



CHAMBERS OF
JAMES F. HOLDERMAN
CHIEF JUDGE

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
219 SOUTH DEARBORN STREET
CHICAGO, ILLINOIS 60604

TELEPHONE
312-435-5600

June 24, 2009

Honorable Bobby R. Baldock
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

Response to Your June 17, 2209 Letter

Dear Judge Baldock:

In Part VII, page 4, line 4 of my Calendar Year 2008 Filing, I listed "Fidelity Investment Group (a widely diversified mutual fund)," which is [REDACTED] investment. I consulted [REDACTED]. She said it was comprised of a single mutual fund. It is therefore my understanding the investment is a single mutual fund and is not comprised of individual mutual funds.

Thank you.

Sincerely,

[REDACTED]

JFH:pw



CHAMBERS OF
JAMES F. HOLDERMAN
CHIEF JUDGE

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
219 SOUTH DEARBORN STREET
CHICAGO, ILLINOIS 60604

SELF INITIATED
AMENDMENT

TELEPHONE
312-435-5600

May 13, 2009

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, DC 20544

RECEIVED
2009 MAY 18 A 11:25
FINANCIAL
DISCLOSURE OFFICE

Dear Committee:

Enclosed please find the original and three copies of my Financial Disclosure Report, AO 10, for the 2008 calendar year.

Additionally, it has come to my attention that an error was made on my AO 10 for the Calendar Year 2007 in part III B. For the year 2007, [REDACTED] had "non-investment income" from Winston & Strawn for salary and from the University of Illinois College of Law for part-time teaching, but unlike for prior years and for this 2008 year, [REDACTED] "non-investment income sources" were not listed on my AO 10 for 2007. I apologize for this inadvertent error. Therefore, I am additionally enclosing the original and three copies of my **Amended** Financial Disclosure Report for 2007 correcting that error.

Thank you for your attention to this matter.



JFH:pw

Enclosures

**AMENDED
FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

AO 10
Rev. 1/2008

1. Person Reporting (last name, first, middle initial) HOLDERMAN, JAMES F	2. Court or Organization U.S. DISTRICT COURT/NDI	3. Date of Report 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 219 South Dearborn Street Room 2548 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Faculty (part-time)	John Marshall Law School
2. Faculty (part-time)	University of Illinois College of Law
3.	
4.	
5.	

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

HOLDERMAN, JAMES F

Date of Report

05/12/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. March	University of Illinois College of Law (part-time teaching)	\$ 4,000.00
2. Jan., Aug.	John Marshall Law School (part-time teaching)	\$ 15,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Jan-Dec	Winston & Strawn (salary)
2. March	University of Illinois (part-time teaching)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Illinois	June 26-July 1, 2007	Oxford, England	IP Law Symposium	Transportation and Hotel Expenses
2.	Association of Patent Law Firms	September 25-27, 2007	New York, New York	Speaker at Annual Meeting	Transportation and Hotel Expenses
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

HOLDERMAN, JAMES F

Date of Report

05/12/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

HOLDERMAN, JAMES F

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Country Companies Ins. Policies	A	Interest	M	T					
2. Fifth Third Bank Savings Account	B	Interest	J	T					
3. Northwestern Mutual Life Ins. Policy		None	L	T					
4. Fidelity Investment Group (a widely diversified mutual fund)		None	L	T					
5.									
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15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting**HOLDERMAN, JAMES F****Date of Report**

05/12/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

HOLDERMAN, JAMES F

Date of Report

05/12/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES INFORMATION ON THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLDERMAN, JAMES F.	2. Court or Organization U.S. DISTRICT COURT/NDI	3. Date of Report 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 219 South Dearborn Street Room 2548 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Faculty (part-time)	The John Marshall Law School
2. Faculty (part-time)	University of Illinois College of Law
3.	
4.	
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☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HOLDERMAN, JAMES F.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. March	University of Illinois College of Law (part-time teaching)	\$4,000.00
2. Jan., Aug.	John Marshall Law School (part-time teaching)	\$15,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Jan.-Dec.	Winston & Strawn (Salary)
2. March	University of Illinois College of Law (part-time teaching)
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IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Illinois	March 14-21, 2008	Champaign, IL	Teaching (IP Law)	Transportation, Meals and Hotel Expense
2. The John Marshall Law School	May 31-June 7, 2008	Beijing, China	Teaching (IP Law)	Transportation, Meals and Hotel Expense
3. American Bar Association	October 16-17, 2008	New York, NY	Symposium (Jury System)	Transportation, Meals and Hotel Expense
4.				
5.				
6.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

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Date of Report

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
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FINANCIAL DISCLOSURE REPORT

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G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

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Sign



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