

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Covello, Alfred V.	2. Court or Organization U.S. District Court, CT	3. Date of Report 05/05/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 450 Main Street Hartford, CT 06103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	William & Alice Mortensen Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2008	State of Connecticut Pension	\$111,597.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market	A	Dividend	K	T					
2. Bk of New England CH. 7 Bankruptcy (8/23/2004)		None							
3. HP	A	Dividend	J	T					
4. A	A	Dividend	J	T					
5. SWK	A	Dividend	J	T					
6. MCD	A	Dividend	J	T					
7. PEP	A	Dividend	J	T					
8. SCI		None	J	T					
9. UBS IRA MMF	B	Dividend	J	T					
10. UBS Bank Deposit IRA	A	Int./Div.	J	T					
11. Real Estate, Biddeford, ME (1/2 Interest)	C	Rent	M	W					
12. Real Estate, Naple FL (1/2 Interest)	D	Rent	O	W					
13. Real Estate, Farmington CT	C	Rent	P1	W					
14. Schwab Brokerage #1									
15. T	A	Dividend	J	T					
16. AAPL		None			SELL	6/27	J	A	
17. ABB	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 24

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18. ABI	A	Dividend			SELL	5/25	J	A	
19. ABT		None			Sold	05/20	J	A	
20. ADBE		None			Sold	05/20	J	A	
21. AMG	A	Dividend			Sold	05/20	J	A	
22. A	A	Dividend			Sold	05/20	J	A	
23. APOL		None	J	T					
24. BDX	A	Dividend			Sold	05/20	J	A	
25. BP	A	Dividend	J	T					
26. BK	A	Dividend			Sold	05/20	J	A	
27. BRCM		None			Sold	05/20	J	A	
28. Schwab Money Market (MMF)	D	Dividend	N	T					
29. CBE	A	Dividend			Sold	05/20	J	A	
30. CME	A	Dividend	J	T					
31. COH	A	Dividend			Sold	05/20	J	A	
32. CRM		None			Sold	05/20	J	A	
33. DNA		None			Sold	05/20	J	A	
34. DHR	A	Dividend			Sold	0520	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 24

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Covello, Alfred V.

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35. DFS	A	Dividend			Sold	05/20	J	A	
36. RRD	A	Dividend			Sold	05/20	J	A	
37. DOV	A	Dividend	J	T					
38. EBAY		None			Sold	05/20	J	A	
39. EL	A	Dividend	J	T					
40. EMN	A	Dividend	J	T					
41. EP+C	A	Dividend	J	T					
42. EDS	A	Dividend			Redeemed	08/27	J	B	
43. XOM	B	Dividend	K	T					
44. GME	A	Dividend			Sold	05/20	J	A	
45. GOOG		None	J	T					
46. HPQ	A	Dividend	K	T					
47. HON	A	Dividend	J	T					
48. IFF	A	Dividend			Sold	05/20	J	A	
49. JOYG	A	Dividend			Sold	05/20	J	B	
50. JCI	A	Dividend			Sold	05/20	J	A	
51. JDSU		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 24

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Covello, Alfred V.

Date of Report

05/05/2009

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52. JNPR		None	J	T					
53. JPM	A	Dividend	K	T					
54. K	A	Dividend			Sold	05/20	J	A	
55. KFT	A	Dividend	J	T					
56. KLAC	A	Dividend			Sold	05/20	J	A	
57. MCK	A	Dividend			Sold	05/20	J	A	
58. MON	A	Dividend	J	T					
59. MS	A	Dividend	J	T					
60. NKE	A	Dividend	J	T					
61. NOV	A	Dividend			Sold	05/20	J	B	
62. NVT	A	Dividend			Sold	05/20	J	B	
63. NTAP		None	J	T					
64. NTRS	A	Dividend	J	T					
65. NVT	A	Dividend	J	T					
66. NWL	A	Dividend			Sold	05/20	J	A	
67. NWSA	A	Dividend			Sold	05/20	J	A	
68. NYX	A	Dividend			Sold	05/20	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 24

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Covello, Alfred V.

