

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                       |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Ebel, David M                                                                                                                     | <b>2. Court or Organization</b><br><br>Tenth Circuit Court of Appeals                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>05/08/2008                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Circuit Judge (senior)                                                | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination,      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2007<br>to<br>12/31/2007 |
| <b>7. Chambers or Office Address</b><br><br>Byron White U.S. Courthouse<br>1823 Stout Street, Room 109L<br>Denver, CO 80257                                                                            | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                      |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                       |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>                               | <u>NAME OF ORGANIZATION/ENTITY</u>       |
|-----------------------------------------------|------------------------------------------|
| 1. Director and Member of Executive Committee | Historical Society for the Tenth Circuit |
| 2.                                            |                                          |
| 3.                                            |                                          |
| 4.                                            |                                          |
| 5.                                            |                                          |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

RECEIVED  
 2008 MAY 12 A 11:49  
 FINANCIAL  
 DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                             | <u>DATES</u>           | <u>LOCATION</u>     | <u>PURPOSE</u>     | <u>ITEMS PAID OR PROVIDED</u> |
|-------------------------------------------|------------------------|---------------------|--------------------|-------------------------------|
| 1. University of Alabama<br>School of Law | 03/27/07 -<br>03/29/07 | Tuscaloosa, Alabama | Judging Moot Court | Travel, lodging, meals        |
| 2.                                        |                        |                     |                    |                               |
| 3.                                        |                        |                     |                    |                               |
| 4.                                        |                        |                     |                    |                               |
| 5.                                        |                        |                     |                    |                               |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end of<br>reporting period |                                    | D.<br>Transactions during reporting period |                        |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)                    | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>Month -<br>Day | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Fidelity Magellan Fd.                                                                                                    | A                                       | Dividend                               | J                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 2. Janus Contrarian Fund                                                                                                    | B                                       | Dividend                               | K                                               | T                                  | Part Sale                                  | 1/26                   | J                        | A                       |                                                            |
| 3. " " "                                                                                                                    |                                         |                                        |                                                 |                                    | Buy                                        | 10/8                   | J                        |                         |                                                            |
| 4. Fidelity Ginnie Mae Fd.                                                                                                  | A                                       | Dividend                               | J                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 5. Janus Global Life Science Fd.                                                                                            |                                         | None                                   | J                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 6. Janus Money Market Fd.                                                                                                   | B                                       | Interest                               | L                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 7. US Bank, Denver, Colo.                                                                                                   | A                                       | Interest                               | K                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 8. Janus Orion Fund                                                                                                         | A                                       | Dividend                               | J                                               | T                                  | Part Sale                                  | 5/11                   | J                        | A                       |                                                            |
| 9. " " "                                                                                                                    |                                         |                                        |                                                 |                                    | Buy                                        | 5/18                   | J                        |                         |                                                            |
| 10. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Part Sale                                  | 5/24                   | K                        | A                       |                                                            |
| 11. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Part Sale                                  | 6/26                   | J                        | A                       |                                                            |
| 12. Fidelity Cash Reserves                                                                                                  | B                                       | Interest                               | K                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 13. Fidelity Select Energy Service Fd.                                                                                      | B                                       | Dividend                               | L                                               | T                                  | Part Sale                                  | 5/11                   | J                        | A                       |                                                            |
| 14. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Buy                                        | 5/18                   | J                        |                         |                                                            |
| 15. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Buy                                        | 10/8                   | J                        |                         |                                                            |
| 16. EYErisk Class A Common Stock                                                                                            |                                         | None                                   | J                                               | T                                  |                                            |                        |                          |                         |                                                            |
| 17. EYErisk Class B Common Stock                                                                                            |                                         | None                                   | J                                               | T                                  |                                            |                        |                          |                         |                                                            |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>● = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           |                                                 | D.<br>Transactions during reporting period |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|--------------------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day              | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |           |       |   |   |  |
|-------------------------------------|---|----------|---|---|-----------|-------|---|---|--|
| 18. Janus Growth & Income Fd.       | A | Dividend | J | T |           |       |   |   |  |
| 19. Fidelity U.S. Gov't Res.        | A | Interest | J | T |           |       |   |   |  |
| 20. Fidelity Emerging Market Fd.    | B | Dividend | K | T | Part Sale | 5/11  | K | B |  |
| 21. " " "                           |   |          |   |   | Buy       | 5/18  | J |   |  |
| 22. " " "                           |   |          |   |   | Part Sale | 6/26  | J | A |  |
| 23. " " "                           |   |          |   |   | Part Sale | 11/8  | J | A |  |
| 24. Fidelity Value Fund             | A | Dividend | J | T | Part Sale | 6/22  | J | A |  |
| 25. Fidelity Diversified Int'l Fund | B | Dividend | J | T |           |       |   |   |  |
| 26. Dodge & Cox Stock Fund          | A | Dividend | J | T | Part Sale | 6/26  | J | A |  |
| 27. Dodge & Cox Balanced Fund       | A | Dividend | J | T |           |       |   |   |  |
| 28. Dodge & Cox Income Fund         | A | Dividend | J | T |           |       |   |   |  |
| 29. Royce Technology Value Fund     | A | Dividend | J | T | Buy       | 10/8  | J |   |  |
| 30. Royce Opportunity Fd.           | A | Dividend | K | T | Part Sale | 10/8  | K | A |  |
| 31. Royce Total Return Fd.          | A | Dividend | J | T |           |       |   |   |  |
| 32. Micromet, Inc.                  |   | None     |   |   | SOLD      | 12/18 | J | A |  |
| 33. Janus Overseas Fund             | D | Dividend | L | T | Part Sale | 5/11  | K | B |  |
| 34. " " "                           |   |          |   |   | Buy       | 5/18  | K |   |  |

