy	8/16	J		
254. Microchip Tech. Com Stock	A	Dividend	K	T	Partial Sale	1/13	J	C	
255. Microsoft Corp. Com Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Nortell Network Com Stock		None			Sell	2/21	J		
257. Pfizer Inc Com Stock	A	Dividend			Sell	5/15	K		
258. Peets Coffee Inc Com Stock		None	K	T	Buy	1/13	K		
259. Peets Coffee Inc Com Stock					Buy	8/16	J		
260. Pitney Bowes Com Stock	A	Dividend			Sell	2/21	K		
261. Proctor & Gamble Com Stock	A	Dividend	K	T					
262. Qualcomm Inc. Com Stock	A	Dividend	K	T	Buy	2/21	K		
263. Qualcomm Inc. Com Stock					Buy	8/16	J		
264. Royal Dutch ADR Com Stock	A	Dividend	K	T					
265. Sara Lee Com Stock	A	Dividend			Sell	2/21	K		
266. SEI Investments	A	Dividend	K	T	Buy	2/21	K		
267. Sempra Energy Com Stock	A	Dividend	K	T	Partial Sale	10/17	J	B	
268. Smith Intl Com Stock	A	Dividend	K	T					
269. Stericycle Inc Com Stock		None	K	T					
270. Sysco Corp Com Stock	A	Dividend	K	T					
271. Target Corp Com Stock	A	Dividend	K	T					
272. Teva Pharm ADR Com Stock	A	Dividend	K	T	Buy	8/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Teva Pharm ADR Com Stock					Buy	8/16	J		
274. US Bancorp Com Stock	B	Dividend	K	T	Partial Sale	10/17	J	A	
275. United Natural Foods Inc.		None	K	T	Buy	5/15	K		
276. United Natural Foods Inc.					Buy	8/16	J		
277. UnumProvident Corp. Com Stock	A	Dividend	K	T	Buy	2/14	K		
278. Verisign Inc. Com Stock		None			Sell	2/21	J	B	
279. Verizon Com Stock	A	Dividend	K	T					
280. Vodafone ADR	C	Dividend	K	T					
281. Vulcan Materials Com Stock	A	Dividend	K	T	Buy	10/17	J		
282. Whole Foods Com Stock	A	Dividend			Partial Sale	1/13	J	B	
283. Whole Foods Com Stock					Sell	5/15	K	D	
284. Zimmer Holdings Com Stock		None	K	T	Buy	2/14	J		
285. DFA Emerging Markets Fund	A	Dividend	K	T	Buy	5/15	K		
286. DFA Large Cap Int'l Fund	C	Dividend	M	T					
287. DFA US Micro Cap Fund	B	Dividend	L	T					
288. Powershares Clean Energy Fund	A	Dividend	K	T	Buy	5/15	K		
289. Capital Trust Account									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Adobe Systems Inc		None			Partial Sale	6/16	K	A	
291. Adobe Systems Inc					Partial Sale	6/16	K	C	
292. Adobe Systems Inc					Sell	6/19	K	B	
293. Aflac Inc	A	Dividend	L	T	Buy	9/13	K		
294. Aflac Inc					Buy	11/21	K		
295. Aflac Inc					Buy	11/22	J		
296. Agilent Technologies Inc		None	L	T	Partial Sale	6/2	K	C	
297. Air Prods & Chems Inc.	C	Dividend	M	T					
298. Alcoa Inc	B	Dividend	L	T					
299. Allergan Inc	A	Dividend	M	T	Partial Sale	1/23	J	C	
300. Allergan Inc					Buy	6/2	K		
301. American Electric Power Co Inc		None			Sell	1/6	K	C	
302. American International Group Inc	A	Dividend	M	T					
303. American Standard Co	C	Dividend	M	T					
304. Amylin Pharmaceuticals Inc		None			Partial Sale	4/27	J	B	
305. Amylin Pharmaceuticals Inc					Partial Sale	4/28	K	D	
306. Amylin Pharmaceuticals Inc					Partial Sale	5/3	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Amylin Pharmaceuticals Inc					Partial Sale	5/4	J	B	
308. Amylin Pharmaceuticals Inc					Sell	5/4	J	B	
309. Arch Coal Inc	A	Dividend	K	T	Buy	9/21	K		
310. Arch Coal Inc					Buy	9/22	K		
311. Astrazenaca PLC ADR (NYSE)	D	Dividend	M	T	Buy	3/31	K		
312. AT&T Inc (formerly SBC Communications)	C	Dividend	L	T	Buy	12/5	J		
313. Autonation Inc		None	K	T	Buy	3/15	J		
314. Avon Products Inc	A	Dividend	K	T	Buy	1/9	J		
315. Avon Products Inc					Partial Sale	1/27	J	A	
316. Avon Products Inc					Partial Sale	1/30	L	A	
317. Avon Products Inc					Buy	11/1	K		
318. BAE Systems PLC Spon ADR	B	Dividend	L	T	Buy	4/4	L		
319. Baker Hughes Inc	A	Dividend	L	T	Partial Sale	4/25	K	E	
320. Baker Hughes Inc					Partial Sale	7/28	K	D	
321. Barrick Gold Corp US\$	A	Dividend	L	T	Buy	1/18	J		
322. Barrick Gold Corp US\$					Buy	1/19	J		
323. Barrick Gold Corp US\$					Buy	1/20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Barrick Gold Corp US\$					Buy	1/23	J		
325. Barrick Gold Corp US\$					Buy	2/10	K		
326. Baxter International Inc		None	K	T	Buy	1/9	K		
327. Berkshire Hathaway Inc CL A		None	M	T	Transfer In	8/9	L		See Line # 874
328. Berkshire Hathaway Inc CL B		None	L	T					
329. CA PUB WKS LEASE REV BONDS A AMBAC 5.125% 12-01-21	D	Interest	M	T					
330. CA ST DEPT WTR RES Q 5.0% 03-01-17	B	Interest	L	T					
331. CA ST ECON RECOV 04 B (P) 3.5% 07-01-23/07-01-08	D	Interest			Sell	7/12	M	A	
332. CA ST ECON RECOV A FGIC 5.25% 07-01-13	D	Interest	M	T					
333. CA ST GO 6.25% 09-01-12	C	Interest	L	T					
334. CA ST UNIV SYSTEMWIDE REV SER A AMBAC 5.0% 11-01-17	E	Interest	N	T					
335. CA STWD COP ODD FELLOW HSG 5.375% 10-01-13	B	Interest			Partial Sale	9/11	K	A	
