

UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY

(973) 622-4828

CHAMBERS OF  
JOSEPH A. GREENAWAY, JR.  
JUDGE

U.S.P.O. and Courthouse  
Federal Square and Walnut St.  
P.O. Box 999  
Newark, New Jersey 07102-0999

May 15, 2007

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

RECEIVED  
2007 JUN -4 A 10:38  
FINANCIAL  
DISCLOSURE OFFICE

Dear Sir/Madame:

While preparing my financial disclosure report for calendar year 2006, I realized that my wife had rolled over her 401(k) from her former employer, Siemens Corporation, to a 401(k) account held at Chase. This rollover had occurred on January 5, 2005, and should have been reflected in Section VII of my 2005 financial disclosure report. I noted the rollover in Section VII of my 2006 financial disclosure report. If you have any questions, please do not hesitate to contact me.



# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                           |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Greenaway, Jr., Joseph A                                                                                                          | <b>2. Court or Organization</b><br><br>U.S. District Court New Jersey                                                                                                                                                                                                                     | <b>3. Date of Report</b><br><br>4/27/2007                        |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Active                                                                     | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination, <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2006<br>to<br>12/31/2006 |
| <b>7. Chambers or Office Address</b><br><br>MLK Federal Courthouse<br>50 Walnut Street<br>Newark, NJ 07101                                                                                             | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                          |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                                           |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>     | <u>NAME OF ORGANIZATION/ENTITY</u>                                 |
|---------------------|--------------------------------------------------------------------|
| 1. Board Member     | Columbia College Black Alumni Council                              |
| 2. Advisor          | Columbia University's Alumni of Color Outreach (Mentoring Program) |
| 3. Associate Editor | ABA Litigation Magazine                                            |
| 4.                  |                                                                    |
| 5.                  |                                                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                |
|-------------|-----------------------------------------|
| 1. 94-96    | Johnson & Johnson Employee Savings Plan |
| 2. 95-96    | Johnson & Johnson Pension Plan          |
| 3.          |                                         |

RECEIVED  
 2007 MAY 15 P 3:24  
 FINANCIAL  
 DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 7

Name of Person Reporting

Greenaway, Jr., Joseph A

Date of Report

4/27/2007

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------------------------------|----------------------------------------|
| 1. 2006     | Rutgers School of Law - teaching spring and summer semesters | \$ 18,038.78                           |
| 2. 2006     | Cardozo School of Law - teaching fall semester               | \$ 6,465                               |
| 3.          |                                                              |                                        |
| 4.          |                                                              |                                        |
| 5.          |                                                              |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>         |
|-------------|--------------------------------|
| 1. 2006     | self-employed legal consultant |
| 2.          |                                |
| 3.          |                                |
| 4.          |                                |
| 5.          |                                |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                                   | <u>DESCRIPTION</u>                                                      |
|-----------------------------------------------------------------|-------------------------------------------------------------------------|
| 1. American Bar Association                                     | October 12-15, 2006, Santa Barbara, CA (transportation, lodging, meals) |
| 2. International Institute for Conflict Prevention & Resolution | November 7, 2006, New York, NY (transportation, lodging, meal)          |
| 3. NY Intellectual Property Law Association                     | March 24, 2006, New York, NY (lodging, meal)                            |
| 4. Cardozo School of Law                                        | March 22, 2006, New York, NY (transportation)                           |
| 5. Cardozo School of Law                                        | June 5, 2006, New York, NY (transportation)                             |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 7

Name of Person Reporting

Greenaway, Jr., Joseph A

Date of Report

4/27/2007

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>     | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|---------------------|--------------------|-------------------|
| 1. | Magyar Savings Bank | Line of Credit     | M                 |
| 2. | Chase               | Line of Credit     | M                 |
| 3. |                     |                    |                   |
| 4. |                     |                    |                   |
| 5. |                     |                    |                   |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Greenaway, Jr., Joseph A

Date of Report

4/27/2007

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. American Express common stock                                                                                            | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 2. Coca-Cola common stock                                                                                                   | A                                       | Dividend                                     | K                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 3. Merck common stock                                                                                                       | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 4. Microsoft common stock                                                                                                   | A                                       | Dividend                                     | L                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 5. McDonalds common stock                                                                                                   | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 6. Johnson & Johnson common stock                                                                                           | D                                       | Dividend                                     | O                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 7. U.S. Savings Bonds                                                                                                       | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 8. Citibank (checking & savings)                                                                                            | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 9. Disney common stock                                                                                                      | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 10. Intel common stock                                                                                                      | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 11. Pepsi common stock                                                                                                      | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 12. Johnson & Johnson 401(k)                                                                                                | A                                       | Interest                                     | N                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 13. Siemens 401(k)                                                                                                          | A                                       | Interest                                     |                                                 |                                           | Sell                                           | 1/5/5                         | O                               |                                | Rollover to line 22                                               |
| 14. Nextel common stock                                                                                                     | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 15. AT&T common stock                                                                                                       | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 16. U.S. Treasury Strips                                                                                                    | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 17. Federal Home Loan Mortgage zero coupons                                                                                 | A                                       | Interest                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns BI and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

# FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Greenaway, Jr., Joseph A

Date of Report

4/27/2007

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period     |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Dell Computers common stock                                                                                             | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 19. AT&T Wireless common stock                                                                                              | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 20. GE common stock                                                                                                         | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 21. Yum! Brands                                                                                                             | A                                       | Dividend                                     | J                                               | T                                         |                                                |                               |                                 |                                |                                                                   |
| 22. Chase 401K                                                                                                              | A                                       | Dividend                                     | O                                               | T                                         | Open                                           | 1/5/5                         | O                               |                                |                                                                   |

|                                                  |                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

**FINANCIAL DISCLOSURE REPORT**

Page 6 of 7

Name of Person Reporting

Greenaway, Jr., Joseph A

Date of Report

4/27/2007

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

# FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Greenaway, Jr., Joseph A

Date of Report

4/27/2007

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-14-07

NOTE: AN  
AND CRIM

FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544