

Calendar Year 2003

1. Person Reporting (Last name, first, middle initial) Casey, Richard C.	2. Court or Organization United States District Court	3. Date of Report 05/12/2004
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5. Report Type (check type) Nomination, Date / / Initial X Annual Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address United States District Court 500 Pearl Street New York, NY 10007		
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.		
Reviewing Officer _____		Date _____

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.

POSITION

NAME OF ORGANIZATION / ENTITY

☐ **NONE** (No reportable positions.)

1 Member, Board of Directors

Guiding Eyes for the Blind

2 Member, Board of Directors

Catholic Guild for the Blind

3 Member, Board of Directors

National Center for Disabilities Services

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 FINANCIAL
 DISCLOSURE OFFICE

I. AGREEMENTS (Reporting individual only; see pp.14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒ **NONE** (No reportable agreements.)

1

2

II. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

☒ **NONE** (No reportable non-investment income.)

1

2

3

4

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Casey, Richard C.

Date of Report

05/12/2004

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS

(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
1			
2			
3			

VI. LIABILITIES

(Includes those of spouse and dependent children. See pp 32-33 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			
6			

* VAL CODES: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001 to \$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

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Casey, Richard C.Date of Report
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(Includes those of spouse and

VII. Page 1 INVESTMENTS and TRUSTS— income, value, transactions dependent children. See pp. 34-57 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 Total Fidelity Accounts (No. 2 through 7) See VIII - Explanations	E	Dividend							
2 Fidelity Magellan			M	T					
3 Fidelity Equity Income			M	T					
4 Fidelity Inv. Bond			K	T					
5 Fidelity Overseas Fund			K	T					
6 Fidelity Money Market Fund			J	T					
7 Fidelity Contrafund			M	T					
8 New York St Dorm Bonds	B	Interest	K	T					
9 Nassau Cnty NY Gen IMPT Bonds	B	Interest	K	T					
10 Equity Income Fund 1st Exchange Series	A	Dividend	J	T					
11 Exxon Mobil Corp. Stock	A	Dividend	K	T					
12 General Electric Stock	A	Dividend	K	T					
13 Fleet Boston Financial Corp.	A	Dividend	K	T					
14 Merrill Lynch IRA - J. Hancock Financial Inds.	A	Dividend	J	T					
15 Merrill Lynch Bank Advantage Deposit Program	A	Interest	K	T					
16 ML Basic Value Fund Class D					Name Change				
17 Merrill Lynch Basic Value Fund Class A	A	Dividend	K	T					

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
(Col. C2) U=Book Value V=Other W=Estimated

REPORT

Casey, Richard C.

(Includes those of spouse and

Place "(X)" after each asset
exempt from prior disclosure.

	NONE (No reportable income, assets, or transactions.)								
18	Convergent Communications Inc. Stock	None	J	T					
19	Oncesource Information Services Stock	None	J	T					
20	William Blair Money Market Fund	A	Dividend	J	T	Redeemed	09/05	J	A
21	R.W. Baird Money Fund	A	Dividend	J	T				
22									
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34									

1 Inc/Gain Codes: A=\$1,000 or less (Col. B1, D4)	F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=\$5,000,001 or more	E=\$15,001-\$50,000
2 Val Codes: (Col. C1, D3)	J=\$15,000 or less O=\$500,001-\$1,000,000	K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000	L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000	N=\$250,001-\$500,000 P4=\$50,000,001 or more
3 Val Mth Codes: (Col. C2)	Q=Appraisal U=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.*(Indicate part of report.)*

Ref. VII INVESTMENTS AND TRUSTS - statement for Fidelity IRA Account does not break individual income on Statement, therefore aggregate total is listed at top of list.

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SECTION HEADING. (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

PART 1. POSITIONS (cont'd.)

Line Position

Name of Organization/Entity

4	Member, Board of Councilors	American Association of the Sovereign Military Order of Malta

05/12/2004

IX. CERTIFICATION

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature

Date

5/12/04

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

FILING INSTRUCTIONS

Mail original and three additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544