

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SPARKS, SAM	2. Court or Organization DISTRICT COURTS--Texas Western	3. Date of Report 05/12/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address United States Courthouse 200 West Eighth St., Suite 100 Austin, Texas 78701-2333	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

RECEIVED
MAY 12 2006
U.S. DISTRICT COURT
WESTERN DISTRICT OF TEXAS
AUSTIN

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Association of Defense Trial Attorneys	April 6-9, Galveston, Texas--speaker at national convention (transportation, hotel, meals).
2. Colorado Bar Association	April 27-28, Denver, Colorado--speaker at Rocky Mountain IP Institute (transportation, hotel, meals).
3. IP Section, State Bar of Texas	June 24, Dallas, Texas--speaker at annual meeting (transportation).
4. State Bar of Texas	September 28, Dallas, Texas--speaker at SBOT Advanced Civil Trial Course (transportation).
5. Dallas Bar Association	November 1, Dallas, Texas--speaker at DBA Appellate Section (transportation, meal).
6.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. University of Texas at Austin	10-06-06, Dallas, TX-- 2 tickets to football game (v. Oklahom). No travel expense.	\$ 170.00
2. University of Texas at Austin	10-15-06, Austin, TX--two tickets to football game (v. Colorado)	\$ 110.00
3. University of Texas at Austin	11-12-06, Austin, TX--two tickets to football game (v. Kansas)	\$ 110.00
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Condominium (15%) [REDACTED]		None	K	T	Buy 5%	04-12	K		[REDACTED]
2.									
3. CASH ACCOUNTS:									
4. American Bank of Commerce	B	Interest	M	T					
5. Chase Bank of Texas Accounts	C	Interest	M	T					
6. Dain Rauscher account (Tarmack Investment FDS)	C	Dividend	N	T					
7.									
8. STOCKS:									
9. Forest Oil Corp.					Sold	03-04	N	F	Market
10. Hilton Hotels Corp.	A	Dividend	K	T					
11. Kirby Corp.		None	O	T	Sold	11-17	M		Market
12. Occidental Petroleum	A	Dividend	J	T					
13.									
14. BONDS:									
15. Allen TX ISD GO	B	Interest	K	T					
16. Allen TX ISD GO	A	Interest	J	T					
17. Arlington TX ISD	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)					

