

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                    |                                                                                                                                                                                                                             |                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| 1. Person Reporting (Last name, First name, Middle initial)<br>Stein, Sidney H                                                                     | 2. Court or Organization<br>Southern District of New York                                                                                                                                                                   | 3. Date of Report<br>8/13/2004                      |
| 4. Title (Article III Judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br>U.S.D.C. Judge - Active Status | 5. Report Type (check appropriate type)<br><input type="radio"/> Nomination,      Date<br><input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final                                 | 6. Reporting Period<br>1/1/2003<br>to<br>12/31/2003 |
| 7. Chambers or Office Address<br>U.S. Courthouse<br>500 Pearl Street<br>New York, New York 10007-1312                                              | 8. On the basis of the information contained in this Report and any<br>modifications pertaining thereto, it is, in my opinion, in compliance<br>with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |                                                     |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President and Member, Board of Directors

[REDACTED]

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

FILED  
Aug 24 11 29 AM '04  
DISCLOSURE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Stein, Sidney H

Date of Report

8/13/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>GROSS INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------------|
| 1. 2003     | West Group - Royalty   | \$3389                                       |

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                             |
|-------------|------------------------------------------------------------------------------------|
| 1. 2003     |  |

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

| <u>SOURCE</u>                                     | <u>DESCRIPTION</u>                                                                                                                          |
|---------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Yale University                                | Jan. 13-16, 2003 - Yale China Center, workshop with Chinese judges, Shanghai (transportation, room, meals)                                  |
| 2. Association of the Bar of the City of New York | May 9-16, 2003 - Study Mission of the Bar Ass'n's Committee on International Human Rights to Northern Ireland (transportation, room, meals) |

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent, or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 1. MONEY MARKETS                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 2. JPMORGAN U.S. GOVT.                                                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 3. DREYFUS MUNI MKT FD                                                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 4. COMMON STOCKS                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 5. BANKAMERICA CORP. COM.                                                                                                   |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 6. BERKSHIRE HATHAWAY CORP. COM.                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 7. FEDERAL NATIONAL MTG ASSN COM.                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 8. 5180 GRANT AVENUE CORP.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 9. MICROSOFT CORP. COM.                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 10. MICROSOFT CORP. COM.                                                                                                    |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 11. MICROSOFT CORP. COM.                                                                                                    |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 12. PHILIP MORRIS CO INC. COM.                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 14. ARROW ELEC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 15. CABOT INC.                                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 16. CSX CORP.                                                                                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 17. DOW CHEMICAL                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 18. MEDTRONIC INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 19. NORFOLK SOUTHERN                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 20. SEARS ROEBUCK                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 21. SEARS ROEBUCK                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 22. AMERICAN ELECTRIC POWER                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 23. BANK ONE CORP.                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 24. CHUBB CORP.                                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 25. CENERGY CORP.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 26. COOPER TIRE & RUBBER                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 27. DANA CORP.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 28. DANA CORP.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 29. FEDERATED DEPT. STORES                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 30. GENUINE PARTS CO.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 31. GEORGIA-PAC GP                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 32. INGRAM MICRO INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 33. MAY DEPT. STORES                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 34. OCCIDENTAL PET.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 35. SUPERVALUE INC.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 36. VF CORP.                                                                                                                |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

