

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tucker, Petrese B.	2. Court or Organization PA-Eastern District	3. Date of Report 6/15/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Room 9613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106-1751	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Avenue of the Arts, Inc.
2. Executor	Estate, _____
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2000	PA State Retirement Plan
2.	
3.	

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 DISCLOSURE OFFICE

Tucker, Petrese B.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	PA SERS State Employee Retirement System	\$27,000
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Commonwealth of PA - Common Pleas Court Judge - Salary
2. 2009	Vernon Chestnutt, Esq. - Referrals and purchase of law practice
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Farm Credit of the Virginias	Mortgage on land	J
2. American Express	Credit card	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accounts receivable from clients of law practice		None	K	W					
2. Merrill Lynch Retirement Reserves - money market accts (Y)									
3. Land Halifax County, VA		None	L	W					
4. Royal Bank - bank accounts	A	Interest	J	T					
5. 3M Company		None	J	U	Sold (part)	02/09/09	J		
6.					Buy (add'l)	12/11/09	J		
7.					Buy (add'l)	12/14/09	J		
8. ABB LTD SPON ADR		None			Buy	03/13/09	J		
9.					Sold	05/13/09	J	A	
10. ABBOTT LABORATORIES-5.875% 5/15/06 - CORP BONDS		None	J	U					
11. Abbott Labs		None	J	T	Buy (add'l)	05/08/09	J		
12.					Sold (part)	06/08/09	J		
13. ACCENTURE PLC SHS		None	J	T	Buy	05/07/09	J		
14. ADOBE SYSTEMS		None	J	T	Buy (add'l)	05/07/09	J		
15.					Buy (add'l)	07/20/09	J		
16.					Sold (part)	01/14/09	J		
17.					Sold (part)	01/13/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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18. AES CORP		None	J	T	Buy	09/14/09	J		
19. AETNA INC NEW		None	J	T	Buy (add'l)	01/09/09	J		
20.					Buy (add'l)	01/28/09	J		
21.					Sold (part)	07/20/09	J		
22.					Sold (part)	08/31/09	J		
23.					Buy (add'l)	09/28/09	J		
24.					Sold (part)	11/02/09	J		
25. ALCATEL LUCENT SPD ADR (X)		None	J	T					
26. ALCOA INC		None			Sold (part)	01/23/09	J		
27.					Sold	02/02/09	J		
28. ALLERGAN INC		None	J	T	Buy	11/02/09	J		
29.					Buy (add'l)	11/04/09	J		
30. ALLIANZ SE SPD ADR		None			Buy	03/20/09	J		
31.					Sold	11/12/09	J		
32. Allstate Corp Del		None	J	T	Buy (add'l)	11/18/09	J		
33. ALTERA CORP		None			Sold	02/17/09	J		
34. Altria Group	A	Dividend	J	T	Sold (part)	01/30/09	J		

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35. ALUMINA LTD SP ADR (X)		None	J	T					
36. AMAZON COM INC COM		None	J	T	Buy	12/12/09	J		
37. AMER EXPRESS COMP		None	J	T	Buy	07/20/09	J		
38. AMEREN CORP		None	J	T					
39. AMERICAN EXPRESS-GLOBAL NOTES 6.15% 9/28/2017		None	J	T					
40. AMERICAN TOWER CORP		None			Sold (part)	05/07/09	J		
41.					Sold (part)	09/24/09	J		
42.					Sold	09/25/09	J		
43. AMERISOURCEBERGEN CORP		None	J	T					
44. AMGEN INC COM PV 5.0001		None	J	T	Buy (add'l)	06/29/09	J		
45.					Sold (part)	11/25/09	J		
46.					Buy (add'l)	01/02/09	J		
47.					Buy (add'l)	01/29/09	J		
48. ANADARKO PETE CORP		None			Buy	07/06/09	J		
49.					Sold	11/09/09	J	B	
50. ANALOG DEVICES INC COM		None	J	T	Buy	08/25/09	J		
51. ANGLOGOLD ASHANTI LTD		None	J	T					

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52. ANNALY CAP MGMT INC	A	Dividend	J	T	Buy (add'l)	04/29/09	J		
53. AON CORP COM		None	J	T					
54. APACHE CORP		None	J	T	Sold (part)	03/02/09	J		
55. APOLLO GROUP INC CL A		None			Buy	05/14/09	J		
56.					Sold	06/02/09	J		
57. Apple Computer		None	J	T	Buy (add'l)	02/05/09	J		
58. ARCHER DANIELS MIDLD		None	J	T	Buy	02/10/09	J		
59.					Buy (add'l)	03/16/09	J		
60. ASML HLDG NV NEW YORK		None			Buy	08/07/09	J		
61.					Sold	10/26/09	J	A	
62. ASTRAZENECA PLC BONDS		None	J	T					
63. AT&T	A	Dividend	J	T					
64. AUTOZONE INC NEVADA COM		None			Sold	09/01/09	J	A	
65. BAE SYSTEMS (Y)									
66. BANCO B VIZ ARG T SA ADR		None	J	T	Buy	09/30/09	J		
67. BANCO SANTANDER		None			Sold	01/20/09	J		
68. Bank of America Corp		None			Sold (part)	01/26/09	J		