336. CA STWD COP ODD FELLOW HSG 5.375% 10-01-13					Sell	10/1	J	A	
337. CA STWD JOHN MUIR HLTH W/I 5% 08/15/2021	C	Interest	N	T	Buy	5/23	N		
338. CALIFORNIA ST 5% 09/01/2031		None	N	T	Buy	9/7	N		
339. CALIFORNIA ST REF 5% 03/01/2020	D	Interest	N	T	Buy	8/24	N		
340. Campbell Soup Co	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Cannon Inc ADR	A	Dividend	L	T					
342. Capital One Financial Corp	A	Dividend	L	T	Buy	3/31	K		
343. Carnival Corp Common	B	Dividend			Buy	1/9	J		
344. Carnival Corp Common					Partial Sale	5/25	K	A	
345. Carnival Corp Common					Buy	6/27	K		
346. Carnival Corp Common					Buy	6/28	K		
347. Carnival Corp Common					Buy	7/10	K		
348. Carnival Corp Common					Partial Sale	9/21	K	C	
349. Carnival Corp Common					Sell	9/22	L	D	
350. Cerner Corp		None	L	T	Buy	8/1	J		
351. Cerner Corp					Buy	8/2	J		
352. Cerner Corp					Buy	8/2	J		
353. Cerner Corp					Buy	8/3	J		
354. Cerner Corp					Buy	8/3	J		
355. Cerner Corp					Buy	8/3	J		
356. Cerner Corp					Buy	8/4	J		
357. Cerner Corp					Buy	8/7	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Cerner Corp					Buy	8/7	J		
359. Cerner Corp					Buy	8/8	J		
360. Cerner Corp					Buy	8/9	J		
361. Cerner Corp					Buy	8/10	J		
362. Cerner Corp					Buy	8/11	J		
363. Cerner Corp					Buy	8/14	J		
364. Cerner Corp					Buy	8/16	J		
365. Checkfree Corp		None			Partial Sale	1/10	K	D	
366. Checkfree Corp					Sell	1/11	J	B	
367. Chevron Corp	C	Dividend	L	T	Buy	1/9	K		
368. Chevron Corp					Partial Sale	10/24	J	A	
369. Chevron Corp					Partial Sale	11/7	L	D	
370. Chubb Corp	A	Dividend	K	T	Buy	2/28	K		
371. Cincinnati Finl Corp	B	Dividend	L	T					
372. Cisco Systems Inc		None	M	T	Buy	1/9	K		
373. Cisco Systems Inc					Buy	8/16	L		
374. Citigroup Inc	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375. COAST CMNTY COLLEGE DIST CALIF SER B 5% 08/01/2021	B	Interest	N	T	Buy	6/29	N		
376. Coca-Cola Co	B	Dividend	K	T					
377. Comcast Corp CL A		None	M	T					
378. Compass Bancshares Inc		None	J	T	Buy	11/28	J		
379. Corning Inc Com		None	K	T	Buy	1/25	L		
380. Corning Inc Com					Buy	11/6	K		
381. Corning Inc Com					Buy	11/7	J		
382. Corning Inc Com					Partial Sale	12/12	K	A	
383. COSTA MESA CA PFA A 5.0% 10-01-12	C	Interest	M	T					
384. Costco Wholesale Corp	B	Dividend	M	T					
385. CUCAMONGA CO CA COP WTR FGIC 5.0% 09-01-19	C	Interest	M	T					
386. Danaher Corp	A	Dividend	L	T	Buy	1/9	J		
387. Davita Inc		None	M	T					
388. Dell Inc		None	K	T	Buy	5/16	K		
389. Dell Inc					Buy	8/1	J		
390. Delta Petroleum Corp		None	M	T	Buy	2/15	J		
391. Delta Petroleum Corp					Buy	5/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Delta Petroleum Corp					Buy	5/22	J		
393. Delta Petroleum Corp					Buy	5/23	J		
394. Delta Petroleum Corp					Buy	5/24	J		
395. Delta Petroleum Corp					Buy	5/25	J		
396. Delta Petroleum Corp					Buy	6/1	J		
397. Delta Petroleum Corp					Buy	6/2	J		
398. Delta Petroleum Corp					Buy	10/5	J		
399. Delta Petroleum Corp					Buy	10/6	J		
400. Disney Walt Co (The)-Disney Common		None	L	T	Buy	1/6	K		
401. Disney Walt Co (The)-Disney Common					Buy	1/9	K		
402. Disney Walt Co (The)-Disney Common					Buy	1/13	K		
403. Disney Walt Co (The)-Disney Common					Buy	1/13	J		
404. Disney Walt Co (The)-Disney Common					Buy	1/17	J		
405. Duke Energy Corp	C	Dividend	M	T					
406. East West Bancorp Inc	A	Dividend	L	T					
407. EL CENTRO CA AUTH WTR AMBAC A 4.8% 10-01-09	B	Interest	L	T					
408. EL SEGUNDO CA USD FGIC PREREF	D	Interest			Sell	9/11	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
5.375% 09-01-21/12									
409. Embarq Corp Com		None			Spin Off	5/18	J		From Sprint Nextel
410. Embarq Corp Com					Sell	5/31	J	A	
411. Emerson Electric Co	C	Dividend	M	T	Partial Sale	7/6	J	B	
412. ENERGY NORTHWEST WA ELEC REV SER A 5.25% 07/01/2008	A	Interest	K	T	Partial Sale	5/24	M	A	
413. ENERGY NW WA ELEC COLUMBIA 04 A 5.25% 07-01-08	D	Interest	K	T	Exchange	4/25	M		From Energy Northwest WA
414. Equitable Resources Inc		None			Sell	1/9	K	E	
415. EuroPacific Growth Fund CL R5	E	Dividend	P1	T					
416. Exelixis Inc		None	K	T	Buy	5/22	J		
417. Exelixis Inc					Buy	5/23	J		
418. Exelixis Inc					Buy	5/24	J		
419. Exelixis Inc					Buy	5/25	J		
420. Exelon Corporation	B	Dividend	L	T					
421. Exxon Mobil Corp	C	Dividend	M	T	Partial Sale	10/17	K	D	
422. Exxon Mobil Corp					Partial Sale	11/7	K	D	
423. Fedex Corp	A	Dividend	L	T					
424. Fluor Corp	B	Dividend	M	T	Buy	4/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A.
Description of Assets
(including trust assets)