Date of Report

05/05/2009

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69. NDVA		None			Sold	05/20	J	A	
70. PCG	A	Dividend			Sold	05/20	J	A	
71. PG	A	Dividend	J	T					
72. PEP	A	Dividend			Sold	05/20	J	A	
73. PM	A	Dividend	J	T					
74. PRE+D	C	Dividend			Sold	05/20	K	A	
75. PTV	A	Dividend	J	T					
76. QCOM	A	Dividend			Sold	05/20	J	A	
77. RTN	A	Dividend	J	T					
78. RHT	A	Dividend			Sold	05/20	J	A	
79. RIMM		None	J	T					
80. SCHW	A	Dividend	J	T					
81. SLB	A	Dividend			Sold	05/20	J	A	
82. SUNW		None			Sold	05/20	J	A	
83. SWY	A	Dividend	J	T					
84. SNA	A	Dividend	J	T					
85. JAVA		None			Sold	05/20	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 24

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86. TM	A	Dividend			Sold	05/20	J	A	
87. TMO	A	Dividend	J	T					
88. TWX	A	Dividend	J	T					
89. VZ	A	Dividend	J	T					
90. WYE	A	Dividend			Sold	05/20	J	A	
91. WY	A	Dividend			Sold	05/20	J	A	
92. WMI	A	Dividend	K	T					
93. WYNN	A	Dividend			Sold	05/20	J	A	
94. YUM	A	Dividend	J	T					
95. XMSR		None	J	T					
96. Excelsior Large Cap	B	Int./Div.			Sold	12/16	K	A	
97. Julius Baer Intl	B	Int./Div.	M	T					
98. F+S	A	Dividend	J	T					
99. MA 5.625% ST Port Rev	A	Interest	J	T					
100. MA 4% GO	C	Interest			Redeemed	05/01	M	A	
101. MA 5.5% Pt Auth Rev	B	Interest	K	T					
102. XLP	A	Dividend	J	T	Buy	5/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 24

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103. VFH	A	Dividend	K	T	Buy	5/20	K		
104. YUM	A	Dividend	K	T	Buy	5/20	K		
105. TRV	A	Dividend	K	T	Buy	5/20	K		
106. PCG	A	Dividend	K	T	Buy	5/20	K		
107. NKE	A	Dividend	J	T	Buy	5/20	J		
108. CT State Gen Rev 4%	B	Interest	L	T	Buy	5/20	L		
109. Stamford CT GO 4%	B	Interest	L	T	Buy	5/20	L		
110. VTI	A	Dividend	L	T	Buy	12/16	L		
111. VHT	A	Dividend	K	T	Buy	12/16	K		
112. VDC	A	Dividend	K	T	Buy	12/16	K		
113. XLE	A	Dividend	K	T	Buy	12/16	K		
114. Schwab Brokerage #2									
115. AIB	A	Dividend	J	T	BUY	11/13	J		
116. Schwab MMF	A	Dividend	K	T					
117. SZEYV		None	J	T	Buy	12/22	J		
118. EJPRY		None	J	T	Buy	12/22	J		
119. ABX		None	J	T	Buy	12/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 24