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69.					Sold (part)	02/02/09	J		
70.					Sold	02/09/09	J		
71. BANK OF AMERICA CORPORATE BONDS		None	J	T					
72. BARD C R INC		None			Sold	05/08/09	J		
73. BARRICK GOLD CORPORATION		None	J	T					
74. BAXTER INTERNATIONAL		None	J	T	Buy (add'l)	05/08/09	J		
75.					Sold (part)	06/02/09	J		
76. BECTON DICKINSON CO		None	J	T	Buy (add'l)	01/15/09	J		
77.					Sold (part)	05/12/09	J		
78.					Buy (add'l)	06/01/09	J		
79. BEST BUY CO		None	J	T	Buy	07/20/09	J		
80. BHP BILLITON LTD ADR		None	J	T	Buy	02/09/09	J		
81.					Sold (part)	04/09/09	J		
82.					Buy (add'l)	07/21/09	J		
83.					Sold (part)	10/28/09	J	A	
84. BIG LOTS INC COM		None			Sold	03/12/09	J		
85. BIOGEN IDEC		None			Sold	11/09/09	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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86. BLACK AND DECKER CRP COM		None			Sold	05/15/09	J		
87. BLACKROCK BOND ALLOC TARGET SHS SERIES C	B	Dividend	K	T					
88. BLACKROCK BOND ALLOC TARGET SHS SERIES M	B	Dividend	K	T	Buy (add'l)	05/21/09	J		
89. BLOCK H&R, INC		None			Buy	04/02/09	J		
90.					Sold	10/05/09	J		
91. BMC SOFTWARE INC		None	J	T	Sold (part)	09/14/09	J	A	
92. BOEING CO - GLOBAL BONDS		None	J	T					
93. BOEING COMPANY		None	J	T	Buy (add'l)	06/01/09	J		
94.					Sold (part)	07/06/09	J		
95.					Sold (part)	10/29/09	J		
96.					Buy (add'l)	02/04/09	J		
97.					Buy (add'l)	02/05/09	J		
98. BP PLC		None	J	T					
99. BRISTOL MYER SQUIBB		None			Buy	11/20/09	J		
100.					Buy (add'l)	02/26/09	J		
101.					Sold (part)	07/20/09	J	A	
102.					Sold	07/21/09	J		

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103. BROADCOM CORP		None	J	T	Buy	05/28/09	J		
104.					Sold (part)	10/12/09	J	A	
105. CA Inc		None	J	T	Buy (add'l)	03/04/09	J		
106.					Sold (part)	05/28/09	J	A	
107.					Buy (add'l)	08/31/09	J		
108.					Sold (part)	01/02/09	J		
109. CANADIAN NATL RAILWAY CO		None			Buy	04/06/09	J		
110.					Sold	05/13/09	J		
111. CANADIAN NATURAL RES		None	J	T					
112. Canon Inc ADR Rep5Sh		None	J	T					
113. CARDINAL HEALTH INC OHIO		None			Buy	02/25/09	J		
114.					Sold	08/31/09	J	A	
115. CARREFOUR SA SHS		None	J	T	Buy	10/12/09	J		
116. Caterpillar Inc stock		None	J	T	Buy (add'l)	07/24/09	J		
117.					Sold (part)	05/29/09	J		
118. CBS Corp New		None	J	T	Sold (part)	03/18/09	J		
119. CELGENE CORP		None			Buy	05/27/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/20/09	J	A	
121.					Sold	09/18/09	J	A	
122. CENTURYTEL INC		None	J	T	Buy	02/26/09	J		
123. CHESAPEAKE ENERGY OKLA		None	J	T	Buy	11/17/09	J		
124. CHEVRON CORP	A	Dividend	J	T					
125. CHICAGO MERCANTILE EXCHANGE		None			Buy	05/29/09	J		
126.					Sold	07/22/09	J		
127. CHUBB CORP	A	Distribution	J	T	Sold (part)	05/07/09	J		
128.					Sold (part)	10/05/09	J		
129. Cisco Systems Inc.		None	J	T	Buy (add'l)	04/09/09	J		
130.					Sold (part)	06/29/09	J		
131.					Buy (add'l)	07/30/09	J		
132. CISCO SYSTEMS INC - BONDS		None	J	T	Buy	06/25/09	J		
133. CITIGROUP INC		None	J	T	Buy	12/17/09	J		
134. CITIGROUP INC - SUB NOTES		None	J	T					
135. CITRIX SYSTEMS		None	J	T	Buy	10/09/09	J		
136. CMA MONEY FUND		None	K	T	Open	05/05/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. CMS ENERGY CORP		None	J	T	Buy	06/01/09	J		
138.					Buy (add'l)	06/08/09	J		
139. CNOOC LTD ADR		None			Buy	04/16/09	J		
140.					Sold	06/29/09	J	A	
141. Coca Cola Com		None	J	T	Sold (part)	05/07/09	J		
142.					Sold (part)	07/24/09	J		
143. Colgate Palmolive		None			Sold (part)	06/25/09	J	A	
144.					Sold	07/20/09	J	A	
145. COLUMBIA VALUE & RESTRUCTURING FUND CL Z		None	J	T					
146. COMCAST CORP - BONDS		None	J	T					
147. Comcast Corp New Cl A		None	J	T					
148. Computer Science Crp		None	J	T					
149. Conoco Phillips	A	Dividend	J	T	Sold (part)	05/26/09	J		
150.					Buy (add'l)	11/19/09	J		
151. CORNING INC		None	J	T	Buy	12/16/09	J		
152. COSTCO WHOLESALE CRP DEL		None			Sold	04/20/09	J		
153. COVIDIEN PLC SHS (FORMERLY COVIDIEN LTD)		None			Sold (part)	04/02/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/14/09	J		
155.					Sold (part)	07/22/09	J		
156.					Sold	07/23/09	J		
157. CUMMINS INC		None	J	T	Buy	04/27/09	J		
158.					Buy (add'l)	07/21/09	J		
159. CURRENCY SHARES EURO TR		None	J	T					
160. CVS CAREMARK CORP		None	J	T	Buy	05/07/09	J		
161.					Buy (add'l)	07/24/09	J		
162.					Sold (part)	11/11/09	J		
163. DAI NIPPON PRTG (Y)									
164. DAIMLERCHRYSLER NA HOLDING-BONDS 6.5% 11/15/2013	A	Interest	J	T					
165. DANAHER CORP DEL		None	J	T	Sold (part)	07/20/09	J		
166. DARDEN RESTAURANT INC		None			Buy	06/22/09	J		
167.					Sold	08/31/09	J		
168. DEERE CO		None			Buy (add'l)	05/07/09	J		
169.					Sold	10/28/09	J		
170. Diageo PLC SPSP ADR		None			Sold	03/26/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. DIAMOND OFFSHORE DRLNG	A	Dividend	J	T	Buy (add'l)	07/30/09	J		
172.					Buy (add'l)	01/15/09	J		
173. DIRECT GROUP HLDNGS CLA		None	J	T	Buy	09/21/09	J		
174. DOW CHEMICAL CO		None			Buy (add'l)	01/15/09	J		
175.					Sold (part)	02/05/09	J		
176.					Sold (part)	02/20/09	J		
177.					Sold	03/05/09	J		
178. DWS DREMAN HIGH (Y)									
179. E M C CORPORATION MAS		None	J	T	Sold (part)	07/28/09	J		
180.					Buy (add'l)	05/01/09	J		
181.					Buy (add'l)	06/03/09	J		
182. EON AG		None			Sold (part)	03/23/09	J		
183.					Sold	07/23/09	J		
184. EASTON VANCE LARGE CAP		None	J	T	Buy	04/03/09	J		
185. EBAY INC		None	J	T	Buy	11/12/09	J		
186. EDISON INTL CALIF		None	J	T	Buy	03/09/09	J		
187. EMERSON ELECTRIC		None	J	T	Buy	04/09/09	J		