Place "(X)" after each asset
exempt from prior disclosure

B.
Income during
reporting period

(1)
Amount
Code 1
(A-H)

(2)
Type (e.g.
div., rent,
or int.)

C.
Gross value at end of
reporting period

(1)
Value
Code 2
(I-P)

(2)
Value
Method
Code 3
(Q-W)

D.
Transactions during reporting period

(1)
Type (e.g.
buy, sell,
redemption)

(2)
Date
Month -
Day

(3)
Value
Code 2
(I-P)

(4)
Gain
Code 1
(A-H)

(5)
Identity of
buyer/seller
(if private
transaction)

425. FOOTHILL-DE ANZA CC 1997 FING PROJ FSA 4.9% 06-01-11	B	Interest	L	T					
426. Forest Labs Inc		None	M	T	Buy	1/9	K		
427. FREMONT CA UHSD FGIC 5.0% 09-01-16	D	Interest	N	T					
428. Gallagher Arthur J	A	Dividend	K	T					
429. General Dynamics Corp	A	Dividend	K	T					
430. General Electric Co	D	Dividend	N	T	Buy	1/9	K		
431. General Electric Co					Buy	2/3	J		
432. General Electric Co					Buy	4/21	J		
433. General Electric Co					Buy	4/21	K		
434. General Growth Properties Inc	C	Dividend	M	T					
435. GOLDEN ST TOBACCO ST APPROP AMBAC 5.0% 06-01-14	D	Interest	N	T					
436. Golden West Financial Corp	A	Dividend			Merger	10/2	K	C	Into Wachovia Corp
437. Hanesbrands Inc		None	K	T	Spinoff	9/5	K		From Sara Lee Corp
438. Hanesbrands Inc					Buy	9/8	J		
439. Hanesbrands Inc					Buy	9/8	J		
440. Hanesbrands Inc					Buy	9/11	J		
441. Hanesbrands Inc					Buy	9/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
442. Hanesbrands Inc					Buy	9/13	J		
443. Hanesbrands Inc					Buy	9/15	J		
444. Hartford Financial Services Group Inc	A	Dividend			Partial Sale	2/28	K	D	
445. Hartford Financial Services Group Inc					Sell	3/1	J	B	
446. Heineken NV ADR	B	Dividend	M	T					
447. Hewlett-Packard Co	B	Dividend	M	T					
448. HSBC Holdings PLC ADR Spon New	C	Dividend	M	T	Partial Sale	4/4	K	A	
449. Idearc Inc		None	J	T	Spin Off	11/17	J		From Verizon Communications
450. Illinois Tool Works Inc	B	Dividend	M	T					
451. Illinois Tool Works Inc					Buy	7/6	J		
452. Imclone Sys Inc		None	K	T					
453. Intel Corp	B	Dividend	L	T	Buy	3/21	K		
454. Intel Corp					Buy	4/27	K		
455. Intel Corp					Buy	4/28	J		
456. Intel Corp					Buy	5/9	K		
457. International Business Machines Corp	B	Dividend	M	T					
458. Jarden Corp		None	L	T	Buy	6/26	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
459. Jarden Corp					Buy	6/27	J		
460. Jarden Corp					Buy	6/28	J		
461. Jarden Corp					Buy	6/29	J		
462. Jarden Corp					Buy	6/30	J		
463. Jarden Corp					Buy	7/5	J		
464. Jarden Corp					Buy	7/10	J		
465. Jarden Corp					Buy	7/11	J		
466. Johnson Controls Inc		None	K	T	Buy	10/16	K		
467. Johnson Controls Inc					Buy	10/17	J		
468. JPMorgan Chase & Co	D	Dividend	M	T	Partial Sale	8/22	K	C	
469. Kinder Morgan Management LLC	C	Dividend	K	T	Partial Sale	2/14	J	A	
470. Kinder Morgan Management LLC					Partial Sale	4/13	J	A	
471. Kinder Morgan Management LLC					Partial Sale	4/17	J	A	
472. Kinder Morgan Management LLC					Partial Sale	4/18	J	B	
473. Kinder Morgan Management LLC					Partial Sale	4/19	J	A	
474. Kinder Morgan Management LLC					Partial Sale	4/20	J	A	
475. Kinder Morgan Management LLC					Partial Sale	4/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
476. Kinder Morgan Management LLC					Partial Sale	4/24	J	A	
477. Kinder Morgan Management LLC					Partial Sale	5/15	J	A	
478. Kinder Morgan Management LLC					Partial Sale	8/14	J	A	
479. Kinder Morgan Management LLC					Partial Sale	11/14	J	A	
480. Kraft Foods Inc CL A	B	Dividend	L	T	Buy	1/17	J		
481. LA CA DWAP FSA 5.25% 07-01-20	C	Interest	L	T					
482. LA CA USD GO FGIC 5.0% 07-01-16	D	Interest	N	T					
483. LA CANADA FLINTRIDGE CA CTFS MBIA SF 5.25% 12-01-21	D	Interest	M	T					
484. LA CO CA MTA AMBAC C 5.0% 07-01-20	D	Interest	M	T					
485. LA CO CA MTA FSA SF 5.0% 07-01-19	C	Interest	M	T					
486. Leggett & Platt Inc	B	Dividend	L	T	Buy	3/1	J		
487. Leggett & Platt Inc					Buy	3/2	K		
488. Leggett & Platt Inc					Partial Sale	5/23	J	A	
489. Leggett & Platt Inc					Partial Sale	5/24	J	A	
490. Leggett & Platt Inc					Partial Sale	5/25	J	A	
491. Leggett & Platt Inc					Partial Sale	7/7	J	A	
492. Leggett & Platt Inc					Partial Sale	7/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