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|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 37. WISCONSIN ENERGY                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 38. AMERICAN INT'L GROUP INC.                                                                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 39. AMERICAN INT'L GROUP INC.                                                                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 40. AVNET, INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 41. BURLINGTON NORTHERN SANTA FE CORP.                                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 42. EASTMAN CHEMICAL                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 43. LEGGETT & PLATT                                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 44. NATIONAL CITY CORP.                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 45. SONOCO PRODUCTS                                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 46. TECH DATA CORP.                                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 47. TEMPLE INLAND                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 48. ALLIANT TECHSYSTEMS                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 49. ALLIANT TECHSYSTEMS                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 50. ALLIANT TECHSYSTEMS                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 51. COMCAST CORP. CL. A                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 52. COMCAST CORP. CL. A                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 53. COMCAST CORP. CL. A                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 54. COMCAST CORP. CL. A                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes    | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
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| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
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| 55. COMCAST CORP. CL. A                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 56. COMCAST CORP. CL. A                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 57. COMCAST CORP. CL. A                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 58. COSTCO WHOLESALE CORP.                                                                                                  |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 59. FLEET BOSTON FINANCIAL                                                                                                  |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 60. FREDDIE MAC                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 61. GOLDEN WEST FINANCIAL                                                                                                   |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 62. HEALTH NET INC.                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 63. LEAR CORPORATION                                                                                                        |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 64. LEHMAN BROTH. HOLDINGS                                                                                                  |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 65. LOCKHEED MARTIN                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 66. MAGNA INTL. INC. CLASS A                                                                                                |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 67. NORTEL NETWORKS CORP.                                                                                                   |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 68. OSI SYSTEMS                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 69. OSI SYSTEMS                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 70. PFIZER INC.                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 71. PFIZER INC.                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 72. PFIZER INC.                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                            | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                            |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 73. QUEST COMM.                                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 74. REGIONS FINANCIAL CORP.                                                                                                 |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 75. SMURFIT STONE                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 76. SOLECTRON CORP.                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 77. SOLECTRON CORP.                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 78. SOLECTRON CORP.                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 79. SOLECTRON CORP.                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 80. STAPLES INC.                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 81. TELLABS INC.                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 82. VIACOM INC. CLASS B                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 83. VIACOM INC. CLASS B                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 84. VIACOM INC. CLASS B                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 85. VIACOM INC. CLASS B                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 86. WACHOVIA CORP.                                                                                                          |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 87. WAL-MART STORES INC.                                                                                                    |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 88. WAL-MART STORES INC.                                                                                                    |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 89. WASHINGTON MUT.                                                                                                         |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 90. WILLIS GROUP HOLDINGS                                                                                                   |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                              |                               |                       |
|-------------------------|--------------------------------|-----------------------------|------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000          | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000       | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = More than \$50,000,000  |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment               | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Stein, Sidney H

Date of Report

8/13/2004

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 91. ABBOTT LABS                                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 92. ABBOTT LABS                                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 93. ANDARKO PETROLEUM                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 94. ANDARKO PETROLEUM                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 95. ANHEUSER BUSCH                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 96. APACHE CORP.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 97. APACHE CORP.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 98. AVAYA INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 99. BJ SERVICES CO.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 100. BJ SERVICES CO.                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 101. BJ SERVICES CO.                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 102. BAKER HUGHES                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 103. BAKER HUGHES                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 104. BED BATH & BEYOND                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 105. CTI MOLECULAR IMAGING INC.                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 106. CTI MOLECULAR IMAGING INC.                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 107. CTI MOLECULAR IMAGING INC.                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 108. CARMAX INC.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000

3. Value Method Codes: = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C: U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>margin,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 109. CARMAX INC.                                                                                                            |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 110. CHARLES RIVER LABS                                                                                                     |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 111. CHARLES RIVER LABS                                                                                                     |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 112. CHINA TELECOM CORP. LTD.                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 113. CHINA TELECOM CORP. LTD.                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 114. CHINA TELECOM CORP. LTD.                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 115. CHINA TELECOM CORP. LTD.                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 116. CHINA TELECOM CORP. LTD.                                                                                               |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 117. CIGNA CORP.                                                                                                            |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 118. CISCO SYSTEMS                                                                                                          |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 119. CLEAR CHANNEL COMM.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 120. CLEAR CHANNEL COMM.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 121. CONOCO PHILLIPS                                                                                                        |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 122. CONSTELLATION ENERGY                                                                                                   |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 123. COOPER INDUSTRIES CL. A                                                                                                |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 124. DELL COMPUTERS                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 125. EMC CORP. MASS.                                                                                                        |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 126. EBAY INC.                                                                                                              |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

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2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D5) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
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3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
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# FINANCIAL DISCLOSURE REPORT

Page 8 of 28

Name of Person Reporting  
Stein, Sidney H

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 127. ENSCO INTL                                                                                                             |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 128. ENSCO INTL                                                                                                             |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 129. ENTERCOM COMM.                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 130. ENTERCOM COMM.                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 131. ENTRAVISION                                                                                                            |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 132. FOREST LABS CL. A                                                                                                      |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 133. FOREST LABS CL. A                                                                                                      |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 134. FOREST LABS CL. A                                                                                                      |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 135. FOREST LABS CL. A                                                                                                      |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 136. FOX ENTERTAINMENT                                                                                                      |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 137. FOX ENTERTAINMENT                                                                                                      |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 138. GILEAD SCIENCES                                                                                                        |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 139. HEWLETT PACKARD                                                                                                        |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 140. HOME DEPOT INC.                                                                                                        |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 141. IDEC PHARMACEUTICALS                                                                                                   |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 142. IDEC PHARMACEUTICALS                                                                                                   |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 143. IDEC PHARMACEUTICALS                                                                                                   |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 144. IDEC PHARMACEUTICALS                                                                                                   |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