1. Income Gain Codes:

(See Columns D1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

O = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	08/04/09	J	A	
189.					Buy (add'l)	09/10/09	J		
190. EMCANA CORP		None			Sold	11/17/09	J		
191. ENI S P A Sponsored ADR		None			Sold	09/18/09	J		
192. ENSCO INTL LTD SPNSR ADR (FORMERLY ENSCO INTL INC COM)		None	J	T	Sold (part)	04/20/09	J		
193. ESPRIT HOLDINGS LTDADR		None	J	T	Buy	05/04/09	J		
194. EXELON CORPORATIONS		None			Sold	05/07/09	J		
195. EXELON CORP BONDS 4.9% 6/15/2015		None	J	T					
196. EXPEDIA INC DEL (X)		None			Sold	01/21/09	J		
197. Express Scripts Inc Com		None			Sold	08/04/09	J	A	
198. Exxon Mobil	A	Dividend	J	T	Sold (part)	04/13/09	J		
199.					Sold (part)	05/11/09	J		
200.					Sold (part)	08/17/09	J		
201.					Sold (part)	08/18/09	J		
202. FAMILY DOLLAR STORES	A	Dividend			Buy	05/11/09	J		
203.					Sold (part)	07/06/09	J		
204.					Sold	10/26/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. FED NAT'L MORTGAGE ASSOC -7.125% 6/15/2010	A	Interest	J	T					
206. FED HOME LN MTG CORP-5.125% 7/15/2015	A	Interest			Redeemed	01/15/09	J		
207. FED HOME LN MTG CORP-6.125% 3/15/2012	A	Interest	J	T					
208. FED HOME LN MTG CORP-4.875% 11/15/013		None	J	T					
209. FED HOME LN MTG CORP-5.125% 7/15/2012		None	J	T					
210. FED NAT'L MTG ASSOC-5% 5/11/17	A	Interest			Redeemed	06/25/09	J	A	
211. FED NAT'L MTG ASSOC-4.75% 12/15/2010	A	Interest	K	T	Buy (add'l)	01/15/09	J		
212.					Redeemed (part)	05/12/09	J		
213. FED NAT'L MTG ASSOC-4.75% 12/15/2010	A	Interest			Redeemed	06/15/09	J		
214. FED NAT'L MTG ASSOC-5.375% 7/15/2016		None			Redeemed	06/03/09	J	A	
215. FED NAT'L MTG ASSOC-6.125% 3/15/2012	A	Interest	J	T					
216. FHLMC G1 05 50% 2021	A	Interest	J	T					
217. FHLMC GO 343205 50% 2037	A	Interest	J	T					
218. FIDELITY ADVISOR NEW		None			Sold	04/03/09	J		
219. FLOWSERVE CORP		None	J	T	Buy	09/08/09	J		
220. FMC TECHS INC		None	J	T	Buy	11/09/09	J		
221.					Buy (add'l)	11/10/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	O = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. FNMA P190391 6% 2038	B	Interest	K	T					
223. FNMA P725690 6% 2034	A	Interest	J	T	Redeemed (part)	09/17/09	J	A	
224. FNMA P735580 5% 2035	A	Interest	J	T					
225. FNMA P745275 5% 2036		None	J	T	Buy	07/15/09	J		
226.					Buy (add'l)	09/30/09	J		
227. FNMA P745944 5% 2033	A	Interest	J	T	Redeemed (part)	06/19/09	J	A	
228. FNMA P745946 5% 2036	A	Interest	J	T					
229. FNMA P888129 5.5% 2037	A	Interest	J	T	Redeemed (part)	03/19/09	J	A	
230. FNMA P889579 6% 2038	A	Interest	J	T	Buy (add'l)	09/14/09	J		
231.					Redeemed (part)	09/30/09	J	A	
232. FNMA P889982 5% 2038		None			Buy	07/15/09	J		
233.					Redeemed	09/30/09	J	A	
234. FNMA P910332 5% 2037	A	Interest			Redeemed	09/25/09	J	A	
235. FNMA P912872 5.5% 2037	A	Interest			Redeemed	09/24/09	J	A	
236. FNMA P916493 5% 2037	A	Interest			Redeemed	09/25/09	J	A	
237. FNMA P934643 5% 2038	A	Interest	J	T	Buy	02/25/09	J		
238. FNMA P967524 6%		None			Redeemed	08/17/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. FNMA P983629 04 50% 2023		None	J	T	Buy	06/19/09	J		
240. FNMA P988580 6% 2038	A	Interest	J	T					
241. FOREST LAB INC		None	J	T	Buy	05/08/09	J		
242. FPL Group Inc		None			Sold	04/14/09	J		
243. FREEPT-MCMRAN CPR & GLD		None	J	T	Buy	05/05/09	J		
244.					Sold (part)	07/07/09	J	B	
245.					Buy (add'l)	07/20/09	J		
246.					Buy (add'l)	07/22/09	J		
247. FUJIFIM HLDGS CORP ADR		None	J	T					
248. GAP INC DELAWARE		None	J	T					
249. GDF SUEZ ADR		None			Sold	08/06/09	J		
250. GENENTECH INC		None			Sold	03/13/09	J	A	
