

**DEAN WHIPPLE, CHIEF JUDGE
UNITED STATES DISTRICT COURT
8462 Charles Evans Whittaker Courthouse
400 East 9th Street
Kansas City, Missouri 64106**

June 9, 2004

The Honorable Mary M. Lisi, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E., Suite 2-301
Washington, D.C. 20544

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FINANCIAL
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Re: Amendment to 2003 Financial Disclosure Report

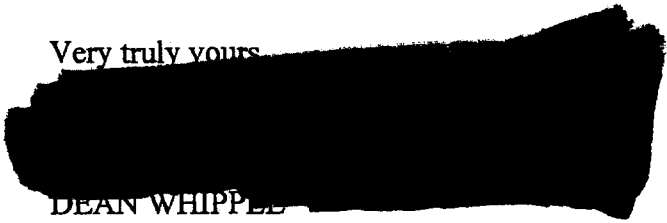
Dear Judge Lisi:

In response to your letter of June 3, 2004, I am enclosing an original and three copies of this letter as an amendment to my annual financial disclosure report for the calendar year 2003. Would you please acknowledge receipt of this amendment.

I appreciate your advising me of my inadvertent omission of the value code for Column D(3) in Part VII, page 3, line 41, which was the acquisition of Van Kampen Mutual Fund. **Please be advised that the proper entry for this value code is "J."**

Thank you for giving me the opportunity to amend my 2003 Financial Disclosure Report. If there are any other questions or problems, I would be pleased to try to clarify them. Thank you for your consideration.

Very truly yours,


DEAN WHIPPLE
DISTRICT JUDGE

DW:pls

Enclosures

AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) WHIPPLE, HAROLD "DEAN" D	2. Court or Organization Western District of Missouri	3. Date of Report 05/03/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE (Active)	5. Report Type (check appropriate type) ☐ Nomination Date _____ ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 8462 Chas. Evans Whittaker Crt 400 East 9th Street Kansas City, MO 64106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☒ **NONE** - (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1.

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☐ **NONE** - (No reportable agreements.)DATEPARTIES AND TERMS

1. 1/6/75

I have a vested right in Missouri Judicial Retirement Program under 476.680, R.S. MO.(1986). My vested term is 1/6/75-12/31/87. I was divorced 4/28/94.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WHIPPLE, HAROLD "DEAN" D

Date of Report

05/03/2004

III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2003	Missouri Judges Retirement System - vested pension referred to under Sec. II.	\$38,601.88

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WHIPPLE, HAROLD "DEAN" D

Date of Report

05/03/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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Name of Person Reporting
WHIPPLE, HAROLD "DEAN" D

Date of Report
05/03/2004

VII. INVESTMENTS and TRUSTS— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Mass.Cap.Dev.Fnd.(com.stock)	A	Interest	J	T					
2. [REDACTED] (IRA's) cash equivalent	C	Interest	K	T					
3. Woodlands, [REDACTED] MO		None	L	W					
4. Woodlands, [REDACTED] MO		None	K	W					
5. Office Bldg., [REDACTED] MO		None	K	W					
6. 1/2 Owner woodlands, [REDACTED] MO		None	J	W					
7. 1/3 Owner woodlands, [REDACTED] MO		None	K	W					
8. GMAC	C	Interest	M	T					
9. [REDACTED] Accounts	A	Interest	M	T					
10. Hickory Co. MO (Weaubleau) School Dist. R-III Mun. Bonds	C	Interest	K	T					
11. Benton Co. MO (Warsaw) School Dist. 9 Mun. Bonds	B	Interest	K	T					
12. Hickory Co. MO (Skyline) R-1 School Dist. Mun. Bonds	B	Interest	K	T					
13. Com Prod. Int'l. com. stock	A	Dividend	J	T					
14. Nationwide Fixed Annuity	A	Interest	J	T					
15. Vail Resort, Inc. (common)		None	J	T					
16. [REDACTED] Account [REDACTED]	C	Interest	M	T					
17. Coca Cola Co.	B	Dividend	M	T					
18. Cisco Systems, Inc. (common)		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
WHIPPLE, HAROLD "DEAN" D

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19. Dreyfus Gen. Mun. Fund	A	Dividend			Sell	05/14	K	A	
20. BP Amoco (common)	A	Dividend	J	T					
21. Cyberonics, Inc. (common)		None	J	T					
22. Emerson Electric (common)	A	Dividend	K	T					
23. H. J. Heinz (common)	A	Dividend	K	T					
24. Home Depot (common)	A	Dividend	J	T					
25. HSBC Holding (formerly Household Finance)	A	Dividend	J	T					
26. Lucent Technology (common)	A	Dividend	J	T					
27. Pfizer (common)	B	Dividend	L	T					
28. Conoco Phillips (common)	A	Dividend	J	T					
29. Pepsico, Inc. (common)	A	Dividend	K	T					
30. SBC Comm. (common)	A	Dividend	J	T					
31. Union Pacific (common)	A	Dividend	J	T					
32. Chicago, IL O'Hare Int'l. Airport Municipal Bonds	A	Interest	K	T					
33. Universal (common)	A	Dividend	K	T					
34. St. Anthony Med. Ctr. Mun. Bond	B	Interest	K	T					
35. General Electric Co.	A	Interest	J	T					
36. Del Monte Foods		None	J	T					

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Name of Person Reporting
WHIPPLE, HAROLD "DEAN" D

Date of Report
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37. Verizon Communications (common)	A	Dividend	J	T					
38. Springfield, MO Water Works Mun. Bond	B	Interest	K	T					
39. Microsoft Corp. (common)	A	Dividend	J	T					
40. Oracle Systems (common)		None	J	T					
41. Van Kampen Mutual Fund	A	Interest	J	T	Buy	05/20			
42. Dallas/Ft. Worth Airport Mun. Bond	A	Interest	K	T	Buy	07/02	K		
43. Putnam Investors Fund	A	Interest	J	T	Buy	12/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

NONE.

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Name of Person Reporting

WHIPPLE, HAROLD "DEAN" D

Date of Report

05/03/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 3, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544