493. Leggett & Platt Inc					Partial Sale	7/11	J	A	
494. Leggett & Platt Inc					Partial Sale	12/12	K	A	
495. Lexmark International Inc CL A		None			Partial Sale	3/20	J	A	
496. Lexmark International Inc CL A					Sell	3/21	K	A	
497. Lincare Holdings Inc		None	L	T	Buy	8/31	J		
498. Lincare Holdings Inc					Buy	9/1	K		
499. Lincare Holdings Inc					Buy	9/5	J		
500. LOS ANGELES CNTY CA PUB WKS FING AUTH AMBAC 5% 06/01/2021	D	Interest	N	T					
501. Lowes Companies Inc	B	Dividend	M	T	Buy	5/24	J		
502. Lowes Companies Inc					Buy	5/25	J		
503. Lowes Companies Inc					Buy	7/7	J		
504. Lowes Companies Inc					Buy	8/1	J		
505. Lowes Companies Inc					Buy	8/2	J		
506. Lowes Companies Inc					Buy	9/28	J		
507. Marsh & McLennan Cos Inc	A	Dividend	L	T	Buy	2/27	J		
508. Marsh & McLennan Cos Inc					Buy	2/28	J		
509. Marsh & McLennan Cos Inc					Buy	3/1	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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510. Marsh & McLennan Cos Inc					Buy	3/2	J		
511. Marsh & McLennan Cos Inc					Buy	3/2	J		
512. Marsh & McLennan Cos Inc					Buy	3/2	J		
513. Marsh & McLennan Cos Inc					Buy	3/3	J		
514. Marsh & McLennan Cos Inc					Buy	3/7	J		
515. Marsh & McLennan Cos Inc					Buy	3/8	J		
516. Marsh & McLennan Cos Inc					Buy	3/21	J		
517. Marsh & McLennan Cos Inc					Buy	5/9	J		
518. Marsh & McLennan Cos Inc					Buy	7/27	J		
519. McDonalds Corp	C	Dividend	M	T	Buy	1/9	K		
520. Medco Health Solutions Inc		None			Buy	1/9	J		
521. Medco Health Solutions Inc					Partial Sale	10/24	K	B	
522. Medco Health Solutions Inc					Partial Sale	11/2	K	A	
523. Medco Health Solutions Inc					Partial Sale	11/3	K	B	
524. Medco Health Solutions Inc					Partial Sale	11/3	J	A	
525. Medco Health Solutions Inc					Partial Sale	11/10	K	A	
526. Medco Health Solutions Inc					Sell	12/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
527. Medtronic Inc	A	Dividend	L	T	Buy	3/14	K		
528. Medtronic Inc					Buy	3/31	K		
529. Medtronic Inc					Buy	4/4	K		
530. MET WTR SO CA GO SER A 5.0% 03-01-17	E	Interest	N	T					
531. MET WTR SO CAL/SER 01C-2 DFRN VAR RT 07-01-36/MS/BUS	A	Interest			Sell	5/24	L	A	
532. Microsoft Corp	C	Dividend	M	T	Buy	1/9	K		
533. Microsoft Corp					Buy	1/10	K		
534. Microsoft Corp					Buy	1/11	J		
535. Microsoft Corp					Buy	1/13	J		
536. Microsoft Corp					Buy	2/3	J		
537. Microsoft Corp					Buy	2/27	J		
538. Microsoft Corp					Partial Sale	5/1	K	A	
539. Microsoft Corp					Buy	8/1	K		
540. Millennium Pharmaceuticals Inc		None	L	T	Buy	4/6	J		
541. Millennium Pharmaceuticals Inc					Buy	4/28	J		
542. MONTERY CA COPS NATIVIDAD MED MBIA 4.75% 08-01-17	B	Interest	L	T					
543. Motorola Inc		None	K	T	Buy	9/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Wardlaw, Kim M

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06/22/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
544. MT DIABLO CA USD FSA 4.625% 08-01-17	C	Interest	M	T					
545. National Instruments Corp	A	Dividend	K	T					
546. Nestle Nam Spon ADR	B	Dividend	M	T	Buy	4/21	J		
547. New World Fund inc CL R5	D	Dividend	O	T					
548. Nokia Corp Sponsored ADR	A	Dividend	K	T	Buy	3/7	K		
549. Nokia Corp Sponsored ADR					Buy	3/7	J		
550. Nokia Corp Sponsored ADR					Buy	3/8	J		
551. Nokia Corp Sponsored ADR					Buy	3/9	J		
552. Novartis AG Namen Spon ADR	A	Dividend	L	T					
553. OAKLAND CA USD ALAMEDA CNTY FSA 5.0% 08-01-19	D	Interest	M	T					
554. OCEANSIDE CA COP AMBAC 5.0% 05-01-20	B	Interest	K	T					
555. Omnicom Group Inc	B	Dividend	M	T					
556. ORANGE COPS POLICE HEADQTRS FSA 4.6% 08-01-10	C	Interest	M	T					
557. PALOS VERDES CA LIBR DIST 5.0% 08-01-16	C	Interest	M	T					
558. Pepsico Inc	C	Dividend	M	T					
559. Plains Exploration & Production Co		None	L	T	Buy	5/1	K		
560. Plains Exploration & Production Co					Buy	5/2	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value				
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Wardlaw, Kim M