251. GENERAL ELECTRIC CAP CORP SENIOR BONDS 5.25% 10/19/2012	A	Interest	J	T					
252. GENERAL ELECTRIC		None	J	T	Sold (part)	04/28/09	J		
253. GENERAL MILLS		None			Buy	03/30/09	J		
254.					Buy (add'l)	05/13/09	J		
255.					Sold	07/20/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. GENWORTH FINANCIAL INC COM CL A		None	J	T	Buy	05/05/09	J		
257. GENZYME CORP		None			Sold	05/07/09	J		
258. Gilead Sciences Inc Com		None	J	T	Buy (add'l)	04/07/09	J		
259.					Sold (part)	02/05/09	J	A	
260.					Buy (add'l)	05/13/09	J		
261.					Sold (part)	08/21/09	J	A	
262. GLAXOSMITHKLINE CAPITAL-5.65% 5/15/2018		None	J	T					
263. GlaxoSmithKline PLC ADR	A	Dividend	J	T					
264. GOLD FIELDS SP ADR NEW (X)		None	J	T					
265. GOLDMAN SACHS GROUP INC		None	J	T	Buy	03/13/09	J		
266.					Buy (add'l)	04/02/09	J		
267.					Sold (part)	11/02/09	J	B	
268.					Buy (add'l)	10/13/09	J		
269. GOLDMAN SACHS GROUP INC - NOTES		None	J	T					
270. GOOGLE INC		None	J	T	Buy (add'l)	02/12/09	J		
271. GROUPE DANONE (X)		None			Sold	03/20/09	J		
272. Halliburton Company		None	J	T	Sold (part)	08/03/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
273. HARBOR INTERNATIONAL (X)		None	J	T					
274. HARRIS STRATEX NETWORK		None	J	T	Buy	01/23/09	J		
275. HARTFORD CAPITAL		None	J	T	Buy	04/03/09	J		
276. Hartford Financial Services Inc		None	J	T	Sold (part)	03/09/09	J		
277. HARTFORD FINL SVCS GRP-6.3% 3/15/2018 SENIOR NOTE		None			Redeemed (part)	02/26/09	J		
278.					Redeemed (part)	03/03/09	J		
279.					Redeemed	03/06/09	J		
280. HARTFORD GROWTH(X)		None			Sold	04/03/09	J		
281. HASBRO INC COM		None			Sold	06/01/09	J		
282. Hess Corp		None	J	T	Buy (add'l)	06/01/09	J		
283.					Sold (part)	06/17/09	J		
284. Hewlett Packard Co		None	J	T	Sold (part)	02/25/09	J		
285.					Sold (part)	05/08/09	J		
286.					Buy (add'l)	12/16/09	J		
287.					Sold (part)	07/06/09	J		
288.					Sold (part)	03/02/09	J		
289. Home Depot Inc		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Honeywell Intl Inc Del		None	J	T	Buy (add'l)	09/11/09	J		
291.					Sold (part)	12/14/09	J	A	
292.					Sold (part)	05/08/09	J		
293. HOST HOTELS & RESORT		None			Sold (part)	01/26/09	J		
294.					Sold	01/30/09	J		
295. HUDSON CITY BANKCORP INC		None	J	T	Buy	07/16/09	J		
296. IMB CORP 4.47% 11/19/2012		None	J	T					
297. IMPALA PLATINUM SPON ADR (X)		None	J	T					
298. INGERSOL-RAND PLC (X)		None	J	T					
299. Intel Corp	A	Dividend	J	T	Sold (part)	08/07/09	J		
300.					Buy (add'l)	11/16/09	J		
301.					Buy (add'l)	03/02/09	J		
302.					Sold (part)	02/25/09	J		
303. International Business Machines	A	Dividend	J	T	Sold (part)	12/16/09	J	A	
304.					Buy (add'l)	04/30/09	J		
305. INTL PAPER CO		None	J	T	Buy	08/31/09	J		
306.					Buy (add'l)	09/01/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. IVANHOE MINES LTD (X)		None	J	T					
308. JANUS FORTY FD CL A (FORMERLY JANUS ADVISOR FORTY) (Y)									
309. JANUS FORTY FD CL I		None	J	T	Buy	10/19/09	J		
310. JC PENNY		None			Buy	09/11/09	J		
311.					Sold (part)	12/16/09	J		
312.					Sold	12/17/09	J		
313. JENNISON SMALL COMPANY (X)		None	J	T					
314. JOHN DEERE CORP - GLOBAL BONDS 7% 3/15/2012	A	Interest	J	T					
315. JOHNSON AND JOHNSON	A	Dividend	J	T	Sold (part)	01/06/09	J		
316.					Sold (part)	05/27/09	J		
317.					Sold (part)	07/09/09	J		
318.					Buy (add'l)	10/28/09	J		
319.					Buy (add'l)	05/20/09	J		
320. JOHNSON CONTROLS		None	J	T	Buy	07/21/09	J		
321. JOY GLOBAL INC		None	J	T	Buy	09/21/09	J		
322. JP Morgan Chase & Co		None	J	T	Buy (add'l)	03/13/09	J		
323.					Sold (part)	11/26/09	J		

