

UNITED STATES DISTRICT COURT
CHAMBERS OF
JUDGE CHARLES S. HAIGHT, JR.
UNITED STATES DISTRICT COURT
141 CHURCH STREET
NEW HAVEN, CT 06510

September 13, 2009

Honorable Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Calendar Year 2008 Filing

Dear Judge Baldock:

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2009 SEP 17 A 10:51
DISCLOSURE OFFICE

I respond to the Committee's inquiries set forth in your letter dated August 14, 2009.

Part VII, page 6, lines 49-51, page 7, lines 52-68, page 8, lines 69-71: Your assumption that there was no reportable income from these assets is correct. I note your guidance for future filings.

Part VII, page 6, line 47: The reference to "US Trust Co of NY Bank of America Checking Account" reflects the fact that during the reporting period, the United States Trust Company of New York was acquired by the Bank of America, resulting in a change in the account's name. The formerly titled US Trust Co checking account, which pays no income, has been listed in prior financial disclosure reports, but I note in reviewing the 2007 filing that it was inadvertently omitted from that one. Had it been included, the valuation figures would have been the same as this year's listing for the newly named account.

Part VII, page 8, lines 69-71: For each of the referenced assets (J.P. Morgan Chase, Nestles SA, and Berkshire Hathaway), the value is K and the value method is T. I note that these values were accurately reported at page 4, lines 12, 8, and 11.

Prior Report, Part VII, page 5, line 18: Manulife Financial was inadvertently omitted from the 2008 filing. It was correctly listed at page 5, line 18 of the 2007 filing, and the notations for parts B and C of the 2008 filing are the same: "E," "Dividend," "L," "T".

Prior Report, Part VII, page 5, line 19: Heritage Municipal Cash Trust was a short-term money market account into which the brokerage firm utilized by our prior financial advisor swept cash generated by securities transactions. We changed financial advisors during 2008 and the account was closed without reportable consequences.

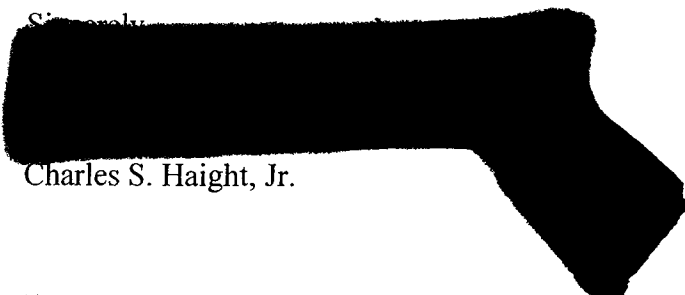
Haight Jr, Charles S

Honorable Bobby R. Baldock
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Prior Report, Part VII, page 7, line 9: Vanguard Inflation Protection Fund was incorrectly listed as an asset held on 12/31/07. The shares in this Vanguard mutual fund, with a value code of K, were exchanged for shares in another Vanguard fund, the Vanguard Prime Money Market Fund, on 6/14/07, without generating reportable gains or losses. The 2008 report lists the holding in the transferee fund, called "Vanguard Money Market Fund," at Part VII, page 6, line 45.

I hope that this information responds sufficiently to the Committee's inquiries, but will be happy to provide whatever further information may be required.

Sincerely,

A large, irregular black rectangular redaction mark covering the signature area of the letter.

Charles S. Haight, Jr.

