

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, Gerald B.	2. Court or Organization Eastern District of Virginia	3. Date of Report 05/05/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 401 Courthouse Square Alexandria, Virginia 22314	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Federal Judges Association
2. Board of Advisors	Marshall Brennan Program The American University Washington College of Law
3. Dean's Advisory Council	The American University Washington College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/16/99	Delaware Charter Guarantee Trust Company, IRA FBO Gerald Bruce Lee
2. 5/92	Virginia Retirement System, government pension, Fairfax Circuit Court
3.	

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 DISCLOSURE OFFICE

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse: see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01/01/09	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Virginia Trial Lawyers Association, Richmond, Virginia	March 13-15, 2009	Williamsburg, Virginia	Teach	transportation, hotel, and meals
2.	Federal Public Defender Conference	April 17-18, 2010	Williamsburg, Virginia	Teach	transportation, hotel, and meals
3.	Federal Judges Association Board Meeting	May 2-4, 2009	Washington, DC	Board meeting	Transportation, hotel, and meals
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Virginia Retirement System Va. Cir. Ct. Pension 92-98	E	Distribution	K	T					
2. Alliance Capital Reserves Money Market Fund (401)(K)	A	Interest	J	T					
3. Wal Mart Stores, Inc. (IRA)	A	Dividend	J	T					
4. Opp Quest for Opty A Fund		None	J	T	Buy/monthly	1/01/09	J		
5.					Partial Sale	04/15/09	J	A	
6.					Sold (part)	12/15/09	J	A	
7. AIM Constellation Fund		None	J	T	Buy/monthly	1/01/09	J		
8.					Sold (part)	12/15/09	J	A	
9. Johnson Controls		None	J	T					
10. Alger Money Market Fund		None			Sold	2/27/09	J	A	
11. Vanguard Total Stock Index Fund, IRA		None	L	T					
12. Vanguard Total Stock Mkt Index IRA		None	J	T					
13. Vanguard US Growth IRA		None	J	T					
14. Vanguard US Growth Fund IRA		None	J	T					
15. Vanguard 500 Index Fund IRA		None	J	T					
16. Vanguard Capital Opp IRA		None	K	T					
17. Vanguard Treasury M/Market Fund		None	K	T	Buy monthly	01/01/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Fund IRA		None	J	T					
19. Fidelity Aggressive Growth IRA		None	J	T					
20. Vanguard Health Care Fnd IRA		None	J	T					
21. TIAA CREF Tax Exempt Bond Fund		None	J	T	Buy (add'l)	01/01/09	J		
22.					Sold (part)	04/15/09	J	A	
23.					Sold (part)	06/08/09	J	A	
24.					Sold (part)	12/01/09	J	A	
25. Walt Disney Co.		None			Sold	02/27/09	J	A	
26. Russell 3000 Index		None	J	T	Buy (add'l)	1/01/09	J		
27. Walmart Stores, Inc.	A	Dividend	J	T	Buy (add'l)	1/01/09	J		
28. Starbucks Corp		None			Sold	02/27/09	J	A	
29. General Mills, Inc.		None	J	T	Buy (add'l)	01/01/09	J		
30. 401 (K) Retirement Plan and Trust		None	M	T	Buy (add'l)	01/01/09	K		
31. General Electric	A	Dividend			Buy	03/08/09	J		
32.					Sold	12/01/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. Investments and Trusts

These investments are through Sharebuilder.com

No. 21. Walt Disney Stock Less than \$1,000.00 per month

No. 22 Russell 3000 Index is purchased monthly. Less than \$1,000.00 per month.

No. 23. WalMart, Stores, Inc. is purchased monthly. Less than \$1,000.00 per month

No. 24. Starbucks Corp is purchased monthly. Less than \$1,000.00 per month. This stock was sold in February 2009.

No. 25. General Mills, Inc. is purchased monthly. Less than \$1,000.00 per month

No. 26. [REDACTED] 401 (k) Retirement Plan and Trust

No. 27. General Electric stock was purchased in March 2009 and sold in December 2009.

No. 20. TIAA CREF Tax Exempt Bond Fund is purchased monthly. Less than \$1,000.00 per month

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544