1. Income Gain Codes:

(See Columns D1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

O = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	07/10/09	J		
325. JP MORGAN CHASE BONDS		None	J	T					
326. JUNIPER NETWORKS INC		None			Sold	04/06/09	J	A	
327. Kimberly Clark		None	J	T					
328. KING PHARMACEUTICALS INC		None			Sold	06/22/09	J		
329. KIRIN BREWERY LTD SP ADR		None	J	T	Sold (part)	05/22/09	J		
330. KOHLS CORP WISC PV ICT		None			Sold	05/07/09	J	A	
331. KOREA ELEC POWER SPN ADR (X)		None	J	T					
332. Kraft Foods Inc		None	J	T					
333. KROGER CO		None	J	T	Sold (part)	06/03/09	J		
334.					Sold (part)	06/15/09	J		
335.					Sold (part)	09/28/09	J		
336.					Sold (part)	02/02/09	J		
337. KT CORP ADR (X)		None			Sold	03/18/09	J		
338. L-3 COMMUNICATIONS HOLDING		None	J	T	Sold (part)	08/10/09	J		
339. LEXMARK INTL INC CL A		None			Sold	07/22/09	J		
340. LINCOLN NTL CORP		None			Sold (part)	04/26/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	D = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold	05/15/09	J		
342. LLOYDS TSB GROUP SPD		None	J	T	Buy	08/06/09	J		
343. Lockheed Martin Corp		None	J	T	Buy (add'l)	01/23/09	J		
344.					Sold (part)	02/09/09	J		
345.					Sold (part)	07/20/09	J		
346.					Sold (part)	08/21/09	J		
347. Loews Corp (X)		None	J	T					
348. LORILLARD INC		None	J	T	Buy	08/03/09	J		
349.					Sold (part)	07/06/09	J	A	
350. LOWES COMPANIES		None	J	T	Buy (add'l)	07/20/09	J		
351. MARATHON OIL CORP		None	J	T	Buy (add'l)	07/09/09	J		
352. MARATHON OIL CORP-6% 10/01/2017		None	J	T					
353. MARSH & MCLENNAN COS INC		None			Sold	04/23/09	J		
354. MASTERCARD INC		None	J	T	Buy	06/10/09	J		
355. MATTEL INC COM		None	J	T					
356. MCGRAW HILL COMP		None	J	T	Buy	08/28/09	J		
357. MCDONALDS CORP		None			Sold	03/24/09	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. MCKESSON CORPORATION COM		None			Sold	05/19/09	J		
359. MEAD JOHNSON NUTRTION CO		None	J	T	Buy	12/18/09	J		
360. Medco Health Solutions		None	J	T					
361. Medtronic Inc. Com		None	J	T	Sold (part)	01/06/09	J		
362. MERCK AND CO INC (FORMERLY Merck & Co Inc)		None	J	T	Buy (add'l)	12/07/09	J		
363. Metlife Inc		None	J	T	Sold (part)	01/14/09	J		
364.					Buy (add'l)	05/20/09	J		
365. METLIFE INC-SENIOR NOTES		None			Sold	03/13/09	J		
366. MFS RESEARCH BON CL A (X)		None			Sold	04/03/09	J		
367. MFS RESEARCH INTL FUND (X)		None			Sold	04/03/09	J		
368. Microsoft Corp	A	Dividend	K	T	Sold (part)	03/02/09	J		
369.					Buy (add'l)	05/20/09	J		
370.					Buy (add'l)	07/06/09	J		
371.					Sold (part)	11/04/09	J	A	
372. MITSUI SUMITOMO INS (X)		None	J	T					
373. FIA CARD SRVS NA RASP(FORMERLY ML Bank USA Rasp Money MKT)	A	Dividend	J	T					
374. MONSANTO CO NEW DEL COM		None			Sold	10/28/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. MORGAN STANLEY - NOTES		None	J	T					
376. MOSIAC CO		None	J	T	Buy	06/30/09	J		
377. MOTOROLA INC (X)		None	J	T					
378. MURPHY OIL CORP		None	J	T	Buy	07/20/09	J		
379. NABORS INDUSTRIES LTD		None	J	T	Buy	11/23/09	J		
380. NATIONAL OIL WELL VARCO		None	J	T	Buy	04/14/09	J		
381.					Buy (add'l)	07/21/09	J		
382. Nestle S A Rep RG ADR (Y)									
383. NEW YORK CMNTY BANCORP		None	J	T	Buy	01/23/09	J		
384. NEWCREST MNG LTD SPN ADR (X)		None	J	T					
385. NEWMONT MINING CORP		None	J	T					
386. NEWS AMERICA INC 5.3% 12/15/2014		None	J	T					
387. NIPPON TEL&TEL SPDN ADR		None	J	T					
