

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

COPY

Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adelman, Lynn S.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 5/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 517 E. Wisconsin Ave. Rm. 364 Milwaukee, WI 53202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	State of Wisconsin - Pension/Retirement	\$19,383.00
2. 2009	State of Wisconsin - Deferred Compensation	\$81.82
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Northwestern University Law School	4/20-21/2009	Chicago, IL	Participated in seminar	Hotel room for two nights
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ExxOn	A	Dividend	K	T					
2. Nike	A	Dividend	K	T					
3. Pfizer, Inc.	B	Dividend	K	T					
4. Royal Dutch Pet. Co.	A	Dividend	J	T					
5. Rental Property - Milwaukee, WI	E	Rent	N	S					
6. Citizen's Bank of Mukwonago		None	K	T					
7. Citizen's Bank of Munkowago	A	Interest	K	T					
8. Mutual Savings Bank	A	Interest	K	T					
9. Citizen's Bank of Mukwonago	A	Interest	J	T					
10. Citizen's Bank of Mukwonago		None	J	T					
11. Mutual Savings Bank	A	Interest	K	T					
12. Business - plant nursery at residence		None	J	W					
13. Wells Fargo Mun. Fund	B	Interest	K	T					
14. Puerto Rico 5.0%	A	Interest	J	T					
15. Eaton Vance Tax MGD	C	Dividend	M	T					
16. Eaton Vance Mut. FDTR	C	Dividend	N	T					
17. Guam Power Auth.	C	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Midcap SPDR	A	Dividend	L	T					
19. Energizer Holdings		None	J	T					
20. Alliance Bernstein Small Cap Value IRA	A	Dividend	K	T					
21. Fidelity New Insight IRA	A	Dividend	K	T					
22. Fidelity New Insight Sep IRA	A	Dividend	K	T					
23. Mutual Discovery Sep IRA	A	Dividend	K	T					
24. Henderson European Focus Fund HFEBX (formerly HFEDX) (IRA)	B	Dividend	L	T					
25. Savient Pharma		None			Sold (part)	06/24/09	J	B	
26.					Sold (part)	07/29/09	J	D	
27.					Donated				
28. Alliance Int'l Growth AWPAX (formerly AWPBX)	A	Dividend	J	T					
29. Montana State Brd Hs'g	A	Interest	K	T					
30. Puerto Rico G/O Comwlth	B	Interest	K	T	Redeemed (part)	11/04/09	J	A	
31. Orange County FL Hs'g Fin. Auth.	B	Interest	K	T	Redeemed (part)	02/03/09	J	A	
32.					Redeemed (part)	08/04/09	J	A	
33. San Patricio TX Mun. Water Dist.	B	Interest	L	T					
34. NY State Twy Auth Hwy	B	Interest	L	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$125,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. Puerto Rico Elec Power Auth.	C	Interest	L	T					
36. Peoria AZ Impt Dist.	B	Interest	L	T					
37. Bay County FL Sch. Bd.	B	Interest	K	T					
38. FL Hs'g Fin. Corp.	B	Interest	K	T	Redeemed (part)	01/02/09	J	A	
39.					Redeemed (part)	04/01/09	J	A	
40. Pimco Total Return	B	Dividend	K	T					
41. Transamerica		None	J	T					
42. Metropolitan Wash DC	B	Interest			Matured	10/01/09	K	A	
43. Elan Corp.		None	J	T					
44. Henderson European Fund	A	Dividend	J	T					
45. RBCMoneymarket	A	Interest	J	T					
46. RBC Money Market	B	Interest	L	T					
47. Gilead Science (GILD)		None	J	T	Buy	6/19/09	J		
48. Kirby		None	J	T	Buy	11/19/09	J		
49. W.P. Carey		None	K	T	Buy	11/19/09	K		
50. Herderson (HFEBX)	A	Dividend	J	T	Buy	07/24/09	J		
51. Genessee Cry M1		None	K	T	Buy	11/18/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cust (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes: (See Column C2)					

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52. Pima Cty AZ Go Bonds		None	K	T	Buy	11/18/09	K		
53. Puerto Rico Pub Bldg Auth		None	K	T	Buy	11/18/09	K		

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section VII, line 9, rental property, is located in and assessed by the City of Milwaukee, Wisconsin at \$433,600 with a fair market value of \$467,600.

Section VII, line 12, plant nursery at residence, had no income during the reporting period.

Section VII, lines 45 and 46, are accounts with broker where dividends, proceeds of investments that matured, were redeemed or sold (as disclosed in the individual investment listings in this report) were deposited during the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544