

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aspen, Marvin E.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 06/21/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT J	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 219 SOUTH DEARBORN STREET ROOM 2578 CHICAGO, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD OF DIRECTORS	AMERICAN BAR ASSOCIATION/AMERICAN BAR ASSOCIATION RETIREMENT FUNDS
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Aspen, Marvin E.

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	STATE OF ILLINOIS (PENSION)	\$23,144.52
2. 2010	THE RUTTER GROUP (ROYALTIES)	\$3,708.01
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN BAR ASSOCIATION AND ABA RETIREMENT FUNDS	2/11-2/15/10	San Diego, CA	Meeting	Travel-related expenses/coach air; hotel; meals
2.	AMERICAN BAR ASSOCIATION AND ABA RETIREMENT FUNDS	2/23-2/27/10	Lainai, HA	Meeting	Travel-related expenses/coach air, hotel, meals
3.	AMERICAN BAR ASSOCIATION AND ABA RETIREMENT FUNDS	8/5-9/10	San Francisco, CA	Meeting	Travel-related expenses/coach air, hotel, meals
4.	AMERICAN BAR ASSOCIATION AND ABA RETIREMENT FUNDS	9/21-9/26/10	Philadelphia, PA	Meeting	Travel-related expenses/coach air, hotel, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

5.	AMERICAN BAR ASSOCIATION AND ABA RETIREMENT FUNDS	12/1-5/10	Key Biscayne, FL	Meeting	Travel-related expenses/coach air, hotel, meals
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FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. J. P. MORGAN CHASE	C	Interest	M	T					
2. FIRST BANK OF HIGHLAND PARK	A	Interest	J	T					
3. AMERICAN TELEPHONE & TELEGRAPH CO. (COMMON STOCK)	A	Dividend	K	T	Buy	01/27/10	K		
4. EXXON MOBIL CORPORATION (COMMON STOCK)	B	Div	L	T					
5. PACIFIC GAS & ELECTRIC CO. (COMMON STOCK)	A	Dividend	J	T					
6. PFIZER, INC. (COMMON STOCK)	C	Div	L	T	Buy	01/27/10	K		
7. COMMERCIAL BUILDING, NORTHBROOK, IL (LTD PTNRSH)	D	Dividend	L	U					
8. SBC COMMUNICATIONS (COMMON STOCK)	B	Div			Sold	07/21/10	K	B	
9. BELL SOUTHERN (COMMON STOCK)	B	Div			Sold	01/27/10	K	B	
10. BSV (LIMITED PARTNERSHIP)	F	Dividend	L	U					
11. MICROSOFT CORP.	A	Dividend	L	T	Buy	07/21/10	L		
12. NASDAQ 100 TRUST SERI	A	Dividend	J	T					
13. MANUAL FINANCIAL CORP	A	Dividend	J	T					
14. IDEARS, INC. X	A	Dividend			Sold	01/27/10	J	A	
15. INTEGRYS ENERGY GROUP X	A	Dividend			Sold	07/21/10	J	A	
16. INTEL CORP X	A	Dividend	J	T					
17. LSI CORP X	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. MORGAN STANLEY X	A	Dividend			Sold	04/13/10	J	A	
19. MOTOROLA X	A	Dividend	J	T					
20. P & G CORP X	B	Dividend	K	T					
21. RELIANT ENERGY, INC. X	A	Dividend			Sold	01/27/10	J	A	
22. TEREX CORP X	A	Dividend			Sold	01/27/10	J	A	
23. WEATHERFORD INT. LTD. X	A	Dividend			Sold	01/27/10	J	A	
24. ALL STATE CORP X	A	Dividend	J	T					
25. CONNOCO PHILLIPS X	A	Dividend	J	T					
26. GENERAL EEELECTRIC CO X	A	Dividend	J	T					
27. EMBARQ CORP. X	A	Dividend			Sold	01/27/10	J	A	
28. HEWLETT PACKARD CO. X	A	Dividend	J	T					
29. SPRINT NEXTEL CORP. X	A	Dividend	J	T					
30. TEXAS INSTRUMENTS INC X	A	Dividend	J	T					
31. VIACOM INTL. X	A	Dividend	J	T					
32. FIDELITY MUTUAL FUND X	B	Dividend	K	T					
33. DREYFUS MUTUAL FUND X	B	Dividend	K	T					
34. SIT INVESTMENT ASSOC. MUTUAL FUND X	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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P3 = \$25,000,001 - \$50,000,000

2. Value Codes
(See Columns C1 and D3)

Q = Appraisal
U = Book Value

3. Value Method Codes
(See Column C2)

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. WATER TECH INC.	A	Dividend	J	T	Buy	10/20/10	J		
36. WESTERN DIGITAL CORP	A	Dividend	J	T	Buy	07/21/10	J		
37. IABIL CIRCUIT INC.	A	Dividend	J	T	Buy	04/16/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)A = \$1,000 or less
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(See Columns C1 and D3)J = \$15,000 or less
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C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

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P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

06/21/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Aspen, Marvin E.

Date of Report

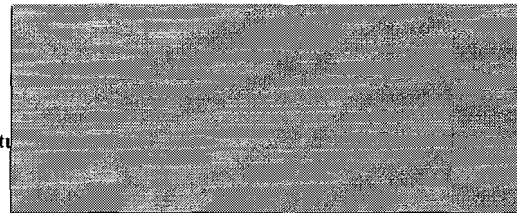
06/21/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large rectangular area of the document is redacted with a dense, grey, pixelated pattern, obscuring the signature and any text that might have been present.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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