388. NM HOUSEHOLD FIN CORP		None			Sold	06/12/09	J		
389. NOBLE ENERGY INC		None	J	T					
390. Nokia Corp		None	J	T					
391. NORTN TRUST CORP		None			Sold	09/18/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. NORTHROP GRUMMAN CORP		None	J	T	Buy	10/30/09	J		
393.					Buy (add'l)	11/02/09	J		
394. Novartis ADR		None			Sold	04/21/09	J		
395. NOVELLUS SYS INC		None			Sold	08/13/09	J		
396. NRG ENERGY INC (X)		None	J	T					
397. Occidental Petroleum Corp		None	J	T	Buy (add'l)	01/06/09	J		
398.					Buy (add'l)	05/20/09	J		
399.					Sold (part)	12/14/09	J	A	
400. Oracle Corp		None	J	T	Sold (part)	08/07/09	J		
401.					Sold (part)	08/07/09	J	A	
402. PACTIV CORPORATION		None	J	T	Buy	07/06/09	J		
403. PANASONIC CORP SHS (X)		None	J	T					
404. PARKER HANNIFIN CORP		None	J	T	Buy (add'l)	09/14/09	J		
405.					Sold (part)	02/09/09	J		
406. PEPSI BOTTLING GROUP INC		None			Sold	01/02/09	J		
407. Pepsico Inc	A	Dividend	J	T	Sold (part)	05/07/09	J		
408. PETRLEO BRAS VTG SPD ADR		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. PETRO CANADA (X)		None			Sold	01/28/09	J		
410. Pfizer Inc Del PV .05	A	Dividend	J	T	Buy (add'l)	07/23/09	J		
411.					Sold (part)	12/07/09	J		
412.					Buy (add'l)	01/02/09	J		
413.					Sold (part)	03/21/09	J		
414. PFIZER INC-6.2% 3/15/2019		None	J	T	Buy	06/25/09	J		
415. PHILIP MORRIS INTL INC	A	Dividend	J	T	Buy (add'l)	04/13/09	J		
416.					Sold (part)	05/19/09	J		
417.					Sold (part)	07/20/09	J		
418.					Buy (add'l)	11/02/09	J		
419.					Buy (add'l)	10/26/09	J		
420.					Buy (add'l)	01/29/09	J		
421. PIMCO FIXED INCOME SHS SERIES C INSTL	C	Interest	K	T					
422. PIMCO FIXED INCOME SHS SERIES M INSTL	C	Interest	K	T					
423. PITNEY BOWES INC		None	J	T					
424. PNC FINANCIAL SERVICES GROUP INC		None			Buy	01/08/09	J		
425.					Sold (part)	03/18/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	02/26/09	J		
427.					Sold (part)	03/09/09	J		
428.					Sold	10/14/09	J	B	
429. POTASH CORP SASKATCHEWAN		None	J	T	Buy (add'l)	02/04/09	J		
430.					Sold (part)	05/07/09	J	A	
431.					Buy (add'l)	07/20/09	J		
432.					Buy (add'l)	07/23/09	J		
433. PRAXAIR INC		None	J	T	Buy	05/01/09	J		
434. Proctor Gamble	A	Dividend	J	T	Sold (part)	03/24/09	J		
435.					Buy (add'l)	05/19/09	J		
436.					Buy (add'l)	06/25/09	J		
437.					Buy (add'l)	07/08/09	J		
438. PROCTOR GAMBLE 0 4.6% 1/15/2014 GLB		None	J	T					
439. PROMISE CO LTD (X)		None			Sold	05/22/09	J		
440. PRUDENTIAL PLC (Y)									
441. QLOGIC CORP (Y)									
442. QUALCOMM INC		None			Buy (add'l)	01/15/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	03/06/09	J		
444.					Sold (part)	05/08/09	J		
445.					Sold (part)	05/13/09	J		
446.					Sold	10/08/09	J	A	
447. QUEST DIAGNOSTICS INC		None	J	T	Buy	08/03/09	J		
448. QWEST COMM INTL INC COM		None	J	T	Buy (add'l)	05/26/09	J		
449.					Sold (part)	11/18/09	J		
450. RR DONNELLEY SONS		None	J	T					
451. RADIOSHACK CORP		None	J	T					
452. RANGE RESOURCES CORP DEL		None	J	T					
453. Raytheon Co Delaware New		None	J	T	Sold (part)	03/16/09	J		
454.					Buy (add'l)	11/25/09	J		
455. RETIREMENT RESERVES CL I		None	J	T					
456. REYNOLDS AMERICAN INC		None	J	T	Buy (add'l)	10/05/09	J		
457. ROCHE HLDG LTD (Y)									
458. ROWAN COMPANIES		None	J	T	Buy	08/17/09	J		
459. ROYAL DUTCH SHELL		None	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

O = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. RYDER SYSTEMS INC		None	J	T	Buy	10/12/09	J		
461. Sanofi Aventis Spon ADR		None	J	T	Buy (add'l)	01/14/09	J		
462. SBC COMMUNICATIONS		None			Sold	09/15/09	J	A	
463. Schlumberger Ltd		None			Sold	07/07/09	J		
464. SEGA SAMMY HOLDINGS INC (X)		None	J	T					
465. SEKISUI HSE LD SPONS ADR (X)		None	J	T					
466. SIMON PROPERTIES		None			Sold	05/12/09	J		
467. SK TELECOM (X)		None	J	T					
468. SOCIETE GENERAL SPN		None	J	T	Buy	11/11/09	J		
469. SOUTHWESTERN ENERGY CO		None	J	T	Buy	12/21/09	J		
470. ST JUDE MEDICAL		None	J	T	Buy	02/17/09	J		
471.					Buy (add'l)	05/12/09	J		
472. STAPLES		None	J	T	Sold (part)	07/30/09	J		
473. STORO ENSO OYJ SPD ADR		None	J	T					
474. Sumitomo Mitsu Finl ADR		None	J	T					
475. SUNCOR ENERGY INC NEW (X)		None	J	T					
476. SWISSCOM AG ADR		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)		A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)		J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)		Q = Appraisal U = Book Value	R = Cust (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. SYMANTEC CORP		None			Buy (add'l)	05/07/09	J		
478.					Sold (part)	02/02/09	J		
479.					Sold (part)	02/04/09	J		
480.					Sold	08/05/09	J		
481. TARGET CORP		None	J	T	Buy	03/13/09	J		
482.					Buy (add'l)	03/25/09	J		
483.					Sold (part)	05/29/09	J	A	
484.					Buy (add'l)	10/09/09	J		
485.					Buy (add'l)	12/07/09	J		
486.					Buy (add'l)	12/08/09	J		
487. TCW TOTAL RETURN		None	J	T	Buy	04/03/09	J		
488. TDK CORP AMERN DEP SHR (X)		None	J	T					
489. TECHNIP SP ADR (X)		None	J	T					
490. TELECOM ITALIA SPA ADR (X)		None	J	T					
491. TELUS CORP NON VGT SHR (Y)									
492. TERADATA CORP DEL		None	J	T	Buy	08/10/09	J		
493.					Buy (add'l)	08/11/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. TESORO CORP		None	J	T	Buy	05/08/09	J		
495. TEVA PHARMACTCL INDS ADR		None	J	T	Buy	06/29/09	J		
496. TEXTRON INC (X)		None			Sold	01/21/09	J		
497. THORNBURG INTERNATIONAL (X)		None	J	T					
498. TIME WARNER INC		None	J	T	Buy	05/07/09	J		
499.					Buy (add'l)	05/13/09	J		
500. TJX COS INC NEW		None	J	T	Buy	12/14/09	J		
501.					Buy (add'l)	12/15/09	J		
502. TOMKINS PLC SP ADR (X)		None			Sold	10/02/09	J		
503. Total S.A. Sp ADR		None	J	T					
504. TRANSOCEAN		None			Buy (add'l)	05/21/09	J		
505.					Sold	10/13/09	J		
506. TRAVELERS COS INC		None	J	T	Sold (part)	09/14/09	J		
507. UBS AG		None	J	T	Buy	10/21/09	J		
508.									
509. UNILEVER PLC NEW ADR (Y)									
510. UNION PACIFIC CORP (X)		None	J	T	Buy (add'l)	04/03/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	07/20/09	J		
512. UNITED PARCEL SERVICE INC CL B		None	J	T	Buy	03/05/09	J		
513. UNITED STS STL CORP		None	J	T	Buy (add'l)	05/07/09	J		
514.					Buy (add'l)	06/10/09	J		
515.					Buy (add'l)	07/20/09	J		
516.					Sold (part)	09/11/09	J	A	
517. United Techs Corp Com		None	J	T	Buy (add'l)	05/21/09	J		
518. UNITEDHEALTH GROUP		None	J	T	Buy	03/03/09	J		
519.					Buy (add'l)	12/16/09	J		
520. UNUM GROUP		None	J	T	Sold (part)	07/06/09	J		
521.					Sold (part)	04/13/09	J		
522. US TREASURY NOTE-2.875% 1/31/2013		None	J	T					
523. US TREASURY NOTE-3.375% 6/30/2013		None	J	T	Buy (add'l)	11/14/09	J		
524. US TREASURY NOTE-4.25% 11/15/2017		None	J	T					
525. US TREASURY NOTES-4.5% 9/30/2011		None	J	T					
526. US TREASURY NOTE-5.125% 6/30/2011		None	J	T					
527. US TREASURY NOTE-1% 7/31/2011		None	J	T	Buy	07/31/09	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. US TREASURY NOTE-1.875% 2/28/2014		None	J	T	Buy	03/27/09	J		
529. US TREASURY NOTE-2.625% 06/30/2014		None	J	T	Buy	07/01/09	J		
530.					Buy (add'l)	08/28/09	J		
531. US TREASURY NOTE-3.125% 5/15/2019		None	K	T	Buy	06/19/09	J		
532.					Buy (add'l)	08/25/09	J		
533.					Buy (add'l)	10/30/09	J		
534.					Buy (add'l)	07/31/09	J		
535. US TREASURY NOTE-3.625% 08/15/2019		None	J	T	Buy	09/17/09	J		
536. US TREASURY NOTE-3.875% 9/15/2010	A	Interest	J	T	Buy	06/03/09	K		
537.					Redeemed (part)	07/01/09	J		
538. US TREASURY NOTE-4% 02/15/2015		None	J	T	Buy	09/09/09	J		
539.					Buy (add'l)	09/29/09	J		
540. US TREASURY NOTE-4.25% 9/30/2012		None	K	T	Buy (add'l)	06/12/09	J		
541.					Buy (add'l)	09/24/09	J		
542.					Buy (add'l)	09/25/09	K		
543. US TREASURY NOTE-5% 02/15/2011		None	J	T	Buy	09/14/09	J		
544. US TREASURY NOTE-2.375% 04/15/2011	A	Interest	J	T	Buy	03/27/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	O = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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(1)

