

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) HEARTFIELD, THAD	2. Court or Organization U.S.D.C. (TEXAS EASTERN)	3. Date of Report 7/30/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address POST OFFICE BOX 949 BEAUMONT, TEXAS 77704	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

RECEIVED
Aug 5 10 54 AM '04
FINANCIAL
DISCLOSURE OFFICE

Date of Report

HEARTFIELD, THAD

7/30/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

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Name of Person Reporting

HEARTFIELD, THAD

Date of Report

7/30/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

_____**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
HEARTFIELD, THAD

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, matur., redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. American General Annuity	A	Interest	J	T					
2. American General Annuity	A	Interest	J	T					
3. Southtrust Bank, Checking	B	Interest	L	T					
4. Southtrust Bank, Checking	A	Interest	K	T					
5. Southtrust Bank, Checking	A	Interest	J	T					
6. Hebbroville State Bank Stock, Hebbroville, TX		None	J	T					
7. Anadarko Petroleum Corporation (X)	A	Royalty	J	T					
8. General American Universal Life (X)		None	J	T					
9. Morgan Stanley AAA									
10. MS Money Market	A	Dividend	L	T					
11. Morgan Stanley IRA #1 (MS IRA #1)									
12. MS IRA #1 Money Market	A	Dividend	K	T					
13. MS IRA #1 Financing Corp. FICO Strips 2/3/08		None	K	T					
14. MS IRA #1 U.S. Treasury Strips 5/15/09		None	L	T					
15. MS IRA #1 Fannie Mae (FMNA) 6.15 12/10/07	B	Interest	K	T					
16. MS IRA #1 Fed. Home Loan Mortgage (FHLMC) 5.125 CPN 10/15/08	B	Interest	K	T					
17. MS IRA #1 Fed. Home Loan Bank 5.79 CPN 4/27/09	B	Interest	K	T					
18. MS IRA #1 Fannie Mae (FNMA) 6.40 CPN 5/14/09	B	Interest	K	T					

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500 F = \$50,001-\$100,000	C = \$2,501-\$5,000 G = \$100,001-\$1,000,000	D = \$5,001-\$15,000 H1 = \$1,000,001-\$5,000,000	E = \$15,001-\$50,000 H2 = More than \$5,000,000
2. Value Codes:	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001-\$50,000 N = \$250,000-\$500,000	L = \$50,001-\$100,000 O = \$500,001-\$1,000,000	M = \$100,001-\$250,000 P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000 P3 = \$25,000,001-\$50,000,000
3. Value Method Codes:	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash/Market W = Estimated	

FINANCIAL DISCLOSURE REPORT

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. MS IRA #1 Fed. Home Loan Mortgage (FHLMC) 8/15/12	A	Interest	J	T	buy	3/4	J		
20. MS IRA #1 MS Info. Fund - Name changed from Technology Fund		None	J	T					
21. MS IRA #1 Alliance Premier Grth Fund		None	K	T					
22. MS IRA #1 Oppenheimer Strategic Income Fund	C	Interest	L	T					
23. MS IRA #1 Merrill Lynch Fundamental Growth Fund		None			sell	10/7	K	A	
24. MS IRA #1 Federated Bond Fund	C	Interest	L	T					
25. MS IRA #1 Davis New York Venture Fund	A	Dividend	K	T					
26. MS IRA #1 PIMCO Total Return Fund	C	Interest	M	T					
27. MS IRA #1 Merrill Lynch Global Value Fund		None			sell	10/7	L	A	
28. MS IRA #1 Bond Fund of America	C	Interest	L	T					
29. MS IRA #1 Europacific Growth Fund	A	Dividend	L	T					
30. MS IRA #1 Growth Fund of America	A	Dividend	K	T					
31. MS IRA #1 Investment Company of America	B	Dividend	L	T					
32. MS IRA #1 Merrill Lynch Basic Value Fund		None			sell	10/7	K	A	
33. MS IRA #1 Discover CD 5.20 CPN 2/28/03	A	Interest			matured	2/28	K	A	
34. MS IRA #1 Discover CD 5.45 CPN 3/1/04	B	Interest	K	T					
35. MS IRA #1 American National CD 5.60 CPN 2/28/05	B	Interest	K	T					
36. MS IRA #1 First USA Bank CD 5.75 CPN 2/28/06	B	Interest	K	T					