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\$500,000,000,000,000,001-\$1,000,000,000,000,000,000 P25 = \$1,000,000,000,000,000,001-\$5,000,000,000,000,000,000 P26 = \$5,000,000,000,000,000,001-\$25,000,000,000,000,000,000 P27 = \$25,000,000,000,000,000,001-\$50,000,000,000,000,000,000 P28 = \$50,000,000,000,000,000,001-\$100,000,000,000,000,000,000 P29 = \$100,000,000,000,000,000,001-\$500,000,000,000,000,000,000 P30 = \$500,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000 P31 = \$1,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000 P32 = \$5,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000 P33 = \$25,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000 P34 = \$50,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000 P35 = \$100,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000 P36 = \$500,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000 P37 = \$1,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000 P38 = \$5,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000 P39 = \$25,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000 P40 = \$50,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000 P41 = \$100,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000 P42 = \$500,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000 P43 = \$1,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000 P44 = \$5,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000 P45 = \$25,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000 P46 = \$50,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000 P47 = \$100,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000 P48 = \$500,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000 P49 = \$1,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000 P50 = \$5,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000 P51 = \$25,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000 P52 = \$50,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000 P53 = \$100,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000 P54 = \$500,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000 P55 = \$1,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000 P56 = \$5,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000 P57 = \$25,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000 P58 = \$50,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000 P59 = \$100,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000 P60 = \$500,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000 P61 = \$1,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000 P62 = \$5,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000 P63 = \$25,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000 P64 = \$50,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000 P65 = \$100,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000 P66 = \$500,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000 P67 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000 P68 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000 P69 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000 P70 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000 P71 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000 P72 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P73 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P74 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P75 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P76 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P77 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P78 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P79 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P80 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P81 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P82 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P83 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P84 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P85 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P86 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P87 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P88 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P89 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P90 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P91 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P92 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P93 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P94 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P95 = \$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P96 = \$500,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P97 = \$1,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P98 = \$5,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P99 = \$25,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000 P100 = \$50,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,001-\$100,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Local Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Please "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                              | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                              |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 145. IDEC PHARMACEUTICALS                                                                                                    |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 146. INTUIT                                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 147. INTUIT                                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 148. JANUS CORE EQUITY FUND (IRA)                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 149. LIN TV CL. A                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 150. LIN TV CL. A                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 151. LIZ CLAIBORNE                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 152. MARTEK BIOSCIENCES                                                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 153. MARTEK BIOSCIENCES                                                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 154. MARTEK BIOSCIENCES                                                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 155. MARVEL TECHNOLOGY                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 156. MARVEL TECHNOLOGY                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 157. MAXIM INTEGRATED PRODUCTS                                                                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 158. MEAD WESTVACO CORP.                                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 159. MEDIMMUNE INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 160. MEDIMMUNE INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 161. MEDIMMUNE INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 162. METLIFE INC.                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                            | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                            |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 163. NABORS INDUSTRIES LTD.                                                                                                 |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 164. NOKIA CORP.                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 165. NOKIA CORP.                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 166. NOKIA CORP.                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 167. PPL CORP.                                                                                                              |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 168. QLOGIC CORP.                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 169. QLOGIC CORP.                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 170. QLOGIC CORP.                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 171. QLOGIC CORP.                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 172. RF MICRO DEVICES                                                                                                       |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 173. RF MICRO DEVICES                                                                                                       |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 174. SBC COMM.                                                                                                              |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 175. SCHERING PLOUGH                                                                                                        |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 176. SCHERING PLOUGH                                                                                                        |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 177. SCHLUMBERGER LTD.                                                                                                      |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 178. SCHLUMBERGER LTD.                                                                                                      |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 179. SMITH INT'L                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 180. SPRINT CORP.                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H = \$1,000,001-\$5,000,000   | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes:  | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
3/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 181. SPRINT CORP.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 182. SYMANTEC CORP.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 183. SYMANTEC CORP.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 184. TRAVELERS PROPERTY CASUALTY A                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 185. VALERO REFINING                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 186. WEATHERFORD INT'L LTD.                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 187. WEATHERFORD INT'L LTD.                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 188. WEATHERFORD INT'L LTD.                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 189. WEATHERFORD INT'L LTD.                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 190. WEIGHT WATCHERS INT'L                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 191. WEIGHT WATCHERS INT'L                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 192. ALTRIA GROUP INC.                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 193. ATI TECHNOLOGIES                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 194. ATI TECHNOLOGIES                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 195. ATI TECHNOLOGIES                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 196. ATI TECHNOLOGIES                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 197. AMERICAN POWER CONVERSION CORP.                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 198. ANGIOTECH PHARMACEUTICALS                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 199. ANGIOTECH PHARMACEUTICALS                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 200. ANGIOTECH PHARMACEUTICALS                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 201. ANGIOTECH PHARMACEUTICALS                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 202. ANGIOTECH PHARMACEUTICALS                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 203. AU OPTRONICS ADR                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 204. AU OPTRONICS ADR                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 205. AU OPTRONICS ADR                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 206. AU OPTRONICS ADR                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 207. ASPEN INSURANCE HOLDINGS LTD.                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 208. AVENTIS S.A. ADR                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 209. BP PLC                                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 210. BOSTON SCIENTIFIC                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 211. BOSTON SCIENTIFIC                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 212. BOSTON SCIENTIFIC                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 213. BROADCOM - CL. A                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 214. BROADCOM - CL. A                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 215. BROADCOM - CL. A                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 216. BROADCOM - CL. A                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 217. BROADCOM - CL. A                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 218. CARTER'S INC.                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 219. CARTER'S INC.                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 220. CALLIDUS SOFTWARE                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 221. CALLIDUS SOFTWARE                                                                                                      |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 222. CHEVRON TEXACO                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 223. CHUNGHWA TELECOM LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 224. CHUNGHWA TELECOM LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 225. CITIGROUP INC.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 226. CITIGROUP INC.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 227. CONCUR TECHNOLOGIES                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 228. CONCUR TECHNOLOGIES                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 229. CONCUR TECHNOLOGIES                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 230. CO STAR GROUP                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 231. CO STAR GROUP                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 232. CO STAR GROUP                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 233. CO STAR GROUP                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 234. CRAY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 235. CRAY INC.                                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 236. CRAY INC.                                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 237. CRAY INC.                                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 238. CYBERONICS                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 239. CYBERONICS                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 240. CYBERONICS                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 241. DIGITALNET HOLDINGS                                                                                                    |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 242. DIGITALNET HOLDINGS                                                                                                    |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 243. DOT HILL SYSTEMS                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 244. DOT HILL SYSTEMS                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 245. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 246. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 247. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 248. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 249. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 250. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 251. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 252. DYAX CORP.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                          |                                |                             |                               |                               |                       |
|--------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:    | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4): | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:          | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3): | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
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| 3. Value Method Codes:   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2):         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 253. DYAX CORP.                                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 254. DYAX CORP.                                                                                                             |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 255. ENTERGY CORP.                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 256. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 257. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 258. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 259. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 260. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 261. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 262. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 263. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 264. EXACT SCIENCES CORP.                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 265. FLEXTRONICS                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 266. FLEXTRONICS                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 267. FOREST OIL CORP.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 268. FOREST OIL CORP.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 269. GENERAL ELECTRIC                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 270. GENERAL ELECTRIC                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