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 07/30/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge.	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Columbia Govt Plus Reserves Trust Class	E	Dividend	P1	T	Buy	7/15	P1		
2. Comcast Corp	A	Dividend	J	T					
3. Walt Disney Co.	A	Dividend	J	T					
4. Home Depot Inc.	A	Dividend	J	T					
5. Staples Inc.	A	Dividend	J	T	Buy	9/2	K		
6. CVS Caremark Corp.	A	Dividend	J	T					
7. Diageo Plc	A	Dividend	K	T	Buy	8/20	K		
8. Nestles S.A.	A	Dividend	K	T	Buy	8/18	K		
9. Procter & Gamble Co.	A	Dividend	J	T					
10. Exxon Mobil Corp.	A	Dividend	J	T					
11. Berkshire Hathaway		None	K	T	Buy	8/18	K		
12. J.P. Morgan Chase	A	Dividend	K	T	Buy	8/18	K		
13. Markel Corp.		None	K	T	Buy	9/15	K		
14. T. Rowe Price Group	A	Dividend	J	T	Buy	8/18	J		
15. Gilead Sciences Inc.		None	J	T	Buy	8/18	J		
16. Johnson & Johnson	A	Dividend	J	T					
17. Quest Diagnostics	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Stryker Corp.	A	Dividend	K	T					
19. General Electric Co.	A	Dividend	J	T					
20. Lockheed Martin Co.	A	Dividend	K	T	Buy	9/2	K		
21. Cisco Systems		None	J	T					
22. Microsoft Corp.	A	Dividend	J	T					
23. Baron Growth Fund	A	Dividend	J	T					
24. Columbia Marsico Focused Equities Fund Class Z	A	Dividend	K	T					
25. Eaton Vance Structured Emerging Mkts Fund	A	Dividend	K	T	Buy	9/3	L		
26. iShares S&P Midcap 400 Index Fund	A	Dividend	J	T					
27. Royce Fund Premier Ser	A	Dividend	J	T					
28. Thornberg Intl Value Fund	B	Dividend	L	T	Buy	8/28	L		
29. US Treas NT Due 03/15/09	E	Interest	O	T	Buy	7/21	O		
30. New York NY GO Bonds 3/18/99	B	Interest	K	T					
31. Conn St Gen Rev Bonds	C	Interest	M	T	Buy	8/6	M		
32. NY State Dorm Auth Bonds	B	Interest	K	T					
33. Niagara Falls NY City School Dist Bonds	B	Interest	K	T					
34. New York State GO Bonds 7/15/98	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. New York State Twy Auth Bonds	B	Interest	K	T					
36. Conn State GO Bonds 6/26/08	C	Interest	M	T	Buy	8/21	M		
37. Stamford Conn GO Bonds	C	Interest	M	T	Buy	12/10	M		
38. Conn State HSG Auth Bonds	D	Interest	M	T	Buy	9/10	M		
39. Conn State Spc Tax Oblig Rev Bonds	D	Interest	M	T	Buy	10/1	M		
40. Pimco Foreign Bond Fund	C	Interest	M	T	Buy	8/28	M		
41. Pimco Commodity Real Return Fund	C	Interest	K	T	Buy	9/3	L		
42. Baron Fifth Ave Growth Fund		None	K	T					
43. Pimco Total Return Fund Inst. Class	A	Dividend	J	T					
44. HSBC C/D	A	Interest	J	T					
45. Vanguard Money Mkt Fund	A	Interest	K	T					
46. ING Direct Savings Bank	B	Interest	L	T					
47. US Trust Co of NY Bank of America Check ing Account		None	J	T					
48. HSBC Checking Account	A	Interest	J	T					
49. Time Warner					Sold	2/11	J		
50. Citigroup					Sold	7/9	J		
51. Loomis Sayles Intl Fund					Sold	7/18	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Target					Sold	7/18	J		
53. Pfizer					Sold	7/18	J		
54. Yahoo					Sold	7/18	J		
55. Oakmark Intl. Fund					Sold	8/13	K	A	
56. T. Rowe Price Intl					Sold	8/27	J	A	
57. Vanguard Windsor II					Sold (part)	4/14	J	A	
58. Vanguard Windsor II					Sold	8/27	J	A	
59. Oakmark Fund 110					Sold	8/27	K	B	
60. Pimco Total Return					Sold	9/2	K	A	
61. IShares Tr Msc Cafe Fund					Sold	9/2	K	D	
62. Heritage Ser Tr Midcap Fund					Sold	9/10	K	C	
63. IShares					Sold	1/18	J		
64. Masters Select Intl.					Sold	4/17	J		
65. United Health GP					Sold	7/18	J		
66. Starbucks					Sold	7/18	J		
67. New York NY GO Bonds					Sold	2/15	K	A	
68. New York NY GO Bonds					Sold	2/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. J.P. Morgan Chase					Buy	8/20	K		
70. Nestles SA					Buy	8/20	K		
71. Berkshire Hathaway					Buy	9/15	K		

1. Income Gain Codes:
(See Columns B1 and D4)A = \$1,000 or less
F = \$50,001 - \$100,0002. Value Codes
(See Columns C1 and D3)J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,0003. Value Method Codes
(See Column C2)Q = Appraisal
U = Book ValueB = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000R = Cost (Real Estate Only)
V = OtherC = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = EstimatedD = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544