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(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
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	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. MS IRA #1 Greenwood Trust CD 7.00 CPN 1/26/10	A	Interest	J	T					
38. MS IRA #1 Discover Bank CD 6.95 CPN 8/9/10	A	Interest	J	T					
39. MS IRA #1 Discover Bank CD 6.80 CPN 9/7/10	A	Interest	J	T					
40. MS IRA #1 Provident National CD 6.10 CPN 3/7/11	B	Interest	K	T					
41. MS IRA #1 Hartford Select Leaders 2 Variable Annuity (HTF)									
42. MS IRA #1 HTF American Growth Fund		None	K	T	buy	10/9	K		
43. MS IRA #1 HTF American Growth & Income		None	K	T	buy	10/9	K		
44. MS IRA #1 HTF American Global Growth		None	K	T	buy	10/9	K		
45. MS IRA #1 HTF Franklin Mutual Shares		None	K	T	buy	10/9	K		
46. MS IRA #1 HTF MFS Total Return Fund		None	K	T	buy	10/9	K		
47. Morgan Stanley IRA #2 (MS IRA #2)									
48. MS IRA #2 Merrill Lynch Fundamental Growth		None			sell	10/7	K	A	
49. MS IRA #2 Merrill Lynch Global Value Fund		None			sell	10/7	K	A	
50. MS IRA #2 Hartford Select Leaders 2 Variable Annuity (HTF)									
51. MS IRA #2 HTF American Growth Fund		None	K	T	buy	10/9	K		
52. MS IRA #2 HTF American Growth & Income		None	K	T	buy	10/9	K		
53. MS IRA #2 HTF American Global Growth		None	K	T	buy	10/9	K		
54. MS IRA #2 HTF Franklin Mutual Shares		None	K	T	buy	10/9	K		

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2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D5) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. MS IRA #2 HTF MFS Total Return		None	K	T	buy	10/9	K		
56. MS IRA #2 Money Market (X)	A	Interest	J	T					
57. Nuveen Insured Quality Municipal Fund	B	Dividend	K	T					
58. Nuveen Insured Premium Income Municipal Fund	B	Dividend	K	T					
59. Nuveen Insured Municipal Opportunity Fund	B	Dividend	K	T					
60. Alliance Money Res.	B	Dividend	K	T					
61. Dallas TX ISD Bond 4.25% 8/15/10	B	Interest	K	T					
62. Georgetown TX Utility Bond 4.80% 8/15/04	B	Interest	K	T					
63. Houston TX Area Water Corp 5.5% 3/1/12	B	Interest	K	T					
64. Pearland TX Certificate of Obligation 5/25% 3/1/10	B	Interest	K	T					
65. Plano TX ISD Bond 4.9% 2/15/07	B	Interest	K	T					
66. Rowlett TX Certificate of Obligation 5.5% 2/15/09	B	Interest	K	T					
67. Sachse TX Certificate of Obligation 5.0% 2/15/08	B	Interest	K	T					
68. San Antonio TX Electric & Gas 5/25% 2/1/11	B	Interest	K	T					
69. San Antonio TX Water Rev Bond 5.0% 5/15/13	B	Interest	K	T					
70. Texas State Rfdg Service Bond 5/125% 10/1/09	B	Interest	K	T					
71. Texas State PFA Bond 5.70% 2/1/05	B	Interest	K	T					
72. Texas Tech University Rev Bond 5.0% 8/15/25	B	Interest	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. Texas Water Develop Bond 4.6% 7/15/03	B	Interest			redemption	7/15	K	A	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D-4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

HEARTFIELD, THAD

Date of Report

7/30/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

VII. INVESTMENTS AND TRUSTS

68. San Antonio TX Electric & Gas - This line is a combination of lines 60 and 61.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

HEARTFIELD, THAD

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

July 30, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

HEARTFIELD, THAD

4. Title (Article III Judges indicate active or senior status;
magistrate judges indicate full- or part-time)

DISTRICT COURT JUDGE (ACTIVE)

2. Court or Organization

U.S.D.C. (TEXAS EASTERN)

5. Report Type (check appropriate type)

☐ Nomination, Date

☐ Initial

☒ Annual

☐ Final

3. Date of Report

5/5/2004

6. Reporting Period

1/1/2003

to

12/31/2003

7. Chambers or Office Address

POST OFFICE BOX 949

BEAUMONT, TEXAS 77704

8. On the basis of the information contained in this Report and any
modifications pertaining thereto, it is, in my opinion, in compliance
with applicable laws and regulations.