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(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: I = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
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3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                            | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                            |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 271. GENERAL ELECTRIC                                                                                                       |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 272. GETTY IMAGES                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 273. GLAXO SMITHKLINE                                                                                                       |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 274. GLAXO SMITHKLINE                                                                                                       |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 275. GOLDMAN SACHS GROUP INC.                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 276. GOLDMAN SACHS GROUP INC.                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 277. HARTFORD FINANCIAL SERV. GROUP INC.                                                                                    |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 278. HUNT (JB) TRANSPORTATION                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 279. HUNT (JB) TRANSPORTATION                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 280. HUNT (JB) TRANSPORTATION                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 281. HUNT (JB) TRANSPORTATION                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 282. HUNT (JB) TRANSPORTATION                                                                                               |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 283. HYPERION SOLUTUIONS                                                                                                    |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 284. HYPERION SOLUTIONS                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 285. HYPERION SOLUTIONS                                                                                                     |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 286. JOHNSON & JOHNSON                                                                                                      |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 287. JOHNSON & JOHNSON                                                                                                      |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 288. JOHNSON & JOHNSON                                                                                                      |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                               |                             |                               |                               |                       |
|-------------------------|-------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less           | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000        | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less          | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,001-\$500,000       | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                 | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                | V = Other                   | W = Estimated                 |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 289. KROGER CO.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 290. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 291. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 292. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 293. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 294. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 295. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 296. MAGMA DESIGN                                                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 297. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 298. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 299. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 300. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 301. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 302. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 303. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 304. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 305. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 306. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 308. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 309. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 310. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 311. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 312. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 313. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 314. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 315. MARTEN TRANSPORT LTD.                                                                                                  |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 316. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 317. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 318. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 319. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 320. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 321. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 322. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 323. MCDATA CORP. CL. A                                                                                                     |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 324. MI DEVELOPMENTS                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 325. MI DEVELOPMENTS                                                                                                        |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 326. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 327. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 328. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 329. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 330. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 331. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 332. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 333. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 334. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 335. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 336. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 337. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 338. NBTY INC.                                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 339. NATIONAL FINANCIAL PARTNERS                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 340. NATIONAL FINANCIAL PARTNERS                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 341. NETGEAR INC.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 342. NETGEAR INC.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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Name of Person Reporting  
Stein, Sidney H

