

UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF NEW YORK

225 CADMAN PLAZA EAST

BROOKLYN, NY 11201

ALLYNE R. ROSS
UNITED STATES DISTRICT JUDGE

August 16, 2004

Judge Mary M. Lisi, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2003 Filing

Dear Judge Lisi:

The paragraphs below are numbered to correspond to the numbered paragraphs in your letter of August 4, 2004:

1. A, div.; none; A, div.
2. Partial.
3. Column D regarding the first: 529 Plan Conversion, 11-14, L, none. Columns B and C regarding the second: None, J, T.

Very truly yours,

Allyne R. Ross
United States District Judge

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FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) ROSS, ALLYNE R	2. Court or Organization EASTERN DISTRICT OF NEW YORK	3. Date of Report 6/2/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. DISTRICT COURT, EDNY 225 CADMAN PLAZA EAST BROOKLYN, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. 2002

Self -Employed (law firm partner)

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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Name of Person Reporting

ROSS, ALLYNE R

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-F)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Trust # 1	NONE		J	W					
2. IRA # 1	E	div & int	O	T, U					
3. -Time Warner (formerly AOL Time Warner)									
4. -Applied Materials									
5. -Bank of New York									
6. -Barr Laboratories					sell	4-9	J	B	
7. -Cisco Systems									
8. -Dreyfus Liquid Assets									
9. -Amgen Inc.					sell	10-20	J	D	
10. -Ericsson									
11. -General Electric					sell	7-22	J	NONE	
12. -American Express					buy	5-12	J		
13. -Citigroup					buy	12-31-01	J		INADVERTENTLY OMITTED FROM PREVIOUS REPORT
14. -Comcast					buy	2-28-02	J		" - "
15. -Comcast					buy	11-25-02	J		" - "
16. -Conoco Philips					buy	12-26-02	J		" - "
17. -Costco					buy	5-27	J		
18. -Costco					buy	8-21	J		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
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Name of Person Reporting

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19. -Intel					sell	7-22	J	NONE	
20. -IBM									
21. -Intl. Bancshares					sell	7-23	J	D	partial sale
22. -Intl. Bancshares					sell	9-10	J	B	" "
23. -JDS Uniphase									
24. -Microsoft					buy	7-23	J		
25. -Semitool Inc.									
26. -Wal-mart									
27. -John Hancock Bank Fund									
28. -EIX Trust									
29. -WMX Tech. Notes									
30. -Gucci					sell	11-18	K	NONE	
31. -Home Depot					sell	9-10	J	C	
32. -Baxter Intl.					buy	7-11	J		
33. -Baxter Intl. Preferred					buy	7-28	J		
34. -Bristol Myers Squibb					sell	10-13	J	NONE	
35. -Viacom									
36. -Nasdaq 100 Series Trust									

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37. -Associated Estates Realty					sell	4-29	J	NONE	
38. -L-3 Communications					buy	9-23	J	NONE	
39. -Nortel Networks					sell	7-18	J	B	
40. -Schering Plough					sell	7-15	J	NONE	
41. -Sun Microsystems					sell	7-18	J	NONE	
42. -Travelers					sell	9-10	J	A	
43. -American Electric Power Preferred					sell	11-19	K	D	
44. -American General Preferred									
45. -ING Preferred									
46. -AT & T Wireless					buy	9-5	J		
47. -Bank of America Corp.					buy	10-28	K		
48. -DuPont					buy	10-25-02	J		INADVERTENTLY OMITTED FROM PREVIOUS REPORT
49. -Enterprise Prods Partners					buy	11-13	K		
50. -Fifth Third Bancorp					buy	5-7	J		
51. -G Tech Holdings					buy	11-25	J		
52. -Johnson & Johnson					buy	10-21	J		
53. -Kaneb Pipeline Ptnrs Preferred					buy	5-5	K		
54. -Lockheed Martin					buy	7-25	J		

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55. -Verizon Communications					buy	2-25-02	J		INADVERTENTLY OMITTED FROM PREVIOUS REPORT
56. -Verizon Communications					sell	7-25-03	J	NONE	
57. -Exxon Mobil					buy	10-18-02	J		INADVERTENTLY OMITTED FROM PREVIOUS REPORT
58. -Exxon Mobil					sell	7-23	J	NONE	
59. -Rite Aid					buy	9-23	J		
60. -Texas Instruments					buy	4-17	J		
61. -Wells Fargo					buy	2-20-02	J		INADVERTENTLY OMITTED FROM PREVIOUS REPORT
62. -Putnam Premier Income Trust					buy	12-10-03	K		
63. -Andrx Group					buy	9-10	J		
64. -Jones Apparel					buy	4-25	J		
65. -Jones Apparel					sell	6-6	J	A	
66. -JP Morgan Chase					buy	9-5	K		
67. -JP Morgan Chase					sell	11-19	K	A	
68. -Equity Office Properties Trust					buy	11-12	J		
69. -Equity Office Properties Trust					sell	12-26	J	NONE	
70. IRA #2	A	div & int.	K	T, U					
71. -Saving Acct. at Citibank									
72. -Smith Barney Money Fund									