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06/22/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
561. Potash Corp of Saskatchewan Inc US\$	A	Dividend	M	T	Buy	10/6	K		
562. Potash Corp of Saskatchewan Inc US\$					Buy	10/16	J		
563. Potash Corp of Saskatchewan Inc US\$					Buy	10/17	J		
564. PR PFC FGIC (P) 5.25% 08-01-31	D	Interest	N	T					
565. PR PUB BLDG AU REV K (P) 4.5% 07-01-22	D	Interest			Sell	8/24	M	A	
566. Procter & Gamble Co	A	Dividend	L	T					
567. Rentech Inc		None	K	T	Buy	9/25	J		
568. Rentech Inc					Buy	9/26	J		
569. Rentech Inc					Buy	9/27	J		
570. Rentech Inc					Buy	9/27	J		
571. Rentech Inc					Buy	9/28	J		
572. Rentech Inc					Buy	9/28	J		
573. Rentech Inc					Buy	9/29	J		
574. Rentech Inc					Buy	9/29	J		
575. Rentech Inc					Buy	11/17	J		
576. Rentech Inc					Buy	11/20	J		
577. Rentech Inc					Buy	11/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
578. Rentech Inc					Buy	11/22	J		
579. Rentech Inc					Buy	11/24	J		
580. Rentech Inc					Buy	11/27	J		
581. Rentech Inc					Partial Sale	12/29	J	A	
582. Rio Tinto PLC ADR Spon	D	Dividend	M	T					
583. RIVERSIDE CA COP MBIA 4.75% 12-01-12	B	Interest	L	T					
584. Royal Dutch Shell CL A ADR	D	Dividend	M	T	Partial Sale	9/22	K	D	
585. SACRA CA CAP IMPS AMBAC PREREF 5.5% 12-01-18/06-01-11	C	Interest			Sell	7/12	M	A	
586. SACRA CA MUD S MBIA 5.0% 11-15-15	C	Interest	M	T					
587. SAN DIEGO CA Redev. 4.75% 09-01-18	C	Interest	M	T					
588. SAN DIEGO CNTY CA COP MBIA 4.8% 08-15-11	C	Interest			Sell	9/11	M	C	
589. SAN FRAN ARPT 2ND-15A AMT FSA 4.7% 05-01-12	B	Interest	L	T					
590. SAN FRAN CA REDEV AGY MOSCONE CTR FSA 5.0% 07-01-17	B	Interest	L	T					
591. SAN FRAN CA REDEV AGY MOSCONE CTR FSA 5.25% 07-01-18	C	Interest	L	T					
592. SAN FRAN CITY & CO HOTEL TAX FSA 4.75% 07-01-12	B	Dividend	L	T					
593. SAN FRANCISCO CA ST BLDG AUTH LEASE REV 5% 12/01/2020	E	Interest	N	T					
594. SAN JOSE CA REDEV 04 A MBIA 5.25%	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
08-01-10									
595. SANTA BARBARA CA REDEV A FSA 5.0% 03-01-19	D	Interest	M	T					
596. SNTA CLRA CA TRNS AUTH 01 A MBIA PREREF 5.0% 06-01-17/11	B	Interest	L	T					
597. SAP AG Spon ADR	A	Dividend	M	T					
598. Sara Lee Corp	A	Dividend	M	T	Buy	6/1	K		
599. Sara Lee Corp					Buy	6/2	K		
600. Sara Lee Corp					Buy	6/5	J		
601. Sara Lee Corp					Buy	6/6	J		
602. Sara Lee Corp					Buy	6/7	J		
603. Sara Lee Corp					Buy	6/7	J		
604. Sara Lee Corp					Buy	6/9	J		
605. Sara Lee Corp					Buy	6/12	J		
606. Sara Lee Corp					Buy	6/13	J		
607. Sara Lee Corp					Buy	6/19	J		
608. Sara Lee Corp					Buy	6/23	J		
609. Sara Lee Corp					Buy	7/7	J		
610. Sara Lee Corp					Buy	7/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
611. Sara Lee Corp					Buy	7/11	J		
612. Sara Lee Corp					Buy	7/11	J		
613. Sara Lee Corp					Buy	7/11	J		
614. Sara Lee Corp					Buy	7/14	J		
615. Sara Lee Corp					Buy	7/17	J		
616. Sara Lee Corp					Buy	7/21	J		
617. Sara Lee Corp					Buy	7/25	J		
618. Sara Lee Corp					Buy	7/28	J		
619. Sara Lee Corp					Buy	7/31	J		
620. Schlumberger Ltd	B	Dividend	N	T					
621. Semtech Corp		None	K	T					
622. Sepracor Inc		None	L	T	Buy	1/9	J		
623. Sepracor Inc					Buy	4/5	J		
624. Sepracor Inc					Buy	4/28	K		
625. Sepracor Inc					Buy	5/1	J		
626. Sepracor Inc					Partial Sale	7/25	K	A	
627. Sepracor Inc					Partial Sale	7/26	K	A	

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628. Siemens AG ADR	A	Dividend	L	T					
629. SLM Corp	C	Dividend	M	T	Buy	4/21	J		
630. SLM Corp					Buy	11/16	J		
631. SLM Corp					Buy	11/17	J		
632. SLM Corp					Buy	12/5	J		
633. SLM Corp					Buy	12/6	J		
634. Southwest Airlines Co	A	Dividend	K	T					
635. Sprint Nextel Corp Series 1	A	Dividend	L	T	Buy	1/9	K		
636. Sprint Nextel Corp Series 1					Buy	4/5	K		
637. Sprint Nextel Corp Series 1					Partial Sale	9/19	K	A	
638. Sprint Nextel Corp Series 1					Partial Sale	9/20	J	A	
639. Sprint Nextel Corp Series 1					Buy	10/25	L		
640. SSGA FDS Tax Free Money Mkt FD	E	Dividend	M	T					
641. State Street Corp	A	Dividend			Partial Sale	5/30	K	D	
642. State Street Corp					Sell	5/31	K	D	
643. Suncor Energy Inc US\$	A	Dividend	K	T	Partial Sale	3/9	K	E	
644. SUNNYVALE CA CTF\$ 98A AMBAC SF	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
5.0% 10-01-17									
645. Target Corp	A	Dividend	M	T	Buy	1/9	K		
646. Target Corp					Buy	4/12	K		
647. Target Corp					Buy	4/13	J		
648. Target Corp					Buy	10/5	L		
649. Target Corp					Buy	10/6	J		
650. Time Warner Inc	B	Dividend	M	T	Buy	1/9	K		
651. Umpqua Holdings Corp	A	Dividend	K	T					
652. Unilever NV NY Shrs	B	Dividend	L	T					
653. Union Pacific Corp	B	Dividend	M	T					
654. United Parcel Service Inc Cl B	B	Dividend	L	T					
655. United Technologies Corp	C	Dividend	M	T					
656. Unitedhealth Group Inc		None	K	T	Buy	4/25	K		
657. US Treasury Note 6.25% 02-15-07		None	L	T	Buy	12/28	L		
658. Verigy Ltd		None	J	T	Spin Off	10/31	J		From Agilent Technologies
659. Verigy Ltd					Partial Sale	11/1	J	A	
660. Verizon Communications	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
661. VIRGIN ISLANDS PFA SR LIEN A 5.0% 10-01-07	D	Interest	N	T					
662. Vodafone Group PLC ADR	A	Dividend			Sell	2/23	L	A	
663. Wachovia Corporation	B	Dividend	L	T	Buy	4/26	K		
664. Wachovia Corporation					Buy	5/16	J		
665. Wachovia Corporation					Buy	5/17	J		
666. Wachovia Corporation					Buy	7/7	J		
667. Wachovia Corporation					Buy	7/10	J		
668. Wachovia Corporation					Partial Sale	10/2	J	A	
669. Washington Mutual Inc	D	Dividend	N	T	Buy	1/9	K		
670. Washington Mutual Inc					Buy	3/31	K		
671. Weatherford Intl Ltd		None	K	T	Partial Sale	3/21	K	D	
672. Weatherford Intl Ltd					Partial Sale	4/25	K	D	
673. Wellpoint Inc		None	M	T					
674. Wells Fargo & Co	C	Dividend	M	T					
675. WEST CONTRA COSTA HLTH DIST AMBAC 3.0% 07-01-09	D	Interest			Sell	8/24	M	A	
676. WESTLANDS CA WTR DIST MBIA 5.25% 09-01-17	D	Interest	M	T					
677. Whole Foods Market	B	Dividend	J	T	Partial Sale	1/3	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Wardlaw, Kim M

