

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) FERNANDEZ, FERDINAND F.		2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 4/17/07
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE- SENIOR		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final	6. Reporting Period 1/1/06 - 12/31/06
7. Chambers or Office Address		5b. Amended Report ____	
		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
☒ NONE (No reportable positions.)	
1	
2	
3	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u>
A. Filer's Non-Investment Income		
☒ NONE (No reportable non-investment income.)		
1		\$
2		\$
3		\$
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)		
☐ NONE (No reportable non-investment income.)		
1	2006 Chaffey College	
2		

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Name of Person Reporting

FERNANDEZ, FERDINAND F.

Date of Report

4/17/07**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*SOURCEDESCRIPTION**NONE** (No such reportable reimbursements.)

1

2

3

4

5

6

7

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*SOURCEDESCRIPTIONVALUE**NONE** (No such reportable gifts.)

1

2

3

4

West Publishing Co.**books**\$ **685.00**

\$

\$

\$

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*CREDITORDESCRIPTIONVALUE CODE***NONE** (No reportable liabilities.)

1

2

3

4

5

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

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FERNANDEZ, FERDINAND F

4/17/07

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Accts at Pomona First Federal Bank	C	INT	M	T					
2 Acct. at Wells Fargo Bank	C	INT	L	T					
3 Accts. at Washington Mutual Bank	C	INT	M	T					
4 Accts at Bank of America	C	INT	M	T					
5 Accts at Downey Savings	D	INT	M	T					
6 Accts at World Savings	C	INT	M	T					
7 Accts at Community Bank	C	INT	M	T					
8 Acct at Vineyard Nat. Bank	D	INT	M	T					
9 Acct. at Wether Financial Bank (Now Wachovia)	C	INT	M	T					
10 Accts at Pacific Western Bank	C	INT	M	T					
11 Accts. at U.S. Bank	D	INT	L	T					
12 Acct. at State Bank of Fargo	C	INT	E	E	withdraw: close	3/4	L	None	
13 Acct. at Eurobank, Puerto Rico	B	INT	E	E	Open	3/30	K		
14 Acct at Eurobank, Puerto Rico					withdraw close	10/4	K		
15 Am Fund Small Cap World CIA	A	DIV	J	T					
16 Am Fund Cap Income Builder CIA	B	DIV	L	T					
17 Am Fund New Economy CIA	A	DIV	K	T					

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500	C=\$2,501-\$5,000	D=\$5,001-\$15,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000	L=\$50,001-\$100,000	M=\$100,001-\$250,000	P=\$250,001-\$500,000
3	Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only)	S=Assessment	T=Cash/Market	

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☐ NONE (No reportable income, assets, or transactions.)									
18 Income Fund of America C/A	A	DIV	K	T					
19 Amcap Fund C/A	A	DIV	K	T	Buy	10/11	K		
20 Am. Balanced Fund C/A	A	DIV	K	T	Buy	10/11	K		
21 Cap World Growth Fund C/A	A	DIV	K	T	Buy	3/28	K		
22 Europacific Growth Fund C/A	A	DIV	K	T	Buy	3/28	K		
23 Growth Fund of America C/A	A	DIV	K	T	Distributions from IRA	10/12	K		
24 Inv. Co of Am C/A	A	DIV	K	T	Buy	10/11	K		
25 New World Fund C/A	A	DIV	K	T	Buy	3/28	K		
26 US T Bills	A	Int	K	T					
27 US T Bills	C	Int	L	T					
28 US T Bills	A	Int	J	T					
29 US T Bills	A	Int	J	T					
30 US T Bills	A	Int	J	T					
31 US T Bills	A	Int	J	T					
32 US T Bills	A	Int	K	T					
33 US T Bills	B	Int	K	T					
34 US T Bills	A	Int	K	T					

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less P=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)									
35 U.S. T Bills	A	Int	K	T					
36 U.S. T Bills	A	Int	K	T					
37 U.S. T Bills	B	Int	K	T					
38 U.S. T Bills	D	Int	M	T					
39 U.S. T Bills	C	Int	L	T					
40 US T Bills	B	Int	L	T					
41 Trust #1 Income Beneficiary									
• (a) Wells Fargo, Trustee	D	Div/int	M	T					
• (i) Wells Fargo common trust investments funds and cash									
• (ii) 1 share - MSCI Emerging Markets Index Fund	A	Div	J	T	Buy	2/21	J		
• (iii) Pimco Commodity Strategy Fund Class 1 #45	A	Div	J	T	Buy	4/27/05	J		
• (iv) Vanguard REIT VIPER	A	Div	J	T	Buy	1/12/04	J		
• (b) Real Property in Clifton Aziz (Trustee unknown)	None	None	J	W					

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☐ NONE (No reportable income, assets, or transactions.)									
42. IRA									
a Select Notes Trust Securities LT#5	A	Int.	J	T					
b U.S. Treasury Note	A	Int.			Redeemed 8/15	J			
c GNMA Pool Cert.	A	Int.	K	T					
d Cap. Income Builder C/A	B	Div.	K	T					
e Growth Fund of Am C/A	B	Div.	J	T	Partial Distribution 10/12	K			
f Income Fund of Am C/A	A	Div.	J	T					
g Van Kampen Constock Fund C/A	A	Div	K	T					
h Van Kampen Enterprise Fund C/A	A	Div	K	T					
i Van Kampen Pace Fund C/A	A	Div	K	T					
j Van Kampen Real Estate St. Fund C/A	A	Div	K	T					
k Washington Mutual Invest. Fund C/A.	A	Div	K	T					
13									
14									
15									
16									
17									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Note regarding Items 4(a)(iii)+(iv): In 2004, the Trustee, Wells Fargo, began putting relatively small amounts of funds into investment funds other than its own cash, common trust, and investment funds. I did not catch it then, but have now discovered it. The funds involved are shown in this report. The trust still owns interests in them, as this report shows. The total asset value shown on the earlier reports remains the same.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 591 et seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

Apr 17, 2007

NO
SU

OR FAILS TO FILE THIS REPORT MAY BE

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544