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73. -Kaiser Group Intl.									
74. -Alliance Bernstein (formerly Alliance) Technology Fund									
75. -Smith Barney Premier Selections Fund									
76. -Smith Barney Large Cap Growth Fund									
77. -Tennessee Valley Authority Strips									
78. -Trust 2	B	div.	M	U					
79. -T. Rowe Price Short-Term Bond Fund									
80. -T. Rowe Price Small-Cap Value Fund									
81. -T. Rowe Price Developing Technologies Fund									
82. -T. Rowe Price Value Fund					redemption	12-4	M	D	
83. -T. Rowe Price Capital Opportunity Fund					buy	12-4	M		
84. -Citibank Checking Account									
85. Vanguard Limited-Term Tax Exempt Fund	D	div.	J	U	redemption	1-7	M	B	
86. Vanguard Tax-Exempt Money-Market Fund	A	div.	F	U	buy	4-3	F		
87. Walt Disney Co.	A	div.	J	T					
88. Smith Barney Adjustable Rate Income Fund	A	div.	J	U	buy	6-26	J		
89. Smith Barney Adjustable Rate Income Fund					redeem	7-21	J	NONE	
90. Smith Barney Adjustable Rate Income Fund					redeem	10-20	J	NONE	

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91. Smith Barney Municipal N.Y. Money Market Fund	A	div.	J	U					
92. T. Rowe Price Uniform Gift to Minor Account	B	div.	M	U					
93. -T. Rowe Price Growth Stock Fund									
94. -T. Rowe Price International Discovery Fund									
95. -T. Rowe Price Japan									
96. T. Rowe Price Small Cap Stock Fund					buy	1-29	J		
97. Trust #3	NONE								
98. -Aggressive Growth Portfolio									See Part VIII
99. Citibank Checking Account	NONE		J	T					
100. Citibank Checking Account	A	int.	K	T					
101. Citibank Checking Account	A	int.	J	T					
102. Vanguard Municipal Money Market Fund	A	div.	L	U					VARIOUS PURCHASES & REDEMPTIONS, NO GAIN
103. Bernstein Emerging Markets Portfolio	B	div.	M	U	buy	12-5	J		
104. Bernstein New York Municipal Portfolio	C	div.	M	U	sell	2-27	K	A	
105. Bernstein New York Municipal Portfolio					buy	10-17	K		
106. 1% Ownership in Building in Detroit, Mich.	A	rent	J	W					
107. Supervalu Inc.	A	div.	J	T					
108. Altria Group (formerly Philip Morris)	A	div.	J	T	sell	2-27	J	C	

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109. Cigna Corp.					sell	10-14	J	D	
110. Cash Balance at Sanford Bernstein & Co.	A	int.	K	T					
111. Cabot Corp.					sell	1-6	J	C	
112. Eastman Chemical					sell	2-27	J	NONE	
113. Thomas & Betts	NONE		J	T					
114. Anheuser Busch	A	div.	J	T					
115. Fleet Boston Financial	A	div.	J	T	sell	9-29	J	NONE	partial sale
116. Grand Central Holdings	NONE		J	W					
117. General American Investors	A	div.	J	T					
118. Sears Roebuck & Co.	A	div.	J	T	sell	5-27	J	A	partial sale
119. Sears Roebuck & Co.					buy	1-3	J		
120. Arrow Electronics	NONE		J	T	sell	3-19	J	NONE	partial sale
121. Genuine Parts Co.	A	div.	J	T	sell	2-27	J	A	partial sale
122. Whirlpool Corp.	A	div.	J	T	sell	2-27	J	A	partial sale
123. VF Corp.	A	div.	J	T	sell	2-27	J	A	partial sale
124. Occidental Petroleum	A	div.	J	T	sell	2-21	J	D	partial sale
125. Lyondell	A	div.			sell	9-25	J	A	
126. Tech Data Corp.	NONE		J	T					

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
127. Conoco Philips	A	div.	K	T	sell	2-27	J	NONE	partial sale
128. Burlington Northern Santa Fe	A	div.	J	T	sell	2-27	J	NONE	partial sale
129. Georgia Pacific Corp.	A	div.			sell	6-2	J	NONE	
130. Bank of America	A	div.	K	T	sell	2-25	J	C	
131. Health Net	NONE				sell	2-27	J	B	
132. Hewlett Packard	A	div.	K	T					
133. Lear Corp.	A	div.	J	T					
134. Lehman Bros. Holdings	A	div.	J	T					
135. National City Corp.	A	div.	J	T	sell	2-27	J	NONE	partial sale
136. Norfolk Southern	A	div.	K	T	sell	2-27	J	A	
137. May Department Stores	A	div.	J	T					
138. Wachovia	A	div.	J	T	sell	2-27	J	B	partial sale
139. Washington Mutal	A	div.	J	T	sell	7-16	J	B	partial sale
140. Dow Chemical	A	div.			sell	2-27	J	A	
141. American International Group	A	div.	K	T	buy	2-28	J		
142. Amgen Inc.	NONE		K	T	buy	2-28	J		
143. Citigroup	A	div.	K	T	buy	2-28	J		
144. Comcast	NONE		K	T	buy	2-28 6-13 8-6	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
ROSS, ALLYNE R