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## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 343. NETGEAR INC.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 344. NETGEAR INC.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 345. NETSCREEN TECHNOLOGIES                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 346. NETSCREEN TECHNOLOGIES                                                                                                 |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 347. NETWORKS ASSOCIATES                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 348. NETWORKS ASSOCIATES                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 349. NOVARTIS AG                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 350. NOVARTIS AG                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 351. NOVELL INC.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 352. NOVELL INC.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 353. OPEN SOLUTIONS INC.                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 354. OVERNITE CORP.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 355. OVERNITE CORP.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 356. PARTNERRE LTD.                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 357. PEPSICO                                                                                                                |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 358. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 359. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 360. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                     | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                 | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 361. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 362. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 363. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 364. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 365. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 366. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 367. PHOTO DYNAMICS INC.                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 368. PROTEIN DESIGN LABS                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 369. PROTEIN DESIGN LABS                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 370. PROTEIN DESIGN LABS                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 371. PROTEIN DESIGN LABS                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 372. PROTEIN DESIGN LABS                                                                                                    |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 373. QUEST SOFTWARE                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 374. QUEST SOFTWARE                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 375. QUEST SOFTWARE                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 376. QUEST SOFTWARE                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 377. QUEST SOFTWARE                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 378. QUEST SOFTWARE                                                                                                         |                                         |                                     |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Sh/Market  
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 379. QUEST SOFTWARE                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 380. QUEST SOFTWARE                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 381. QUEST SOFTWARE                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 382. QUEST SOFTWARE                                                                                                         |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 383. RENAISSANCE HOLDINGS LTD.                                                                                              |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 384. RESMED INC.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 385. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 386. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 387. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 388. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 389. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 390. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 391. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 392. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 393. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 394. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 395. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 396. RESPIRONICS INC.                                                                                                       |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                              |                               |                       |
|-------------------------|--------------------------------|-----------------------------|------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000          | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B) and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000       | M = \$100,001-\$250,000       |                       |
| (See Columns C) and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = More than \$50,000,000  |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment               | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                |                               |                       |

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 397. RESPIRONICS INC.                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 398. SAFEWAY, INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 399. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 400. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 401. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 402. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 403. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 404. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 405. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 406. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 407. SIGMATEL INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 408. TELIK INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 409. TELIK INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 410. TELIK INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 411. TELIK INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 412. TELIK INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 413. TELIK INC.                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 414. TERADYNE INC.                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes   | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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Name of Person Reporting

Stein, Sidney H

Date of Report

8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                      | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period         |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------|----------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                  | (1)                                             | (2)                                | (1)                                                | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.<br>div. rent. or<br>int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 415. TERADYNE INC.                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 416. TERADYNE INC.                                                                                                          |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 417. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 418. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 419. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 420. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 421. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 422. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 423. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 424. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 425. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 426. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 427. TESSERA TECHNOLOGIES                                                                                                   |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 428. TEVA PHARMACEUTICAL                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 429. TEVA PHARMACEUTICAL                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 430. TEVA PHARMACEUTICAL                                                                                                    |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 431. TEXTRON INC.                                                                                                           |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |
| 432. 3 COM CORP.                                                                                                            |                                         |                                      |                                                 |                                    |                                                    |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less           | K = \$15,001-\$50,000       | L = \$50,001-\$100,000        | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,000-\$500,000        | O = \$500,001-\$1,000,000   | P1 = \$1,000,001-\$5,000,000  | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes:  | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 433. 3 COM CORP.                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 434. 3 COM CORP.                                                                                                            |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 435. USA INTERACTIVE                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 436. USA INTERACTIVE                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 437. USA INTERACTIVE                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 438. USA INTERACTIVE (Name changed to Interactive Corp.)                                                                    |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 439. VISTACARE CL. A                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 440. VISTACARE CL. A                                                                                                        |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 441. WYETH                                                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 442. X M SATELLITE RADIO CL. A                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 443. X M SATELLITE RADIO CL. A                                                                                              |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 444. TAX EXEMPT BONDS                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 445. NY ST TWY AUTH HWY & BRDG TR FD SER A<br>N/C AMBAC                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 446. NEW YORK ST HSG FIN AGY GEN HSG SER B                                                                                  |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 447. NEW YORK ST MTG AGY REV HOMETOWN<br>MTG-29-A-RMKT                                                                      |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 448. NY STATE POWER AUTH GEN REV ETM SER<br>A                                                                               |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 449. NY STATE PWR AUTH REV & GEN PURP SER<br>DETM                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 450. TRIBORO BRIDGE & TUNNEL AUTH 4.75%<br>1/1/05                                                                           |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
(See Column C2) U = Book Value V = Other W = Estimated