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120. CHKP		None	J	T	Buy	12/16	J		
121. WSH		None	J	T	Buy	12/16	J		
122. NTDOY		None	J	T	Buy	12/15	J		
123. UN		None	J	T	Buy	12/10	J		
124. ABB		None	J	T	Buy	12/05	J		
125. CEO		None	J	T	Buy	12/01	J		
126. BAESY		None	J	T	Buy	12/01	J		
127. CCH		None	J	T	Buy	11/25	J		
128. NVO		None	J	T	Buy	11/24	J		
129. TEVA		None	J	T	Buy	11/24	J		
130. RDY		None	J	T	Buy	11/24	J		
131. LOGI		None	J	T	Buy	11/24	J		
132. VE		None	J	T	Buy	11/24	J		
133. DOX	A	Dividend	J	T	Buy	11/24	J		
134. UBS	A	Dividend	J	T	Buy	11/24	J		
135. RHHBY	A	Dividend	J	T	Buy	11/24	J		
136. SAN	A	Dividend	J	T	Buy	11/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TSM		None	J	T	Buy	11/10	J		
138. CEDC	A	Dividend	J	T	Buy	11/10	J		
139. AHONY	A	Dividend	J	T	Buy	11/24	J		
140. NTDOY	A	Dividend	J	T	Buy	11/24	J		
141. SI	A	Dividend	J	T	Buy	11/24	J		
142. OCPNY	A	Dividend	J	T	Buy	11/24	J		
143. BZLFY	A	Dividend	J	T	Buy	11/24	J		
144. LTTOY	A	Dividend	J	T	Buy	11/03	J		
145. CEDC	A	Dividend	J	T	Buy	11/03	J		
146. OUVEY	A	Dividend	J	T	Buy	11/04	J		
147. ANZBY	A	Dividend			Sold	10/03	J	A	
148. BBV	A	Dividend			Sold	11/24	J	A	
149. BNS	A	Dividend			Sold	03/10	J	A	
150. BCS	A	Dividend			Sold	09/25	J	A	
151. BNPQY	A	Dividend			Sold	10/29	J	A	
152. BZLFY	A	Dividend	J	T					
153. CRH	A	Dividend			Sold	09/25	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. CCJ	A	Dividend			Sold	12/01	J	A	
155. CNQ	A	Dividend			Sold	03/11	J	A	
156. CX	A	Dividend			Sold	05/05	J	A	
157. ABV	A	Dividend			Sold	05/19	J	A	
158. CTTAY	A	Dividend			Sold	09/25	J	A	
159. CJR	A	Dividend			Sold	09/11	J	A	
160. DNSKY	A	Dividend			Sold	07/16	J	A	
161. RDY	A	Dividend	J	T					
162. EXPGY	A	Dividend	J	T					
163. FORSY	A	Dividend			Sold	07/24	J	A	
164. FMS	A	Dividend	J	T					
165. GSK	A	Dividend			Sold	10/13	J	A	
166. TV	A	Dividend	J	T					
167. HREHY	A	Dividend			Sold	09/30	J	A	
168. IRE	A	Dividend			Sold	09/16	J	A	
169. KB	A	Dividend			Sold	05/07	J	A	
170. LYG	A	Dividend			Sold	09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. LUKOY	A	Dividend			Sold	11/21	J	A	
172. NSRGY	A	Dividend			Sold	07/03	J	A	
173. NJ	A	Dividend	J	T					
174. NTDOY	A	Dividend	J	T					
175. NSANY	A	Dividend			Sold	07/17	J	A	
176. NMR	A	Dividend			Sold	11/24	J	A	
177. NVS	A	Dividend			Sold	10/13	J	A	
178. IX	A	Dividend			Sold	11/26	J	A	
179. PBR	A	Dividend			Sold	11/24	J	A	
180. PUBGY	A	Dividend			Sold	11/25	J	A	
181. SAP	A	Dividend			Sold	12/10	J	A	
182. SNE	A	Dividend			Sold	11/24	J	A	
183. SXC	A	Dividend	J	T					
184. SMLLY	A	Dividend			Sold	09/17	J	A	
185. SWCEY	A	Dividend	J	T					
186. TDK	A	Dividend			Sold	11/24	J	A	
187. TLM	A	Dividend			Sold	11/24	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. TEF	A	Dividend	J	T					
189. TS	A	Dividend			Sold	10/13	J	A	
190. TSCDY	A	Dividend	J	T					