Date of Report
6/2/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
145. Cardinal Health	NONE				sell	4-17 7-15 7-31	J	NONE	
146. Cardinal Health					buy	2-28	J		
147. Dell Computer	NONE		K	T	buy	6-20 10-6	J		
148. Goldman Sachs	A	div.	K	T	buy	2-28	J		
149. General Electric	A	div.	K	T	buy	2-28	J		
150. Intel Corp	A	div.	K	T	buy	3/7	J		
151. Johnson & Johnson	A	div.	J	T	buy	2-28 9-30	J		
152. Kohls	NONE		J	T	buy	2-28	J		
153. Lowes Companies	A	div.	K	T	buy	2-28	J		
154. MBNA Corp.	A	div.	K	T	buy	2-28	J		
155. Medtronic	A	div.	J	T	buy	8-3	J		
156. Microsoft	A	div.	K	T	buy	2-28 5-6	J		
157. Nokia	A	div.	K	T	buy	2-28	J		
158. Proctor & Gamble	A	div.	J	T					
159. United Health Group	A	div.	K	T	buy	2-28	J		
160. Viacom	A	div.	K	T	buy	2-28 8-6	J		
161. Torchmark	A	div.	J	T					
162. Wal-mart	A	div.	K	T	buy	2-28 6-20	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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Name of Person Reporting

ROSS, ALLYNE R

Date of Report

6/2/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
163. Walgreen	A	div.	J	T	buy	2-28	J		
164. Cooper Industries	A	div.	J	T					
165. Chubb	A	div.	K	T					
166. Corning	NONE		K	T	sell	9-8	J	D	partial sale
167. Leggett & Platt	A	div.			sell	7-22	J	B	
168. Nortel Networks	NONE	div.	J	T	sell	4-21	J	NONE	partial sale
169. Golden West Financial	A	div.			sell	2-27	J	A	
170. Ingram Micro	NONE		J	T					
171. Metlife	A	div.	J	T					
172. PPL Corp.	A	div.	J	T					
173. Pulte	A	div.			sell	9-18	J	B	
174. Qwest Communications	NONE				sell	6-10 10-30	J	NONE	
175. SBC Communications	A	div.	J	T					
176. Valero Energy	A	div.	J	T					
177. Pfizer Inc.	A	div.	K	T	buy	2-28	J		
178. Tellabs Inc.	NONE		J	T	sell	10-17	J	NONE	partial sale
179. Sprint	NONE		J	T					
180. Freddie Mac	NONE				sell	6-11	K	NONE	

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Name of Person Reporting

ROSS, ALLYNE R

Date of Report

6/2/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
181. Glaxo Smith Kline	A	div.	J	T					formerly Glaxo
182. Bernstein Tax-Managed International Value Portfolio	D	div.	N	U	sell	2-27	K	NONE	
183. Fannie Mae	A	div.	J	T	buy	6-20 10-31	K		
184. Ebay	NONE		J	T	buy	9-9	J		
185. Electronic Arts Inc.	NONE		J	T	buy	10-6 12-4	J		
186. Maxim	NONE		J	T	buy	11-14	J		
187. Merrill Lynch	NONE		J	T	buy	12-4	J		
188. Progressive	NONE		J	T	buy	8-6	J		
189. Veritas Software	NONE		J	T	buy	10-7	J		
190. CSX Corp	A	div.	J	T	buy	6-10	J		
191. Flextronics	NONE		J	T	buy	8-6	J		
192. Guidant Corp.	A	div.	J	T	buy	11-7	J		
193. Magna International	NONE		J	T	buy	12-10	J		
194. American Electric Power	B	div.	K	T					
195. Partnerre Ltd.	A	div.	J	T	buy	2-26	J		
196. PepsiCo	NONE		J	T	buy	10-7	J		
197. Renaissance Holdings	A	div.	J	T	buy	6-3	J		
198. Sprint	A	div.	J	T	buy	4-8 7-8	J		

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FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

ROSS, ALLYNE R

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6/2/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
199. Safeway	NONE		J	T	buy	2-25	J		
200. Verizon Communications	A	div.	J	T	buy	7-31 8-18	J		
201. Wyeth	A	div.	J	T	buy	7-24	J		
202. Cisco Systems	NONE		K	T	buy	3-3	J		
203.									
204.									
205.									
206.									
207.									
208.									
209.									
210.									
211.									
212.									
213.									
214.									
215.									
216.									

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

ROSS, ALLYNE R

Date of Report

6/2/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII, p.1, line 1— Unfunded except for term life insurance.

Part VIII, p.6, line 89 —On November 14, 2003, this investment was converted by the New York College Savings Program from the NAA Equity Fund to the Aggressive Growth Portfolio.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

ROSS, ALLYNE R

Date of Report

6/2/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

June 5, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544