

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK
UNITED STATES COURTHOUSE
225 CADMAN PLAZA EAST
BROOKLYN, NEW YORK 11201

Nina Gershon
United States District Judge

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FINANCIAL
DISCLOSURE OFFICE

Honorable Bobby R. Baldock
Committee on Financial Disclosure
Administrative Offices of the United States Courts
One Columbia Circle, NE
Washington, DC 20544

June 30, 2009

Dear Judge Baldock:

In response to your letter of June 26, 2009, please accept this letter as an amendment of my financial disclosure report under the Ethics in Government Act of 1978 dated May 11, 2009.

- (1) In Block 6, the reporting period should be entered as the preceding calendar year (January 1, 2008 through December 31, 2008).
- (2) In Part VII, page 2, line 21, the entry should read: [REDACTED]

Thank you for your assistance.

Sincerely yours

[REDACTED]
Nina Gershon

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gershon Nina	2. Court or Organization EDNY	3. Date of Report 5-11-09
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period to
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2008	[REDACTED] - salary
2.	2008	[REDACTED] - salary
3.		
4.		

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Bar Council	1/27-2/03	Nevis	Beach/Bar Conf.	transport, room, board
2.	Aspen Inst.	5/30-6/1	Wye, Md.	seminar	transport, room, board
3.					
4.					
5.					

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*5-11-09***V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. <i>USAA MasterCard</i>	<i>credit card</i>	<i>K</i>
2.		
3.		
4.		
5.		

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Nina Gershon

Date of R

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Page 1 INVESTMENTS and TRUSTS - income, value, transactions (includes those of spouse and dependent children. See pp. 34-37 of Instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code (A-H)	Type (e.g., div., rent or mkt.)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, margin, redemption)	(2) Date Month- Day	(3) Value Code (J-P)	(4) Cash Code (A-H)	(5) Identify buyers (if private tr)
1 IBM Common Stock	A	Div	J	T					
2 US Govt HH Bonds	A	Int	K	T					
5 Fidelity Magellan (IRA)	A	Div	J	T					
6 Fidelity NY tax free M.M.	A	Div	J	T					
7 Washington Mutual (formerly Dime) (IRA)	Cash Eq. A	Int	J	T					
9 Citibank Checking Acct.		None	J	T					
10 Chase Manh. Bank CD	A	Int	J	T					
11 DW/Sears Liq. Assets	A	Div	J	T					
13 Chase Manh Bank	A	Int	J	T					
15 Dreyfus Growth & Inc (IRA)	A	Div	J	T					
17 DW Dev. Growth Fund	A	Div	K	T					

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II. Page 2 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-37 of Instructions.)

A Description of Asset (including trust assets) <i>Place "D" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, transfer, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity buy/sell (if private sale)
NONE (No reportable income, assets).									
18 DW Div. Growth Fund	A	Div	K	T					
19 DW Global Div. Growth F	A	Div	K	T					
20 Kaufmann Fund	C	Div	L	T					
21 [REDACTED]		None	N	T					
23 N.Y. Money Trust (NTFI)	A	Div	J	T					
30 LI Power - B Bond	A	Int			redeem 4/01	J	A		
31 Vanguard 500 (IRA)	B	Div	K	T					
32 Cattaraugus Int B E Bond	B	Int	K	T					
34 Albany Co GO-B Bond	A	Int	K	T					

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Page ³ INVESTMENTS and TRUSTS — income, value, transactions (includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Asset (including trust assets)	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code 1 (A-H)	Type (e.g., div., rent or mlt.)	Value Code 1 (J-P)	Value Method Code 1 (Q-W)	Type (e.g., buy, sell, margin, redemption)	(2) Date Month-Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private trade)
Place "(0)" after each asset exempt from prior disclosure.									
NONE (No reportable income assets.)									
35 Fed. Int. Govt Fund (IRA)	A	Int	K	T					
36 Dreyfus U.S. Int. Fund	A	Int	J	T					
37 Vanguard GNMA Fund	A	Int	K	T					
38 Dreyfus Mun. Bond Fund	A	Int	K	T					
39 Vanguard GNMA (IRA)	B	Div	K	T					
41 NYS Thruway Auth	A	Int			redeem	4/01	J	A	
42 NYC Trans. Fin.	A	Int	K	T					
43 Chautauque Cty. N.Y. Bond	B	Int	K	T					
44 NYS Dorm Auth	A	Int	J	T					
46 NYC Mun Water Auth	A	Int	J	T					
47 Haverstraw Pub Imp	A	Int	J	T					
48 NYS Hsg Fin Agency	A	Int	K	T					
49 Albany Cty NY Airport Auth	A	Int			redeem	2/01	K	A	
50 NYS Local Govt ASST	B	Int			redeem	4/01	K	A	
51 NYS Env Facs Corp	B	Int	K	T					

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VII. Page 4 INVESTMENTS and TRUSTS – income, value, transactions (includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

[illegible]

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Part VII, references to DW (Dean Wither)
are now Morgan Stanley

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544