### 1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

### 2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

### 3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

# FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end of<br>reporting period |                                           |                                                 | D.<br>Transactions during reporting period |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|--------------------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>Month -<br>Day              | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Part Sale                                       | 11/8                                       | K                               | B                              |                                                                   |
| 36. Janus Short-Term Bond Fd.                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                                            |                                 |                                |                                                                   |
| 37. Janus Risk Managed Stock Fd.                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Part Sale                                       | 10/8                                       | J                               | A                              |                                                                   |
| 38. Janus Mid-Cap Value Fd.                                                                                                 | B                                       | Dividend                                      | J                                               | T                                         | Part Sale                                       | 5/11                                       | J                               | A                              |                                                                   |
| 39. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 5/18                                       | J                               |                                |                                                                   |
| 40. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Part Sale                                       | 6/26                                       | J                               | A                              |                                                                   |
| 41. Fidelity Int'l Small Cap Fd.                                                                                            | C                                       | Dividend                                      | J                                               | T                                         | Part Sale                                       | 6/26                                       | J                               | A                              |                                                                   |
| 42. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Part Sale                                       | 11/8                                       | J                               | B                              |                                                                   |
| 43. Fidelity Contra Fd.                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                                            |                                 |                                |                                                                   |
| 44. Fidelity Select Wireless Fd.                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/8                                       | J                               |                                |                                                                   |
| 45. Fidelity Select Natural Gas Fd.                                                                                         | C                                       | Dividend                                      | K                                               | T                                         | Part Sale                                       | 5/11                                       | J                               | A                              |                                                                   |
| 46. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 5/18                                       | J                               |                                |                                                                   |
| 47. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 10/8                                       | J                               |                                |                                                                   |
| 48. Dodge & Cox International Fd.                                                                                           | C                                       | Dividend                                      | M                                               | T                                         | Part Sale                                       | 1/10                                       | K                               | D                              |                                                                   |
| 49. " " "                                                                                                                   |                                         |                                               |                                                 |                                           | Part Sale                                       | 6/26                                       | J                               | A                              |                                                                   |
| 50. Pennsylvania Mutual Fd.                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Part Sale                                       | 6/29                                       | J                               | A                              |                                                                   |
| 51. Royce Premier Fund                                                                                                      | D                                       | Dividend                                      | K                                               | T                                         |                                                 |                                            |                                 |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end of<br>reporting period |                                    |                                          | D.<br>Transactions during reporting period |                          |                         |                                                            |  |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|------------------------------------------|--------------------------------------------|--------------------------|-------------------------|------------------------------------------------------------|--|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                      | (2)                                        | (3)                      | (4)                     | (5)                                                        |  |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption) | Date<br>Month -<br>Day                     | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |  |
| 52. Royce 100 Fund                                                                                                          | B                                       | Dividend                               | K                                               | T                                  | Buy                                      | 10/8                                       | J                        |                         |                                                            |  |
| 53. Royce Value Plus Fd.                                                                                                    |                                         | None                                   | K                                               | T                                  | Part Sale                                | 11/2                                       | K                        | B                       |                                                            |  |
| 54. Northwestern Mutual Modified Whole Life Policy                                                                          | D                                       | Interest                               | M                                               | T                                  |                                          |                                            |                          |                         |                                                            |  |
| 55. Mass. Mutual Whole Life Policy                                                                                          | C                                       | Interest                               | J                                               | T                                  |                                          |                                            |                          |                         |                                                            |  |
| 56. Thrivant Lutheran Brotherhood Whole Life Policy                                                                         | A                                       | Interest                               | J                                               | T                                  |                                          |                                            |                          |                         |                                                            |  |
| 57. Janus Enterprise Fund                                                                                                   |                                         | None                                   | J                                               | T                                  | Buy                                      | 10/8                                       | J                        |                         |                                                            |  |
| 58. Janus Global Research Fund                                                                                              | B                                       | Dividend                               | L                                               | T                                  | Part Sale                                | 5/11                                       | K                        | B                       |                                                            |  |
| 59. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Buy                                      | 5/18                                       | K                        |                         |                                                            |  |
| 60. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Buy                                      | 10/8                                       | J                        |                         |                                                            |  |
| 61. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Part Sale                                | 11/8                                       | K                        | C                       |                                                            |  |
| 62. Fidelity Canada Fd.                                                                                                     | B                                       | Dividend                               | K                                               | T                                  | Buy                                      | 10/8                                       | J                        |                         |                                                            |  |
| 63. " " "                                                                                                                   |                                         |                                        |                                                 |                                    | Part Sale                                | 11/8                                       | J                        | A                       |                                                            |  |
| 64. PERA Growth & Income Fund                                                                                               | A                                       | Dividend                               | J                                               | T                                  |                                          |                                            |                          |                         |                                                            |  |

### 1. Income Gain Codes

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

Name of Person Reporting

Ebel, David M

Date of Report

05/08/2008

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

# FINANCIAL DISCLOSURE REPORT

Page 9 of 9

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Ebel, David M            | 05/08/2008     |

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544