Reviewing Officer

Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED
MAY 11 10 29 AM '04
FINANCIAL
DISCLOSURE OFFICE

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5/5/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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3. Southtrust Bank, Checking	B	Interest	L	T					
4. Southtrust Bank, Checking	A	Interest	K	T					
5. Southtrust Bank, Checking	A	Interest	J	T					
6. Hebronville State Bank Stock, Hebronville, TX		None	J	T					
7. Anadarko Petroleum Corporation (X)	A	Royalty	J	T					
8. General American Universal Life (X)		None	J	T					
9. Morgan Stanley AAA									
10. MS Money Market	A	Dividend	L	T					
11. Morgan Stanley IRA #1 (MS IRA #1)									
12. MS IRA #1 Money Market	A	Dividend	K	T					
13. MS IRA #1 Financing Corp. FICO Strips 2/3/08		None	K	T					
14. MS IRA #1 U.S. Treasury Strips 5/15/09		None	L	T					
15. MS IRA #1 Fannie Mae (FMNA) 6.15 12/10/07	B	Interest	K	T					
16. MS IRA #1 Fed. Home Loan Mortgage (FHLMC) 5.125 CPN 10/15/08	B	Interest	K	T					
17. MS IRA #1 Fed. Home Loan Bank 5.79 CPN 4 27/09	B	Interest	K	T					
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. MS IRA #1 Fed. Home Loan Mortgage (FHLMC) 8/15/12	A	Interest	J	T	buy	3/4	J		
20. MS IRA #1 MS Info. Fund - Name changed from Technology Fund		None	J	T					
21. MS IRA #1 Alliance Premier Grth Fund		None	K	T					
22. MS IRA #1 Oppenheimer Strategic Income Fund	C	Interest	L	T					
23. MS IRA #1 Merrill Lynch Fundamental Growth Fund		None			sell	10/7	K	A	
24. MS IRA #1 Federated Bond Fund	C	Interest	L	T					
25. MS IRA #1 Davis New York Venture Fund	A	Dividend	K	T					
26. MS IRA #1 PIMCO Total Return Fund	C	Interest	M	T					
27. MS IRA #1 Merrill Lynch Global Value Fund		None			sell	10/7	L	A	
28. MS IRA #1 Bond Fund of America	C	Interest	L	T					
29. MS IRA #1 Europacific Growth Fund	A	Dividend	L	T					
30. MS IRA #1 Growth Fund of America	A	Dividend	K	T					
31. MS IRA #1 Investment Company of America	B	Dividend	L	T					
32. MS IRA #1 Merrill Lynch Basic Value Fund		None			sell	10/7	K	A	
33. MS IRA #1 Discover CD 5.20 CPN 2/28/03	A	Interest			matured	2/28	K	A	
34. MS IRA #1 Discover CD 5.45 CPN 3/1/04	B	Interest	K	T					
35. MS IRA #1 American National CD 5.60 CPN 2/28/05	B	Interest	K	T					
36. MS IRA #1 First USA Bank CD 5.75 CPN 2/28/06	B	Interest	K	T					

- | | | | | | |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes: | A = \$1,000 or less | B = \$1,001-\$2,500 | C = \$2,501-\$5,000 | D = \$5,001-\$15,000 | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000 | G = \$100,001-\$1,000,000 | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000 | |
| 2. Value Codes: | J = \$15,000 or less | K = \$15,001-\$50,000 | L = \$50,001-\$100,000 | M = \$100,001-\$250,000 | |
| (See Columns C1 and D3) | N = \$250,000-\$500,000 | ● = \$500,001-\$1,000,000 | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 | |
| | P3 = \$25,000,001-\$50,000,000 | | P4 = \$More than \$50,000,000 | | |
| 3. Value Method Codes | Q = Appraisal | R = Cost (Real Estate Only) | S = Assessment | T = Cash/Market | |
| (See Column C2) | U = Book Value | V = Other | W = Estimated | | |

