

AO-10
Rev. 1/2004

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Cox, Emmett R	2. Court or Organization U.S. Court of Appeals	3. Date of Report 4/14/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge	5. Report Type (check appropriate type) ☒ Nonumbrum Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 113 St. Joseph Street Room 433 Mobile, AL 36602	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Directors

Murray House, a for-profit assisted living facility operated by the Episcopal Diocese
of the Central Gulf Coast

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements)

DATE

PARTIES AND TERMS

1.

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Cox, Emmett R

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2004

National Society of Colonial Dames of America in the State of Alabama - wages

IV. REIMBURSEMENTS - ~~transportation, lodging, food, entertainment~~

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. _____

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. NationsBank, N.A.

Secured promissory note

K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(Q)" after each asset category from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. U.S. Savings Bonds (EE)		None	J	T	Partial Sale	03/26	J	B	
2. EuroPacific Growth (Mutual Fund)	A	Dividend	K	T					
3. Investment Company of America (Mutual Fund)	A	Dividend	K	T	Partial Sale	03/12	J	C	
4. Janus Funds (Mutual Fund)		None			Redemption	03/09	J		
5. AL St Pub Sch & College Auth Rfdg (Municipal Bond)	A	Interest			Redemption	12/01	J	C	
6. Legg Mason Value Trust (Mutual Fund)		None	J	T					
7. Janus Worldwide Fund (Mutual Fund)		None			Redemption	03/09	J		
8. AmSouth Bank, NA (Account)	A	Interest	J	T					
9. BankTrust (Accounts)	A	Interest	J	J					
10. The RICH Group (Investment Group) (4.00% interest)	A	Dividend			Sell	12/03	L	C	
11. -Automatic Data Processing Incorporated (Common Stock)									
12. -Dell, Inc. (Common Stock)									
13. -Federal National Mortgage Association (Common Stock)									
14. -General Electric Company (Common Stock)									
15. -Home Depot Incorporated (Common Stock)									
16. -Johnson & Johnson (Common Stock)									
17. -Microsoft Corporation (Common Stock)									
18. -Talisman Energy Incorporated (Common Stock)									

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	I = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	● = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
Cox, Emmett R

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4/14/2005

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. -Walgreen Company (Common Stock)									
20. -Pfizer Inc.									
21. -Travlers Pty Cas Corp. CL A									
22. -Travlers Pty Cas Corp. New CL B									
23. -Wal-Mart Stores, Inc.									
24. Saks, Inc. (Common Stock)	A	Dividend	J	T					
25. Saks, Inc. (Common Stock)	A	Dividend	J	T					
26. Schering Plough Corp. (Common Stock)	A	Dividend	J	T					
27. Invesco Dynamics Fund nka AIM Dynamics Fund (Mutual Fund)		None	J	T					
28. General Electric Co. (Common Stock)	A	Dividend	J	T					
29. UBS Cashfund, Inc. (Money fund)	A	Dividend	J	T					
30. Southern Co. (Common Stock)	A	Dividend	J	T					
31. The Vanguard Value Index Fund (Mutual Fund)	A	Dividend	J	T					
32. Cohen & Steers Realty Shares, Inc. (Mutual Fund)		None			Sell	03/10	J	B	
33. Dreyfus Premier Emerging Markets Fund (Mutual Fund)		None			Sell	08/25	J	B	
34. Harbor Capital Appreciation Fund (Mutual Fund)	A	Dividend	J	T					
35. Harris Assoc. Inv. Trust; Oakmark Int'l Fund (Mutual Fund)	A	Dividend	J	T					
36. Longleaf Partners Fund (Mutual Fund)	A	Dividend	J	T					

1. Amount/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,999	C = \$3,001-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Managers Funds, Special Equit Fund (Mutual Fund)		None	K	T					
38. Matthews Asian Growth & Income Fund (Mutual Fund)	A	Dividend	J	T					
39. Metropolitan West Low Duration Bond Fund (Mutual Fund)	A	Dividend	K	T					
40. Northeast Investors Trust (Mutual Fund)	A	Dividend	J	T					
41. Oak Value Trust Fund (Mutual Fund)		None	J	T	Partial Sale	03/10	C	B	
42. Oppenheimer Real Asset Fund CL A (Mutual Fund)	C	Dividend	J	T					
43. Pimco Total Return Fund II Instl. CL (Mutual Fund)	B	Dividend	K	T					
44. TCW Galileo Fds, Inc. High Yield Bd Fd CL N (Mutual Fund)	A	Dividend	J	T	Partial Sale	03/10	C	B	
45. TCW Galileo Fds, Inc. High Yield Bd Fd CL N (Mutual Fund)	A	Dividend			Sell	08/26	D	A	
46. TCW Galileo Fds, Inc. Select Equities Fd CL N (Mutual Fund)		None	J	T					
47. Third Avenue Real Estate Value Fd (Mutual Fund)	A	Dividend	J	T					
48. CMF Money Market Portfolio nka TD Waterhouse Money Market	A	Dividend	J	T					
49. Army Aviation Center FCU (Account)	A	Interest	J	T					
50. The Vanguard GNMA Fund, Investor Shares (Mutual Fund)	A	Dividend	J	T					
51. Payden Global Fixed Income Fund - CI R (Mutual Fund)	A	Dividend	J	T					
52. Loomis Sayles Global Bond Fund Retail Class (Mutual Fund)	A	Dividend	J	T	Buy	08/26	J		
53. US Airways Group Inc. (Common Stock)		None	J	T	Buy	08/03	J		

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	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

#10 in Section VII - The RICH Group (Investment Group) sold all of its assets in their entirety on 12/03/05.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

Apr. 14, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104).

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544