

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Ambro, Thomas L.	2. Court or Organization U.S. Court of Appeals, 3rd Cir	3. Date of Report 5/10/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address Federal Courthouse 844 King Street, Lock Box 32 Wilmington, DE 19801	8. On the basis of the information contained in this Report and any modifications pertaining therein, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only, see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Thomas L. Ambro Revocable Trust
2. Member	Georgetown University Alumni Admissions Program Board of Advisors
3. Chairman	Georgetown University Northern Delaware Alumni Admissions Program
4. Member	Board of Editors, Delaware Lawyer
5. Member	Board of Editors, Delaware Law Review
6. Co-Chair	Collins Seitz Bankruptcy Inn of the American Inns of Court
7. Member	Board of Trustees of the American Inns of Court

II. AGREEMENTS. (Reporting individual only, see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____

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FINANCIAL DISCLOSURE REPORT

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Ambro, Thomas L

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME
(yours, not spouse's)

1.

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

2004

State of Delaware

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. American Bar Association

January 15-19, Santa Barbara, CA, Section of Business Law Midwinter Meeting
(Transportation, Lodging & Meals)

2. American Bar Association

March 31-April 4, Seattle, Washington, Section of Business Law Spring Meeting
(Transportation, Lodging & Meals)

3. American Bar Association

August 5-9, Atlanta, GA, Annual Meeting (Transportation, Lodging & Meals)

4. Pennsylvania Bar Association

November 19, Philadelphia, PA, CLE Program panelist (Transportation & Meal)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "Q" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Wilmington Trust Account		None	J	T					
2. Wilmington Trust Account	A	Interest	J	T					
3. Wilmington Tax Exempt Investor	A	Interest	J	T					
4. Delaware State Bond 7.0%		None	K	T					
5. Delaware State Bond 6.1%		None	K	T					
6. Glasgow Professional Properties Inc	A	Rent	O	W					
7. Lynhaven Partners (1983 - \$23,500)	B	Rent	K	R					
8. Nantucket Island Associates Partnership (1984 - \$17,200)	A	Rent	K	R					
9. PB/Fogelman Harbour Town Partnership (1988 - \$30,300)	A	Rent	K	R					
10. Salem Plaza Associates Partnership (1984 - \$23,581)		None	K	R					
11. Trust Account #6	D	Dividend	M	T					
12. - Evergreen Municipal Money Market FD (cash equivalent)									
13. - Delaware Riv & Bay Auth 4.9%					Sell	10/13	M	D	
14. - Centerpoint Energy Inc					Buy	3/10	J		
15. - Nisource Inc					Buy	3/10	J		
16. - Sirius Satellite Radio					Sell	1/26	J	A	
17. - XM Satellite Radio Holdings					Sell	1/26	J		
18. - Harris Assoc Oakmark I					Buy	10/19	M		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraised	R = Cost (Real Estate Only)	S = Assessed	T = Cross-Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. ret. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. - Southwest Water Co					Buy	1/29	J		
20.					Buy	5/17	J		
21. Trust Account #7	E	Dividend	P1	T					
22. - Firsthand FDS					Sell	3/10	K	D	
23. - Goldman Sachs TR ILA Money Market					Part Sale	10/29	J		
24. - Vanguard Municipal Bond Fund Intermediate					Sell	4/22	M		
25. - Wexford TR Muhlenkamp FD					Sell	3/10	K	C	
26. - Calamos Growth FD									
27. - Clipper Fund									
28. - FMI Focus Fund									
29. - ING Funds Intr Value									
30. - Oakmark Fund					Sell	12/9	L	E	
31. - Oakmark Global Fund CL I									
32. - PIMCO Foreign BD FD Inst					Sell	4/2	L		
33. - T Rowe Price Intl Bond					Sell	4/22	K	A	
34. - TCW Galileo Sel Eqty									
35. - Calamos Conver Tible FD CL A									
36. - Pimco Real Return FD A					Sell	4/22	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$6,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. - Pioneer High Yield					Part Sale	4/22	K	A	
38. - Duke Energy Co									
39. - Aegis Value Fund Inc					Buy	4/23	K		
40. - Bridgeway Ultra-Small Co					Buy	4/23	K		
41. - T Rowe Price Cap Appr FD					Buy	4/23	L		
42. - Torrey Intl Strategy					Buy	7/6	K		
43. - Torrey US Strategy					Buy	7/6	K		
44. IRA Account #1	C	Dividend	M	T					
45. - Goldman Schs TR ILA Money Market									
46. - ING Funds Intr Value									
47. - Wexford TR Muhlenkamp FD									
48. - Calamos Invt TR New									
49. - Clipper Fund									
50. - FMI Funds					Sell	9/28	J	B	
51. - Oakmark Global Fund									
52. - T Rowe Price Intl					Sell	4/22	J	A	
53. - TCW Galileo FDS Inc									
54. - Vanguard Bond Index FD					Sell	4/22	J	A	