191. Schwab Brokerage #3									
192. Schwab MMF	A	Dividend			Merged (with line 28)	12/18	J	A	
193. ANF	A	Dividend			Sold	10/14	J	A	
194. ACM	A	Dividend			Sold	12/17	J	A	
195. AMG	A	Dividend			Sold	12/17	J	A	
196. AAI	A	Dividend			Sold	12/17	J	A	
197. AME	A	Dividend			Sold	12/17	J	A	
198. ANSS	A	Dividend			Sold	10/30	J	A	
199. ATHR	A	Dividend			Sold	12/17	J	A	
200. BEAV	A	Dividend			Sold	12/17	J	A	
201. BYI	A	Dividend			Sold	12/17	J	A	
202. BUCY	A	Dividend			Sold	12/17	J	A	
203. CAM	A	Dividend			Sold	12/17	J	A	
204. CRZO	A	Dividend			Sold	12/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. CEDC	A	Dividend			Sold	11/26	J	A	
206. CYH	A	Dividend			Sold	12/17	J	A	
207. CXO	A	Dividend			Sold	06/25	J	A	
208. CNQR	A	Dividend			Sold	12/17	J	A	
209. CTRP	A	Dividend			Sold	05/14	J	A	
210. CY	A	Dividend			Sold	10/01	J	A	
211. TRAK	A	Dividend			Sold	12/17	J	A	
212. DLB	A	Dividend			Sold	12/17	J	A	
213. FCN	A	Dividend			Sold	06/12	J	A	
214. FMR	A	Dividend			Sold	12/17	J	A	
215. FMBI	A	Dividend			Sold	06/20	J	A	
216. FMCN	A	Dividend			Sold	06/12	J	A	
217. FDRY	A	Dividend			Sold	09/11	J	A	
218. GME	A	Dividend			Sold	02/11	J	A	
219. GEO	A	Dividend			Sold	12/17	J	A	
220. GRP	A	Dividend			Sold	04/22	J	A	
221. GHL	A	Dividend			Sold	04/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 17 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. HAIN	A	Dividend			Sold	12/17	J	A	
223. HOLX	A	Dividend			Sold	12/17	J	A	
224. IHS	A	Dividend			Sold	12/17	J	A	
225. ICON	A	Dividend			Sold	12/17	J	A	
226. ILMN	A	Dividend			Sold	08/01	J	A	
227. IMCL	A	Dividend			Sold	09/11	J	A	
228. ICE	A	Dividend			Sold	04/21	J	A	
229. ISRG	A	Dividend			Sold	02/11	J	A	
230. LTM	A	Dividend			Sold	12/17	J	A	
231. LKQX	A	Dividend			Sold	02/29	J	A	
232. MTW	A	Dividend			Sold	06/25	J	A	
233. MLNX	A	Dividend			Sold	12/17	J	A	
234. NVT	A	Dividend			Sold	12/17	J	A	
235. NSR	A	Dividend			Sold	01/23	J	A	
236. NICE	A	Dividend			Sold	10/30	J	A	
237. PRXL	A	Dividend			Sold	12/17	J	A	
238. PVH	A	Dividend			Sold	12/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. PLCM	A	Dividend			Sold	10/30	J	A	
240. PCLN	A	Dividend			Sold	12/17	J	A	
241. RRC	A	Dividend			Sold	12/17	J	A	
242. ROP	A	Dividend			Sold	12/17	J	A	
243. SBAC	A	Dividend			Sold	12/17	J	A	
244. SINT	A	Dividend			Sold	12/17	J	A	
245. SBNY	A	Dividend			Sold	12/17	J	A	
246. SLGN	A	Dividend			Sold	12/17	J	A	
247. SLH	A	Dividend			Sold	12/17	J	A	
248. SONS	A	Dividend			Sold	10/01	J	A	
249. SPWR	A	Dividend			Sold	06/12	J	A	
250. SNCR	A	Dividend			Sold	05/28	J	A	
251. TEN	A	Dividend			Sold	06/25	J	A	
252. THOR	A	Dividend			Sold	10/02	J	A	
253. URS	A	Dividend			Sold	03/28	J	A	
254. UTHR	A	Dividend			Sold	09/11	J	A	
255. URBN	A	Dividend			Sold	10/01	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. VSEA	A	Dividend			Sold	05/28	J	A	
257. PAY	A	Dividend			Sold	12/17	J	A	