							J		
547. US TRSY INFLATION NOTE-3% 7/15/2012	A	Interest	J	T					
548. USD CANADIAN NATURAL RES-5.7% 5/15/2017		None	J	T					
549. V F CORPORATION		None	J	T					
550. Valero Energy Corp New		None	J	T	Sold (part)	11/24/09	J		
551.					Buy (add'l)	04/30/09	J		
552. VAN KAMPEN		None			Sold	04/30/09	J		
553. VERIZON COMMUNICATION COM	A	Dividend	J	T	Sold (part)	06/01/09	J	A	
554. VERIZON COMMUNICATION-5.5% 02/15/2018		None	J	T	Buy	06/25/09	J		
555. Viacom Inc New (X)		None	J	T					
556. VISA INC CL A SHRS		None	J	T	Buy	04/13/09	J		
557. VODAFONE (FORMERLY Vodafone Grp PLC Spn ADR)		None	J	T	Sold (part)	09/29/09	J		
558. WACHOVIA CORP BOND		None	J	T					
559. WALGREEN CO		None	J	T	Buy	04/20/09	J		
560.					Buy (add'l)	05/04/09	J		
561.					Sold (part)	12/21/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Wal-Mart Stores Inc	A	Dividend	J	T	Sold (part)	04/09/09	J		
563.					Sold (part)	07/20/09	J		
564.					Sold (part)	09/11/09	J		
565. WALMART STORES INC 5.8% 2/15/2018		None	J	T					
566. WASTE MANAGEMENT INC NEW		None	J	T					
567. WATSON PHARMACEUTICALS		None	J	T	Buy	05/18/09	J		
568. Wellpoint Inc		None	J	T			J		
569. WELLS FARGO & CO NEW DEL		None	J	T	Buy (add'l)	10/12/09	J		
570.					Buy (add'l)	11/11/09	J		
571.					Sold (part)	12/15/09	J		
572.					Buy (add'l)	05/18/09	J		
573.					Sold (part)	01/26/09	J		
574.					Buy (add'l)	02/02/09	J		
575.					Sold (part)	03/02/09	J		
576.					Sold (part)	03/17/09	J		
577. WHIRLPOOL CORP		None			Sold (part)	04/21/09	J		
578.					Sold	04/28/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Tucker, Petrese B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. WINDSTREAM		None	J	T					
580. WYETH		None			Sold (part)	04/14/09	J	A	
581.					Sold (part)	02/20/09	J		
582.					Sold	05/04/09	J		
583. WYNN RESORTS LTD		None	J	T	Buy	09/24/09	J		
584. XEROX CORP		None	J	T	Sold (part)	05/08/09	J		
585. XILINX INC		None			Sold (part)	02/09/09	J		
586.					Sold	07/20/09	J		
587. XTO ENERGY		None			Sold	06/29/09	J		
588. XTO ENERGY INC-6.25% 8/1/2017		None	J	T					
589. YAHOO INC		None	J	T	Buy	08/06/09	J		
590. YUM BRANDS INC		None			Buy	06/12/09	J		
591.					Sold	09/11/09	J		
592. Zurich Finl Svcs Spn ADR		None	J	T	Sold (part)	11/18/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544