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18. Austin TX Community College	B	Interest	K	T					
19. Austin TX Higher Ed Auth	A	Interest	J	T					
20. Austin Tx Utility Sys	A	Interest			Redeemed	10-03	J	A	Market
21. Banco Santander PR San Juan PR CD FDIC	B	Interest			Buy	03-04	L		Market
22. Banco Santander PR San Juan PR CD FDIC	B	Interest			Redeemed	12-16	L	A	Market
23. Barbers Hill TX GO	A	Interest	K	T	Buy	12-14	K		Market
24. Baytown Tx GO	B	Interest	K	T		06-17	K		Market
25. Canadian River Mun. Tx. Rv.	B	Interest	K	T					
26. Canyon TX ISD GO	A	Interest	K	J					
27. Carrollton TX GO	A	Interest	K	T					
28. Cedar Hill TX ISD	A	Interest	J	T					
29. Cedar Park TX Util Sys	B	Interest	K	T					
30. Columbia & Brazoria GO	B	Interest	K	T					
31. Columbia & Brazoria Co. GO	B	Interest	K	T					
32. Comal TX ISD	A	Interest	J	T					
33. Collin Co. TX GO	B	Interest	K	T					
34. Crowley TX ISD GO	A	Interest	K	T					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Crowley TX ISO GO	A	Interest	K	T					
36. Denton Tx Elec Rev	A	Interest	J	T					
37. Dickinson TX ISD GO	B	Interest	K	T					
38. El Paso Tx New Pub	A	Interest	J	T					
39. Forney TX ISD GO	A	Interest	J	T					
40. Ft. Bend TX ISD	B	Interest			Redeemed	02-15	K	A	Market
41. Ft. Worth TX ISD		None	K	T					
42. Ft. Worth TX ISD GO	A	Interest	K	T					
43. Frisco Tx ISD GO	A	Interest	K	T					
44. Grand Prairie ISD GO	B	Interest	K	T					
45. Grapevine TX GO	A	Interest	J	T					
46. Grapevine TX Wtr Swr	A	Interest	J	T					
47. Harlandale TX ISD GO	A	Interest	J	T					
48. Highland Park TX ISD	A	Interest	J	T					
49. Houston Tx Wtr Sys	B	Interest	K	T					
50. Houston Tx Wtr Sys	A	Interest			Redeemed	12-01	J	A	Market
51. Huntsville TX Ind GO	A	Interest	J	T					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. Hurst-Euleess-Bedford TX	A	Interest	K	T					
53. Independent Bkrs Bk Springfield IL CD FDIC	B	Interest			Buy	02-04	L		Market
54. Independent Bkrs Bk Springfield IL CD FDIC	B	Interest			Redeemed	12-12	L	A	Market
55. Irving TX Wtr Wk Swr	A	Interest	K	T					
56. Judson TX ISD	A	Interest	J	T					
57. Katy TX ISD	A	Interest	K	T					
58. Katy TX ISD GO	A	Interest	J	T					
59. Leander TX ISD GO	A	Interest	J	T					
60. Leander TX ISD GO	A	Interest	K	T	Buy	12-14	K		Market
61. Lewisville TX ISD	A	Interest	K	T					
62. Lubbock TX GO	A	Interest	K	T					
63. Mercantile Bk West MI Grand Rapids MI CD FDIC	A	Interest			Buy	02-08	K		Market
64. Mercantile Bk West MI Grand Rapids MI CD FDIC	A	Interest			Redeemed	12-16	K	A	Market
65. North East TX ISD GO	A	Interest	K	T					
66. Pearland TX ISD GO	A	Interest	K	T	Buy	12-14	K		Market
67. Pflugerville TX GO	A	Interest	K	T					
68. Plano TX GO	A	Interest	K	T	Buy	12-14	K		Market

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69. Pleasant Grove ISD	A	Interest			Redeemed	09-15	K	A	Market
70. Prosper TX ISD GO	A	Interest	K	T					
71. Round Rock Tx	A	Interest	J	T					
72. Sam Rayburn TX Mun Pwr	A	Interest	J	T					
73. San Angelo TX GO	A	Interest	K	T					
74. San Antonio Tx Elec. & Gas	A	Interest			Redeemed	02-01	J	A	Market
75. San Marcos TX GO	B	Interest	K	T					
76. Sealy TX ISD GO	A	Interest	K	T					
77. Seguin TX GO	B	Interest	K	T					
78. Southlake TX GO	A	Interest	K	T					
79. Spring TX ISD GO	A	Interest	J	T					
80. Tx St Pub Fin Auth		None	J	T					
81. Travis Co TX GO	A	Interest	J	T					
82. Travis Co. TX	A	Interest	J	T					
83. Washington State G.O.		None	J	T					
84. Waxahachie TX ISD		None	J	T					
85. Westernbank Puerto Rico Mayaguez PR CD FDIC	B	Interest			Buy	02-16	L		Market

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86. Westernbank Puerto Rico Mayaguez PR CD FDIC	B	Interest			Redeemed	11-25	L	A	Market
87. Weatherford TX Util. Sys.	B	Interest	K	T					
88. Whitehouse TX ISD GO Rev	A	Interest	K	T					
89. Willis ISD		None	J	T					
90. Willis TX ISD GO	B	Interest	K	T					
91. Willis TX ISD GO	A	Interest	J	T					
92. UT Austin Rev.	B	Interest	K	T					
93. UT Austin Rev.	B	Interest	K	T					
94. Upper Trinity Reg. Auth.	A	Interest	K	T					
95.									
96. DAIN, RAUSCHER IRA:									
97. American Balance	C	Dividend	M	T					
98. Bristol Myers Squibb Co.	A	Dividend	K	T					
99. Chevron-Texaco Corp.	D	Dividend	M	T					
100. E Trade Group		None	L	T					
101. Gov't Nat'l MTG Assn. II Pool 3663	A	Interest	K	T	Buy	02-04	K		Market
102. Gov't Nat'l MTG Assn. II Pool 622080	A	Interest	K	T	Buy	02-18	K		Market