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. - Calamos Invt TR New Convertible FD CL A									
56. - Oakmark Fund Class I									
57. - Pioneer High Yield									
58. - Pimco FDS PAC Invt MGMT SER Real Return BD					Sell	4/22	J		
59. - Aegis Value Fund Inc					Buy	4/23	J		
60. - Bridgeway F Inc					Buy	4/23	J		
61. - Franklin Cust Income FD					Buy	9/23	J		
62. - T Rowe Price Cap Appr FD					Buy	4/23	J		
63. IRA Account #2	E	Dividend	P1	T					
64. - Goldman Sachs TR ILA Money Market									
65. - Firsthand FDS Tech Value FD					Part Sale	3/10	M		
66. - ING Funds Intr Value									
67. - Wexford TR Muhlenkamp FD					Part Sale	3/10	K	D	
68. - Dominion Res Inc VA New									
69. - Teco Energy Inc					Buy	5/19	J		
70. - Calamos Invt TR New									
71. - Clipper Fund Inc									
72. - FMI FDS Focus Fund					Sell	9/28	L	E	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$6,000	D = \$6,001-\$15,000	E = \$15,001-\$50,000
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2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$6,000,000	P2 = \$6,000,001-\$26,000,000	
	P3 = \$26,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cards/Markets	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. - Oakmark Fund					Sell	3/26	M	E	
74. - Oakmark Global Fund									
75. - T Rowe Price Intl Bond Fund					Sell	4/22	L	B	
76. - TCW Galileo FDS Inc					Part Sale	3/26	K	D	
77. - RF Monolithics Inc					Sell	3/26	J	C	
78. - Calamos Invt TR New Convertible FD CLA									
79. - Pimco FDS PAC Invt MGMT SER Real Return					Sell	4/22	L		
80. - Pioneer High Yield					Part Sale	3/10	K	A	
81. - Aegis Value Fund Inc					Buy	4/23	K		
82. - Bridgeway FD Inc Ultra-Small Co					Buy	4/23	K		
83. - Centerpoint Energy Inc					Buy	3/26	J		
84. - Franklin Cust Income FD					Buy	9/30	M		
85. - T Rowe Price Cap Appr Fund					Buy	3/26	J		
86. - Campbell Fund Trust					Buy	3/23	M		
87. Note receivable from Louis P. Oliveri, Jr., et al.	E	Interest	L	T					
88. Trust Account #12	E	Dividend	O	T					
89. - Firsthand Tech Value FD					Sell	9/30	K	D	
90. - GS ILA Money Mkt Instl									

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A. Description of Assets (excluding trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. - Wexford TR Muhlenkamp FD					Sell	4/22	K	D	
92. - Cisco Systems Inc					Part Sale	10/19	K	D	
93. - Calamos Invt TR New									
94. - Clipper Fund Inc									
95. - FMI FDS Focus Fund					Sell	9/28	L	D	
96. - ING Funds Intr Value									
97. - Oakmark Fund Class I					Sell	12/9	L	E	
98. - Oakmark Global Fund									
99. - T Rowe Price Intl Bond Fund					Part Sale	4/20	K	B	
100.					Sell	4/22	J		
101. - TCW Galileo FDS Inc									
102. - Calamos Conver Tible FD CLA									
103. - Pimco Real Return					Sell	4/20	L		
104. - Pioneer High Yield FD A									
105. - Aegis Value Fund Inc					Buy	4/23	K		
106. - Bridgeway Ultra-Small Co					Buy	4/23	K		
107. - T Rowe Price Cap Appr					Buy	4/23	L		
108. Springside, LLC (2000 - \$300,000)	B	Rent	P1	W					

1. Grossing/Chain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Asset (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
109. The Henlopen Fund		None	J	T					
110. Fahnstock Prime Cash Series		None			Closed				
111. Legg Mason Opportunity Trust		None	L	T					
112. Fairholme Fund	C	Dividend	M	T					
113. Matthews Asian Fund	A	Dividend	L	T	Buy	1/5	L		
114. Middletown Professional Properties, LLC (2004 - \$80,925)	A	Interest	L	R	Buy	10/28	L		

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
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VII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

1) Asset reported in Part VII, Page 9, Line 151 from prior report is now being held in Trust #7 and Trust #12.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 12, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544