

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ALDRICH, ANN	2. Court or Organization U.S. DISTRICT NO DIST OHIO	3. Date of Report 05/07/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE (SENIOR STATUS)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address CARL B. STOKES US COURTHOUSE 801 WEST SUPERIOR AVE, STE 17B CLEVELAND, OHIO 44113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	TRUST #2
2.	
3.	
4.	
5.	

RECEIVED
2007 MAY 14 A 11:24
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

ALDRICH, ANN

Date of Report

05/07/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	AXA EQUITABLE ANNUITY	\$ 12000
2. 2006	TEACHERS INSURANCE AND ANNUITY ASSOCIATION	\$ 1180
3. 2006	TEACHERS INSURANCE AND ANNUITY ASSOCIATION	\$ 637
4. 2006	TRUST #2 - CO-TRUSTEE FEES	\$ 380
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. GEORGE MASON UNIVERSITY SCHOOL OF LAW - LAW & ECONOMICS CENTER	OCTOBER 5-8 - ARLINGTON, VA - SEMINAR RE: INTERNATIONAL LAW (TRANSPORTATION, MEALS & LODGING)
2. UNIVERSITY OF CINCINNATI	APRIL 27 - CINCINNATI, OH - NINETEENTH ANNUAL AUGUST A. RENDIGS, JR. NATIONAL PRODUCTS LIABILITY MOOT COURT COMPETITION (MEAL & LODGING)
3. INTERNATIONAL JUDICIAL ACADEMY	SEPTEMBER 24-29 - THE HAGUE, NETHERLANDS - SIR RICHARD MAY SEMINAR ON INTERNATIONAL LAW AND INTERNATIONAL COURTS (TRANSPORTATION & LODGING)

4.
-
5.
-

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

ALDRICH, ANN

Date of Report

05/07/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

ALDRICH, ANN

Date of Report

05/07/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST PART A: MONEY MARKET FUND-FLEET NATIONAL BANK	D	Int./Div.	M	T					
2. CHECKING - KEY BANK - CLEVELAND, OHIO	A	Interest	J	T					
3. CHECKING - CAYMAN NATL BANK - CAYMAN BRAC, BRITISH W.I.		None	J	T					
4. UNIMPROVED REAL PROPERTY WEST END CAYMAN BRAC		None	O	Q					
5. HOUSE AND LOT - CAYMAN BRAC, BRITISH W.I.		None	N	W					
6. IRA - THE EQUITABLE - ALLIANCE GROWTH INVESTORS FUND	B	Interest	K	T					
7. TRUST #2	E	Int./Div.	P1	T					
8. - CHECKING - KEY BANK - CLEVELAND, OHIO					ACCT CLOSED	05/22			
9. - 1 LOT PRINCE GEORGES COUNTY, MD									
10. -US TREAS HH BONDS									
11. - US TREAS E BONDS									
12. - SMITH BARNEY MUNI MONEY MARKET FUND									
13. - HOST HOTELS & RESORTS FKA HOST MARRIOTT COMMON STOCK									
14. - MIRANT CORP									
15. - PEPCO HOLDING INC									
16. - SOUTHERN COMPANY COMMON STOCK									
17. - VERIZON COMMUNICATIONS					SELL	10/11	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	U = Book Value				
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

ALDRICH, ANN

Date of Report

05/07/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
COMMON STOCK									
18. - WESTERN ASSET HIGH INC FUND FKA SALOMON BRO HIGH INC FUND									
19. - WESTERN ASSET INFLATION MGMT FUND FKA SALOMON BRO MGMT									
20. - NUVEEN DIV ADVANTAGE MUNI FUND									
21. - AIM MONEY MARKET FUND									
22. - JOHN HANCOCK REGIONAL BANK FUND									
23. - JOHN HANCOCK FINANCIAL INDUSTRIES FUND									
24. - LEGG MASON MANAGED MUNI FUND FKA SMITH BARNEY MUNI									
25. - SMITH BARNEY UNIT TRUST - UTS									
26. - HOUSEHOLD FIN CORP INTERNOTES BANK									
27. - MERRILL LYNCH & CO INC - 6.375% PFD									
28. - LEGG MASON SHORT INTER US GOVT FKA SALOMON BRO US GOVT									
29. - NATIONAL CITY CAP TRUST II - 6.625%PFD					BUY	10/27	K		
30. - USB CAPITAL X - 6.5% PFD					BUY	04/05	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

ALDRICH, ANN

Date of Report

05/07/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. LINE 1. TRUST DATED JUNE 22, 1966. 50% LIFE INTEREST.

VII. LINE 5. [REDACTED] WEST END CAYMAN BRAC, CAYMAN ISLAND, B.W.I. HALF OWNED. APPRAISAL DATE: JUNE 16, 2004.

VII. LINES 7-30. I HAVE NO BENEFICIAL INTEREST IN TRUST #2. I AM A CO-TRUSTEE OF THIS IRREVOCABLE TRUST.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

ALDRICH, ANN

Date of Report

05/07/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature **X**Date **X**

May 8, '07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544