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103. Gov't Nat'l MTG Assn. II Pool 3700	A	Interest	K	T	Buy	04-20	K		Market
104. Gov't Nat'l MTG Assn. II Pool 3700	A	Interest	L	T	Buy	06-21	L		Market
105. Gov't Nat'l MTG Assn. II Pool 3709	A	Interest	L	T	Buy	07-25	L		Market
106. Gov't Nat'l MTG Assn. II Pool 3772	A	Interest	L	T	Buy	11-15	L		Market
107. Gov't Nat'l MTG Assn. II Pool 3664	A	Interest	M	T	Buy	10-31	M		Market
108. Gov't Nat'l MTG Assn. II Pool 3747	A	Interest	M	T	Buy	12-14	M		Market
109. Tamarack Investment FDS	A	Interest	J	T					
110. Earthlink		None	J	T					
111. Friede Goldman Halter		None	J	T					
112. Genitope Corp.		None	J	T					
113. Govt Nalt MTG Assn II Pool #1 (Reassigned Pool 579546)	A	Interest	J	T					
114. Govt Nalt MTG Assn II Pool #2 (Reassigned Pool 3228)	A	Interest	J	T					
115. Govt Nalt MTG Assn II Pool #3 (Reassigned Pool 3542)	B	Interest	K	T					
116. Govt Nalt MTG Assn II Pool #4 (Reassigned Pool 3554)	B	Interest	K	T					
117. Govt Nalt MTG Assn II Pool #5 (Reassigned Pool 3556)	E	Interest	N	T					
118. Govt Nalt MTG Assn II Pool #6 (Reassigned Pool 003595)	A	Interest	M	T					
119. Halliburton	D	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Hilton Hotels Corp.	A	Dividend	K	T					
121. Kirby Corp					Sold	11-03	N	G	Market
122. MDU Resources Gp. Inc.	A	Dividend			Sold	06-21	K	C	Market
123. Perot Sys Corp CDT		None	J	T					
124. Smallcap World Fd Inc.	B	Dividend	M	T					
125. Steris Corp.		None	K	T					
126. Trinity Industries Inc.	A	Dividend			Sold	12-14	L	D	Market
127. Spanish Broadcasting, Inc.		None	J	T					
128.									
129. MISCELLANEOUS:									
130. LRF Limited Pship	A	Royalty	J	W					
131.									
132. [REDACTED] SEPARATE PROPERTY									
133. EDWARD JONES --									
134. Capital Income Builder Fund	B	Dividend	L	T					
135. Goldman Sachs Cap-A		None	J	T					
136. Growth Fund of Am.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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SPARKS, SAM

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Tax Exempt Bond Fd of Am	B	Interest	K	T					
138. Van Kampen Comstock		None	J	T					
139. BENIFICIARY-CB&T Trustee IRA of [REDACTED]	C	Distribution							
140. American Mutual Fund Inc	A	Dividend	K	T					
141. Bond Fund of American Inc	A	Dividend	K	T					
142. Capital World Growth	A	Dividend	K	T					
143. IRA-Edward Jones Custodian [REDACTED]									
144. Hartford Cap. Appreciation CLA	A	Dividend	J	T					
145. Hartford Global Leaders CLA	A	Dividend	J	T					
146. Hartford Stock Fund CLA	A	Dividend	J	T					
147. Annuity-Sunamerican Life Insurance Polaris Variable Annuity	A	Dividend	K	T					
148. Property - DeBaca Co, New Mexico		None	J	W					
149. Property - Brewster Co, TX		None	J	W					
150. Royalty Interest - Upton Co, TX	A	Royalty	J	W					
151. Royalty Interest - Palo Pinto Co, TX	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 12, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544