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                            | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                            |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 451. NEW YORK STATE POWER AUTH SER G 1/1/10                                                                                 |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 452. NEW YORK STATE LOCAL GOVT. ASST. 4/1/05                                                                                |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 453. RENSSALAER CTY N.Y.                                                                                                    |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 454. NYS MTG AGY                                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 455. NYS THWY. AUTH.                                                                                                        |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 456. NEW YORK ST. CTFS PARTN                                                                                                |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 457. NEW YORK ST. HSG. FIN. AGY. GEN. SER. A                                                                                |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 458. NEW YORK STATE POWER AUTH.                                                                                             |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 459. DUTCHESS CTY, NY 5%, 8/1/09                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 460. NYS DORM. AUTH. 5.375%, 2/15/07                                                                                        |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 461. NYS URBAN DEV. 5.2%, 1/1/04                                                                                            |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 462. NYS SER. A 5%, 3/15/14                                                                                                 |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 463. MTA N.Y. 5%, 7/1/11                                                                                                    |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 464. TRIBOROUGH BRIDGE & TUNNEL 5.2%, 1/1/20                                                                                |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 465. MUTUAL FUNDS                                                                                                           |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 466. BRANDYWINE FUND INC.                                                                                                   |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 467. VANGUARD 500 INDEX FUND                                                                                                |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 468. DAVIS NY VENTURE FUND INC. CL. A                                                                                       |                                         |                                            |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less            | B = \$1,001-\$2,500         | C = \$2,501-\$5,000           | D = \$5,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000         | G = \$100,001-\$1,000,000   | H1 = \$1,000,001-\$5,000,000  | H2 = More than \$5,000,000    |                       |
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|                         | P3 = \$25,000,001-\$50,000,000 |                             | P4 = \$More than \$50,000,000 |                               |                       |
| 3. Value Method Codes:  | Q = Appraisal                  | R = Cost (Real Estate Only) | S = Assessment                | T = Cash/Market               |                       |
| (See Column C2)         | U = Book Value                 | V = Other                   | W = Estimated                 |                               |                       |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

Date of Report  
8/13/2004

## VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |                                             | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                                |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 469. ICAP FUNDS                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 470. ICAP FUNDS                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 471. ICAP FUNDS                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 472. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 473. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 474. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 475. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 476. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 477. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 478. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 479. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 480. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 481. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 482. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 483. ALLIANCE MUNICIPAL INCOME FUND                                                                                         |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 484. MISCELLANEOUS                                                                                                          |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 485. CASH                                                                                                                   |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 486. FINE ARTS HELD AS TENANT-IN-COMMON                                                                                     |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting  
Stein, Sidney H

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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|                                                                                                                             |                                         |                                             |                                                 |                                           |                                                           | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 487. ARBOR PPTY TRUST                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 488. SERVICEMASTER LP                                                                                                       |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 489. [REDACTED]                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |
| 490. [REDACTED]                                                                                                             |                                         |                                             |                                                 |                                           |                                                           |                                |                                 |                                |                                                                   |

|                         |                                |                             |                               |                               |                       |
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**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

St in, Sidn y H

Date of Report

8/13/2004

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS** (Indicate part of Report.)

[REDACTED] have contingent remainder interests in two charitable lead trusts. No information with respect to these trusts was included in Part VII since the [REDACTED] have no present beneficial interests in these trusts.

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Stein, Sidney H

Date of Report

8/13/2004

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

  
*August 13, 2004*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544