

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) PAEZ, RICHARD A	2. Court or Organization U.S. COURT OF APPEALS 9TH CIR	3. Date of Report 6/4/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 125 S. GRAND ANVE STE. 300 PASADENA, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	9/94	Los Angeles Co. Tax Deferred Compensation Program. Deferred Salary payments - 4 annual payments beginning 2002.
2.	9/98	California Judges Retirement System - Deferred Retirement

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	7/1/03	Great West Life (Los Angeles County Deferred Comp)	16,098

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Self-employed - Human Resource Consultant
2.	2003	Pacific Oaks College

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Notre Dame Law School	Award - \$400 (Travel & Lodging)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-E)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Fidelity Magellan	A	Dividend	J	T					
2. Fidelity Contrafund	A	Dividend	N	T	Redeem	1/3	M	A	
3. Fidelity Contrafund (Cont'd)					Redeem	3/5	L	A	
4. Fidelity Cash Reserves	A	Dividend	L	T	Buy	3/5	L		
5. Fidelity Short Term Bonds	A	Dividend	L	T	Buy	3/5	L		
6. Fidelity Ginnie Mac	A	Dividend	L	T	Buy	3/5	L		
7. Fidelity Govt	A	Dividend	L	T	Buy	3/5	L		
8. Fidelity Contra Fund	A	Dividend	K	T	Redem	3/5	K	A	
9. Fidelity Govt	A	Dividend	K	T	Buy	3/5	K		
10. Condominium, Whatcom, Wa.			M	T					See Part VIII
11. L.A. Co. Deferred Comp.									See Part VIII
12. IBM 401K Plan	A	Dividend	N	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000 J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	B = \$1,001-\$1,500 G = \$100,001-\$1,000,000 K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000 L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$50,000,001-\$100,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000 M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	
3. Value Method Codes: (See Column C2)					

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VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

(1) Part VII: [REDACTED] and I, with [REDACTED] jointly own a condominium in Whatcom County. We do not derive any income from this property as [REDACTED] reside in the condominium.

(2) Part VII(6): I have previously reported my participation in L.A. County's deferred compensation program in Sections III and VII. I received my second payment on 7/1/2003 and it is reported in Section III.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Washington Mutual Savings

Mortgage Loan

M

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

6-04-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544