258. WXS	A	Dividend			Sold	12/17	J	A	
259. ZOLT	A	Dividend			Sold	05/28	J	A	
260. Schwab Brokerage #4									
261. Schwab MMF	A	Dividend			Merged (with line 28)	12/18	J	A	
262. ACE	A	Dividend			Sold	12/18	J	A	
263. AMX	A	Dividend			Sold	12/18	J	A	
264. AZO	A	Dividend			Sold	12/18	J	A	
265. BAX	A	Dividend			Sold	12/18	J	A	
266. BDK	A	Dividend			Sold	12/18	J	A	
267. CG	A	Dividend			Sold	12/18	J	A	
268. CBS	A	Dividend			Sold	12/18	J	A	
269. CE	A	Dividend			Sold	12/18	J	A	
270. CIT	A	Dividend			Sold	06/09	J	A	
271. CNX	A	Dividend			Sold	11/18	J	A	
272. COP	A	Dividend			Sold	12/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. CTX	A	Dividend			Sold	04/09	J	A	
274. FCX	A	Dividend			Sold	5/18	J	A	
275. DISH	A	Dividend			Sold	04/09	J	A	
276. DVN	A	Dividend			Sold	12/18	J	A	
277. ERJ	A	Dividend			Sold	12/18	J	A	
278. ETFC	A	Dividend			Sold	12/18	J	A	
279. F+S	A	Dividend			Sold	7/12	J	A	
280. HERO	A	Dividend			Sold	12/18	J	A	
281. HRS	A	Dividend			Sold	12/18	J	A	
282. JPM	A	Dividend			Sold	12/18	J	A	
283. KMT	A	Dividend			Sold	12/18	J	A	
284. LEH	A	Dividend			Sold	09/17	J	A	
285. MS	A	Dividend			Sold	12/18	J	A	
286. NOK	A	Dividend			Sold	12/18	J	A	
287. NWL	A	Dividend			Sold	12/18	J	A	
288. PBR	A	Dividend			Sold	01/04	J	A	
289. RRR	A	Dividend			Sold	11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. SLT	A	Dividend			Sold	7/12	J	A	
291. TJX	A	Dividend			Sold	12/18	J	A	
292. TYC	A	Dividend			Sold	12/18	J	A	
293. URI	A	Dividend			Sold	06/10	J	A	
294. Schwab Brokerage #5									
295. Schwab MMF	A	Dividend	J	T					
296. CT GO 3.5%	A	Interest	K	T	Buy	06/05	K		
297. CT GO 3.5%	A	Interest			Sold	10/31	K	A	
298. Pinal County AZ 5%	A	Interest	M	T	Buy	09/10	M		
299. Pinal County AZ 5%	A	Interest			Sold	12/03	M	A	
300. NY City 5.25% GO	D	Interest	L	T					
301. Puerto Rico 5.5% GO	B	Interest			Sold	08/01	K	A	
302. Louisiana ST Correc 5%	B	Interest	K	T					
303. CT St 5% GO	B	Interest	K	T					
304. Midland TX 5% GO	B	Interest	K	T					
305. Sprague CT 5% GO	C	Interest			Sold	06/19	M	A	
306. Vernon CT 4% GO	C	Interest	M	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 22 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Citizens PPTY Fl Rev 5%	C	Interest	M	T					
308. Miss. Hosp Rev 5%	C	Interest			Sold	08/15	L	A	
309. Reagan Cty TX 4% GO	C	Interest	M	T					
310. Utah Wtr Auth Rev 5%	C	Interest	M	T					
311. Magnolia TX 5% GO	C	Interest	M	T					
312. Greene Cnty 5% GO	C	Interest			Sold	11/17	L	A	
313. NY State Dorm 5%	C	Interest	M	T	Buy	07/02	M		
314. Northside TX 3.5%GO	A	Interest	M	T	Buy	12/04	M		
315. Mass. State Health 5%	A	Interest	M	T	Buy	12/15	M		
316.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 24 of 24

Name of Person Reporting

Covello, Alfred V.

Date of Report

05/05/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544