Date of Report

06/22/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
678. Whole Foods Market					Partial Sale	1/4	J	C	
679. Whole Foods Market					Partial Sale	3/9	J	D	
680. Williams-Sonoma Inc	A	Dividend	K	T					
681. XL Capital Ltd CL A	B	Dividend	L	T	Buy	3/22	J		
682. XL Capital Ltd CL A					Buy	8/16	J		
683. Zymogenetics Inc		None	K	T	Buy	2/13	J		
684. Zymogenetics Inc					Buy	3/16	J		
685. Zymogenetics Inc					Buy	3/21	J		
686. [REDACTED] Asset [REDACTED]									
687. Advance Auto Parts	B	Dividend	M	T					
688. Alameda Co CTFS 5.375% 12/1/16	D	Interest	M	T					
689. Altria Group common stock	C	Dividend			Sell	7/19	M	E	
690. Berkeley CA sch Dist. 3.875% 8/01/18	D	Interest	M	T					
691. Burbank CA Pub Fling 5.250% 12/1/17	D	Interest	M	T					
692. CA ed FACS 4.250% 10/1/18	B	Interest	L	T					
693. CA ST Pub Works 4.600% 12/1/20	C	Interest	L	T					
694. CA ST Pub Works 4.200% 5/1/19	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
695. CA ST Pub Works 5.000% 6/1/18	D	Interest	M	T					
696. CB Richard Ellis common stock		None			Sell	9/20	O	G	
697. Chula Visa CA Pub Fing 3.875% 9/1/17	C	Interest	L	T					
698. Coachella CA Sch Dist. 5.000% 8/1/19	B	Interest	L	T					
699. CSUCI Fing Auth 4.750% 9/1/14	D	Interest	M	T					
700. DPL Inc common stock	B	Dividend			Sell	6/14	L	E	
701. Dublin CA Sch Dist. 4.125% 8/1/21	D	Interest	M	T					
702. East Bay CA Util Dist. 5.000% 6/1/18	C	Interest	L	T					
703. Escondido CA CTFS 4.250% 9/1/19	D	Interest	M	T					
704. Fontana CA Pub Fing 4.000% 9/1/18	D	Interest	M	T					
705. Lincoln CA Sch Dist. 4.500% 8/1/19	C	Interest	M	T	Buy	6/14	M		
706. Los Angeles Co. MTA 4.750% 7/1/19	D	Interest	M	T					
707. Montclair CA Fing Auth 3.875% 10/1/16	C	Interest	M	T					
708. Mountain View CA High School 4.125% 8/1/16	D	Interest	M	T					
709. Napa Co CTFS 3.600% 2/1/17	D	Interest	M	T					
710. Newport CA sch Dist 5.000% 8/1/17	D	Interest	M	T					
711. Palmdale CA Redev Agy 3.800%	D	Interest	M	T					

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Wardlaw, Kim M

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9/1/16Trust #2 (696100)									
712. Pasadena CA Elec Rev 5.000% 6/1/16Trust #3 (696200)	D	Interest	M	T					
713. Public Storage	E	Dividend	L	T	Partial Sale	6/14	M	F	
714. Public Storage					Partial Sale	9/19	K	A	
715. Public Storage					Partial Sale	9/21	M		
716. Riverside Co CTFS 3.875% 11/1/14	C	Interest	M	T					
717. Sacramento CA Util Dist 5.000% 5/15/14	D	Interest	M	T					
718. Salinas CA CTFS 4.500% 9/1/20	C	Interest	M	T					
719. San Diego Co Wtr Auth 4.000% 5/1/20	D	Interest	M	T					
720. San Juan Capistrano Co Fing Auth 4.000% 12/1/17	C	Interest	M	T	Buy	2/24	M		
721. Santa Cruz Co CTFS 3.875% 8/1/16	D	Interest	M	T					
722. Santa Cruz Co CTFS 4.200% 8/1/19	C	Interest	L	T					
723. Santa Rosa CA Sch Dist 3.800% 8/1/17	C	Interest	L	T					
724. So Cal Logistics 4.125% 12/1/20	C	Interest	J	T	Buy	2/24	L		
725. So CA Pub Pwr Auth 5.150% 7/1/15	D	Interest	M	T					
726. So CA Pub Pwr Auth 5.150% 7/1/15	D	Interest	M	T					
727. So Gate CA Fing Auth 5.250% 9/1/19	B	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)					
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
728. The Pantry common stock		None	N	T	Partial Sale	2/24	N	G	
729. The Pantry common stock					Partial Sale	4/19	N	G	
730. The Pantry common stock					Partial Sale	7/7	N	G	
731. Tracy CA Jt Powers 4.200% 9/2/17	A	Interest	K	T	Buy	6/14	K		
732. Turlock CA Pub Fing 4.300% 9/15/16	A	Interest	K	T	Buy	9/5	K		
733. Tyco International	A	Dividend			Sale	7/19	L		
734. Univ. of CA Multi Purp. 5.00% 9/1/16	D	Interest	M	T					
735. MS Bank Deposit	C	Interest	L	T					
736. FS Equity Partners III									
737. AV Partnership; Partnership Interest		None	J	U					
738. AV Development common stock		None	J	U					
739. AFC Enterprises common stock		None			Sell	3/30	O	G	
740. Asbury Automotive common stock		None	M	U	Partial Sale	9/27	L	F	
741. Miller Publishing LLC interests		None			Sell	9/7	J		
742. FS Management Co., L.P (L.P. Interest)		None	J	U					
743. Freeman Spogli & Co., Incorp. Common stock		None	J	U					
744. FS Equity Partners IV									