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
HEARTFIELD, THAD

Date of Report
5/5/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/sell r (if private transaction)
37. MS IRA #1 Greenwood Trust CD 7.00 CPN 1/26/10	A	Interest	J	T					
38. MS IRA #1 Discover Bank CD 6.95 CPN 8/9/10	A	Interest	J	T					
39. MS IRA #1 Discover Bank CD 6.80 CPN 9/7/10	A	Interest	J	T					
40. MS IRA #1 Providian National CD 6.10 CPN 3/7/11	B	Interest	K	T					
41. MS IRA #1 Hartford Select Leaders 2 Variable Annuity (H&F)									
42. MS IRA #1 H&F American Growth Fund		None	K	T	buy	10/9	K		
43. MS IRA #1 H&F American Growth & Income		None	K	T	buy	10/9	K		
44. MS IRA #1 H&F American Global Growth		None	K	T	buy	10/9	K		
45. MS IRA #1 H&F Franklin Mutal Shares		None	K	T	buy	10/9	K		
46. MS IRA #1 H&F MFS Total Return Fund		None	K	T	buy	10/9	K		
47. Morgan Stanley IRA #2 (MS IRA #2)									
48. MS IRA #2 Merrill Lynch Fundamental Growth		None			sell	10/7	K	A	
49. MS IRA #2 Merrill Lynch Global Value Fund		None			sell	10/7	K	A	
50. MS IRA #2 Hartford Select Leaders 2 Variable Annuity (H&F)									
51. MS IRA #2 H&F American Growth Fund		None	K	T	buy	10/9	K		
52. MS IRA #2 H&F American Growth & Income		None	K	T	buy	10/9	K		
53. MS IRA #2 H&F American Global Growth		None	K	T	buy	10/9	K		
54. MS IRA #2 H&F Franklin Mutual Shares		None	K	T	buy	10/9	K		

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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. MS IRA #2 H&F MFS Total Return		None	K	T	buy	10/9	K		
56. MS IRA #2 Money Market	A	Interest	J	T					
57. Nuveen Insured Quality Municipal Fund	B	Dividend	K	T					
58. Nuveen Insured Premium Income Municipal Fund	B	Dividend	K	T					
59. Nuveen Insured Municipal Opportunity Fund	B	Dividend	K	T					
60. Alliance Money Res.	B	Dividend	K	T					
61. Dallas TX ISD Bond 4.25% 8/15/10	B	Interest	K	T					
62. Georgetown TX Utility Bond 4.80% 8/15/04	B	Interest	K	T					
63. Houston TX Area Water Corp 5.5% 3/1/12	B	Interest	K	T					
64. Pearland TX Certificate of Obligation 5/25% 3/1/10	B	Interest	K	T					
65. Plano TX ISD Bond 4.9% 2/15/07	B	Interest	K	T					
66. Rowlett TX Certificate of Obligation 5.5% 2/15/09	B	Interest	K	T					
67. Sachse TX Certificate of Obligation 5.0% 2/15/08	B	Interest	K	T					
68. San Antonio TX Electric & Gas 5/25% 2/1/11	B	Interest	K	T					
69. San Antonio TX Water Rev Bond 5.0% 5/15/13	B	Interest	K	T					
70. Texas State Rfdg Service Bond 5/125% 10/1/09	B	Interest	K	T					
71. Texas State PFA Bond 5.70% 2/1/05	B	Interest	K	T					
72. Texas Tech University Rev Bond 5.0% 8/15/25	B	Interest	K	T					

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(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
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Name of Person Reporting

HEARTFIELD, THAD

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5/5/2004

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. Texas Water Develop Bond 4.6% 7/15/03	B	Interest			redemption	7/15	K	A	

1. Income/Gain Codes:

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

HEARTFIELD, THAD

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

VII. INVESTMENTS AND TRUSTS

68. San Antonio TX Electric & Gas - This line is a combination of lines 60 and 61.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

HEARTFIELD, THAD

Date of Report

5/5/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-5-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544