

United States District Court
Western District of Wisconsin
Robert W. Kastenmeier Courthouse
120 N. Henry Street, Rm. 540
Post Office Box 591
Madison, Wisconsin 53701

Chambers of
Barbara B. Crabb
District Judge

Telephone
608/264-5447

August 16, 2010

Honorable Bobby R. Baldock
Chair, Judicial Conference
Committee on Financial Disclosure
One Columbus Circle N.E.
Washington, D.C. 20544

Re: Calendar Year 2009 Filing

Dear Judge Baldock:

In response to your July 30, 2010 letter, I have researched each of the problems you identified. I believe that I have the information necessary to answer your questions.

In part VII, line 4, line 6, I listed "I shares Iboxx Investop" without information about the 2009 purchase. I should have shown "Buy 5/6/09" and "J" for value.

Apparent discrepancies between 2008 and 2009 report:

In part VII, page 4, line 5, of the 2008 report, I showed Smith Barney Cash Funds (Citibank). This is the same fund I reported in the 2009 report under its new name: "Citibank NA South Dakota Bank Deposit Program."

In part VII, page 4, line 8, of the 2008 report, I listed "I shares MSCI; EAFE" with no value as on the end of the year. The four partial sales shown disposed of the entirety of the asset. Is there some other way I should have indicated that the asset had been sold in full?

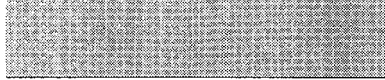
In part VII, page 5, line 27, of the 2008 report, I showed "Ishares Trust Lehman." Its new name is "I shares Barclays US Agg Bond," which was reported in my 2009 return at part VII, page 4, line 5.

RECEIVED
200 AUG 23 A 9:51
DISCLOSURE OFFICE

In part VII, page 7, line 56, I showed Western Assets Money Market. These funds were redeemed in 2008. The value was "J."

I apologize for these oversights and for the inconvenience it has caused the committee.

With very best regards,

A rectangular area with a dense, grey, textured pattern, likely representing a redacted signature or a placeholder for a signature.

Barbara B. Crabb
District Judge

BBC:skv

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabb, Barbara B.	2. Court or Organization U.S. Dist. Court, W.D. Wis.	3. Date of Report 05/6/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address P.O. Box 591 Madison, WI 53701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

RECEIVED
2009 MAY 11 A 11:39
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT
Page 2 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Wisconsin Department of Employee Trust Funds
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sheryl Facktor/Phillip Mervis	11/08	Chicago, IL	Mtg BOD Charitable found	Lodging and meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Munic. Fund	A	Dividend	K	T					
2. Medtronics com stk	A	Dividend	J	T					
3. Cisco com stk		None	J	T					
4. GE Capital	B	Interest	L	T					
5. Smith Barney Cash funds (Citibank)	A	Interest	K	T					
6. Puerto Rico bond	A	Interest	K	T					
7. Equitable Life Assur. Annuity		None	K	T					
8. i shares TR MSC1: EAFE	C	Int./Div.			sold part	4/16	J	B	
9.					sold part	5/27	K	E	
10.					sold part	10/21	K	D	
11.					sold part	11/3	M	E	
12. i shares Trust Russell 1000 Growth	C	Dividend	K	T	sold part	2/1	K	A	
13.					sold part	4/16	J	B	
14.					sold part	10/21	K		
15.					sold part	11/17	J	A	
16.					sold part	12/15	J	A	
17.					Buy (add'l)	11/3	K		

1. Income Gain Codes:
(See Columns B1 and B4)

A = \$1,000 or less
F = \$50,001 - \$100,000

H = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
I1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and C2)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 5 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. i shares Trust Russell 1000 Index	C	Dividend			Sold	10/21	M	D	
19. i shares Russell 2000 Value	B	Dividend	K	T	sold part	10/21	L	E	
20.					sold part	11/3	J	A	
21.					sold part	12/15	J		
22.					bought add'l	5/12	J		
23.					Buy (add'l)	11/3	J		
24. i shares Russell 2000/Growth	A	Dividend	L	T	sold part	2/1	J	A	
25.					sold part	12/15	J		
26.					Buy (add'l)	11/3	K		
27. i shares Trust Lehman	A	Dividend			sold part	2/1	J	A	
28.					sold part	4/16	J	A	
29. i shares MSCI Emerging	C	Dividend	M	T	sold part	11/13	J	A	
30.					sold part	12/15	J	A	
31.					Buy (add'l)	2/1	J		
32.					Buy (add'l)	4/16	J		
33.					Buy (add'l)	5/12	J		
34.					Buy (add'l)	6/2	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal I = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT
Page 6 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	11/3	L		
36.					sold part	12/10	J	C	
37.					bought add'l	3/7	J		
38. i shares Russell 1000 Value Index	D	Dividend	M	T	sold part	2/1	J	A	
39.					sold part	12/15	J		
40.					Buy (add'l)	4/16	J		
41.					Buy (add'l)	5/12	J		
42.					Buy (add'l)	10/21	M		
43.					Buy (add'l)	11/3	L		
44.					bought add'l	10/29	J		
45. i shares Russell Mid Cap Value	B	Dividend	J	T	sold part	2/1	J	A	
46.					Sold (part)	11/3	K		
47.					Sold (part)	12/15	J		
48.					bought add'l	4/16	J		
49. i shares Russell Mid Cap Growth	A	Dividend	L	T	sold part	2/1	J	A	
50.					sold part	11/3	J		
51.					Sold (part)	12/15	J		

1. Income Gain Codes (See Columns U1 and U4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	L = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 I = Cash Market	E = \$15,001 - \$50,000
---	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT
Page 7 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	4/16	J		
53.					Buy (add'l)	5/12	J		
54.					Buy (add'l)	10/21	K		
55. Dreyfus Liquid Assets (for temp. cash)	A	Dividend	J	T					
56. Western Assets Money Market (for temp. cash)	A	Dividend	K	T					
57. Vanguard Growth	A	Int./Div.	M	T	Buy	11/7	M		
58. Citibank Bank Dep. Prog. (for temp cash)	A	Int./Div.	K	T					
59. SPDR Index Fund		None	J	T	Buy	10/21	L		
60.					Buy	11/3	J		
61.					Sold (part)	11/3	L		
62.					Sold (part)	12/15	J		
63. Barclays U.S. Agg. Bond Y		None	J	T	Sold (part)	12/15	J		
64.	A				Sold (part)	12/15	J		
65.					Buy	11/3	J		
66. Wells Fargo Adv. Funds (Fed Vest Acct) Y		None	J	T	Buy	3/4	J		

1. Income Gain Codes: (See Columns H1 and H4)	A = \$1,000 or less F = \$50,001 - \$100,000	U = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 8 of 9

Name of Person Reporting
Crabb, Barbara B.

Date of Report
05/13/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Y - Overlooked inadvertently in previous reports

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Crabb, Barbara B.

Date of Report

05/13/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544