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Name of Person Reporting

Wardlaw, Kim M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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745. Asbury Automotive common stock		None	M	U	Partial Sale	9/27	N	G	
746. AFC Enterprises common stock		None			Sell	3/30	K	D	
747. Miller Pub common stock		None			Sell	9/7	J		
748. Sur La Table		None	M	U					
749. Ross-Simmons		None	N	U					
750. FS Equity Partners V									
751. Winebow Inc common stock		None	M	U					
752. N.E.W. Companies common stock		None	M	U	Partial Sale	8/16	O	G	
753. H.H. Gregg Inc common stock		None	N	U					
754. Bright Now Inc common stock		None	N	U					
755. Mattress Giant Corp common stock		None	M	U	Buy	8/2	M		
756. Savers Inc common stock		None	N	U	Buy	8/11	N		
757. PETCO Animal Supply common stock		None	N	U	Buy	10/26	N		
758. Riordan Venture Assoc. (L.P. Int.)									
759. RLH Silverado Dividend Pref. Stock debt		None	K	U					
760. Axcelerant		None			Sell	3/31	J		
761. Bradshaw		None	J	U					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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762. Intri-Plex Tech.		None	J	U					
763. ITS Corp.		None	J	U					
764. Cymetrix Corp common stock		None	J	U					
765. Con-Cise Lens Co common stock		None	J	U					
766. Saebro Inc common stock		None	J	U	Buy	2/18	J		
767. Bistro 45 common stock	A	Dividend	J	U					
768.  Retire Accts (401k-profit)									
769. Pacific Growth Fund	A	Dividend	L	T					
770. MSDW Liquit Asset Account Trust Fund	A	Dividend	J	T					
771. Philips Elect NV common stock		None			Sell	1/23	K		
772. Hewlett Packard common stock	A	Dividend	K	T					
773. Procter & Gamble common stock	B	Dividend	M	T					
774. Cendant Corporation common stock		None			Sell	1/19	K		
775. Safeway common stock		None			Sell	1/23	J		
776. Sun Microsoft common stock		None	K	T					
777. CVS Corp. common stock	A	Dividend	K	T					
778. Avaya common stock		None			Sell	1/23	J	A	

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779. Lucent Technology common stock		None			Sell	1/23	J		
780. Altria Group common stock	B	Dividend	K	T					
781. Cisco Sys common stock		None	K	T					
782. Clear Channel common stock		None			Sell	1/19	K		
783. Agere Systems common stock		None			Sell	1/23	J	A	
784. Time Warner common stock	A	Dividend	K	T					
785. CCE Spincor Inc common stock		None			Sell	1/19	J	A	
786. PHH Corp Com Stock		None			Sell	1/19	J	A	
787. MS Dividend Growth Fund	A	Dividend	L	T	Buy	1/19	L		
788. American New World Fund	C	Dividend	L	T	Buy	1/19	L		
789. R&M Encelle Partners (Partnership Interest)		None	J	U					
790. Trust #2 (M/S UTM-W)									
791. Growth Fund of America	A	Dividend	J	T					
792. General Elec common stock	A	Dividend	J	T					
793. New World Fund	A	Dividend	J	T	Buy	5/11	J		
794. Trust #3 (M/S UTM-K)									
795. General Elec common stock	A	Dividend	J	T					

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796. New World Fund	A	Dividend	J	T	Buy	5/11	J		
797. Trust #4 - (IR-W)									
798. Clipper Fund	A	Dividend			Sell	3/1	L	C	
799. Capital Income Builder Inc CL R5	B	Dividend	K	T					
800. Cash Mgmt Trust of America CL R5	B	Dividend	K	T					
801. EuroPacific Growth Fund CL R5	A	Dividend	K	T	Buy	5/9	J		
802. Fundamental Investors Inc CL R5	A	Dividend	K	T	Buy	5/9	J		
803. Growth Fund of America Inc CL R5	A	Dividend	K	T					
804. Investment Company of America CL R5	A	Dividend	K	T	Buy	5/9	J		
805. New Economy Fund CL R5	A	Dividend	K	T	Buy	5/9	K		
806. New World Fund Inc CL R5	A	Dividend	K	T	Buy	5/9	J		
807. SmallCap World Fund Inc CL R5	A	Dividend	J	T	Buy	5/9	J		
808. Trust #5 (IR-K)									
809. Clipper Fund	A	Dividend			Sell	3/1	L	C	
810. Capital Income Builder Inc CL R5	B	Dividend	K	T					
811. Cash Mgmt Trust of America CL R5	B	Dividend	K	T					
812. EuroPacific Growth Fund CL R5	A	Dividend	K	T	Buy	5/9	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
813. Fundamental Investors Inc CL R5	A	Dividend	K	T	Buy	5/9	K		
814. Growth Fund of America Inc CL R5	A	Dividend	K	T					
815. Investment Company of America CL R5	A	Dividend	K	T	Buy	5/9	J		
816. New Economy Fund CL R5	A	Dividend	K	T	Buy	5/9	K		
817. New World Fund Inc CL R5	A	Dividend	K	T	Buy	5/9	J		
818. SmallCap World Fund Inc CL R5	A	Dividend	J	T	Buy	5/9	J		
819. Trust #6 (98-W)									
820. MSDW Dividend Growth	A	Dividend	L	T					
821. New World Fund	C	Dividend	M	T					
822. MSDW Active Money Trust	A	Dividend	L	T					
823. Advance Auto common stock	A	Dividend			Sell	8/4	K	E	
824. Public Storage pref. stock	B	Dividend	K	T	Buy	9/11	K		
825. Winebow inc common stock		None	J	U					
826. N.E.W. Companies common stock		None	J	U	Partial Sale	8/16	M	G	
827. HH Gregg Inc common stock		None	J	V					
828. Bright Now Inc common stock		None	J	U					
829. Mattress Giant Corp common stock		None	J	U	Buy	8/2	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
830. Savers Inc common stock		None	J	U	Buy	8/11	J		
831. PETCO Animal Supply common stock		None	J	U	Buy	10/26	J		
832. Cash Mgmt Trust of America R5	B	Dividend	L	T					
833. Capital Income Builder Inc R5	C	Dividend	M	T					
834. Europacific Growth Fund R5	B	Dividend	M	T					
835. Growth Fund of America (The) R5	B	Dividend	M	T					
836. Investment Company of America R5	C	Dividend	M	T					
837. New World Fund Inc R5	C	Dividend	M	T					
838. Fundamental Investors Fund	A	Dividend	K	T	Buy	5/10	K		
839. New Economy Fund	A	Dividend	K	T	Buy	5/10	K		
840. Trust #7 (98-K)									
841. MSDW Dividend Growth	A	Dividend	L	T					
842. New World Fund	C	Dividend	M	T					
843. MSDW Active Money Trust	A	Dividend	L	T					
844. Advance Auto common stock	A	Dividend			Sell	8/4	K	E	
845. Public Storage pref. stock	B	Dividend	K	T	Buy	9/11	K		
846. Winebow Inc common stock		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
847. N.E.W. Companies common stock		None	J	U	Partial Sale	8/16	M	G	
848. HH Gregg Inc common stock		None	J	U					
849. Bright Now Inc common stock		None	J	U					
850. Mattress Giant Corp common stock		None	J	U	Buy	8/2	J		
851. Savers, Inc common stock		None	J	U	Buy	8/11	J		
852. PETCO Animal Supply common stock		None	J	U	Buy	10/26	J		
853. Cash Mgmt Trust of America R5	B	Dividend	L	T					
854. Capital Income Builder Inc R5	C	Dividend	M	T					
855. Europacific Growth Fund R5	B	Dividend	M	T					
856. Growth Fund of America (The) R5	B	Dividend	M	T					
857. Investment Company of America R5	C	Dividend	M	T					
858. New World Fund Inc R5	C	Dividend	M	T					
859. Fundamental Investors Fund	A	Dividend	K	T	Buy	5/10	K		
860. New Economy Fund	A	Dividend	K	T	Buy	5/10	K		
861. Real Estate Partnership - Hal		None	N	U					
862. Real Estate Partnership - Lau		None	M	U					
863. Real Estate Partnership - G.O.		None	M	U	Buy	4/26	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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864. Real Estate Partnership - W.O.		None	L	U	Buy	10/5	L		
865. Dorset Capital Partnership Invest	A	Interest	L	U					
866. Money Market Fund	A	Interest	J	T					
867. Checking	A	Interest	M	T					
868. Checking	B	Interest	M	T					
869. Fargo Investment Partnership		None	J	U					
870. R&M Catalytic Solutions		None	M	U	Buy	1/20	K		
871. Bulldog Partners I	A	Interest	L	U					
872. Bulldog Partners II	A	Interest	L	U	Buy	8/31	K		
873. Regents Banshares	C	Interest	M	U					
874. Berkshire Hathaway Class A Common Stock		None			Transfer Out	8/9	L		See Line #327
875. Asta Fund		None	N	T	Buy	1/31	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII Investments and Trusts
Header Information

Line 1, IRA, covers line 2 through 196

Line 197, Trust 1 covers line 198 through 201

Line 202, [REDACTED] (IRA) covers line 203 through 288

Line 289, Capital Trust Account covers line 290 through 685

Line 686, [REDACTED] Asse [REDACTED] covers line 687 through 735

Line 736, FS Equity Partners III covers line 737 through 743

Line 744, FS Equity Partners IV covers line 745 through 749

Line 750, FS Equity Partners V covers line 751 through 757

Line 758, Riordan Venture Assoc. (L.P. Int.) covers line 759 through 766

Line 767, Bistro 45 common stock covers line 767

Line 768, [REDACTED] 401k-profit covers line 769 through 788

Line 789, R&M Encelle Partners (Partnership Interest) covers line 789

Line 790, Trust #2 ([REDACTED] UTM-W) covers line 791 through 793

Line 794, Trust #3 ([REDACTED] UTM-K) covers line 795 through 796

Line 797, Trust #4 (IR-W) covers line 798 through 807

Line 808, Trust #5 (IR-K) covers line 809 through 818

Line 819, Trust #6 (98-W) covers line 820 through 839

Line 840, Trust #7 (98-K) covers line 841 through 860

Line 861, Real Estate Partnership - Hal. covers line 861

Line 862, Real Estate Partnership - Lau, covers line 862

Line 863, Real Estate Partnership - G.O., covers line 863

Line 864, Real Estate Partnership - W.O., covers line 864

Line 865, Dorset Capital Parnership Invest covers line 865

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Line 866, ██████████ Money Market Fund covers line 866

Line 867, ██████████ Checking covers line 867

Line 868, ██████████ Checking covers line 868

Line 869, Fargo Investment Partnership covers line 869

Line 870, R&M Catalytic Solutions, covers line 870

Line 871, Bulldog Partners I, covers line 871

Line 872, Bulldog Partners II, covers line 872

Line 873, Regents Banshares, covers line 873

Line 874, Berkshire Hathaway Class A Common Stock, covers line 874

Line 875, Asta Fund, covers line 875

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

7-29-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544