

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BERZON, MARSHA S.	2. Court or Organization U.S. CA. NINTH CIRCUIT	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 95 SEVENTH STREET P.O. BOX 1939393 SAN FRANCISCO, CA 94119-3939	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Berzon, Marsha S.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 06/16/2010	GOLDEN GATE UNIVERSITY SCHOOL OF LAW, PARIS PROGRAM, TEACHING	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	SELF-EMPLOYED ATTORNEY
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN BAR ASSOCIATION	5/12/2010 - 5/14/2010	ISTANBUL, TURKEY	EDUCATIONAL ACTIVITY	TRAVEL, LODGING, MEALS
2.	AMERICAN LAW INSTITUTE	9/11/2010 - 9/12/2010	PHILADELPHIA, PA	CIVIC ORG. ACTIVITY	TRAVEL, LODGING, MEALS
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCOUNTS	A	Interest	M	T					
2. CITIBANK CD	D	Interest	N	T					
3. US BANK CD (FORMERLY PACIFIC NATIONAL)	D	Interest	N	T					
4. FIRST REPUBLIC BANK CD (FORMERLY MERRILL LYNCH) *2	D	Interest	N	T					
5. BANK OF AMERICA C/O FIRST REP (FORMERLY MERRILL LYNCH) *2	D	Interest							
6. UNION BANK CD		None	N	T	Open	02/01/10	N		
7. STERLING BANK & TRUST	D	Interest	N	T	Open	02/02/10	N		
8. COCA COLA COMPANY - COMMON STOCK	B	Dividend	L	T					
9. COMCAST CORP NEW CL A - COMMON STOCK	A	Dividend	J	T					
10. CORNING INC - COMMON STOCK	B	Dividend	M	T					
11. LSI CORPORATION - COMMON STOCK		None	J	T					
12. NSTAR - COMMON STOCK	A	Dividend	J	T					
13. PEPSICO INCORPORATED - COMMON STOCK	A	Dividend	K	T					
14. PUB SVC ENT GROUP INC - COMMON STOCK	A	Dividend	J	T					
15. VERIZON COMMUNICATIONS - COMMON STOCK	A	Dividend	J	T					
16. VODAFONE GROUP NEW ADR F	A	Dividend	J	T					
17. DREYFUS ACTIVE MIDCAP FUND	A	Dividend	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

BERZON, MARSHA S.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DREYFUS APPRECIATION FD	A	Dividend	K	T					
19. DREYFUS EMERGING LEADERS FUND	A	Dividend	L	T					
20. EMBARCADERO ABSOLUTE (FORMERLY EMBARCADERO SMALL CAP)		None	J	T					
21. EMBARCADERO MARKET(FORMERLY EMBARCADERO ALL CAP GROWTH FUND)		None	K	T					
22. RS MID CAP OPPORTUNITIES FUND		None	O	T					
23. SCHWAB PREMIER EQUITY SELECT SHARES	A	Dividend	M	T					
24. SCH CA MUNI MONEY FD (SWEEP)	A	Dividend	L	T					
25. SCHWAB CA MUNI MONEY FD (NON- SWEEP)	A	Dividend	L	T					
26. FIDELITY CA AMT TAX FREE MMKT INST CLASS	B	Interest	P1	T					
27. PSP# [REDACTED] ACCOUNT) *3		None							
28. -INVESCO CAPITAL DEVELOPMENT FUND-CLASS A (FORMERLY AIM)					Sold	02/08/10	L		
29. -INVESCO INTERNATIONAL SMALL CO FD -CL A (FORMERLY AIM)					Sold	02/08/10	L		
30. -INVESCO GLOBAL EQUITY FUND - CLASS A (FORMERLY AIM)					Sold	02/08/10	L		
31. -INVESCO MULTI-SECTOR FUND - CLASS A (FORMERLY AIM)					Sold	02/08/10	K		
32. IRA#1 [REDACTED] ACCOUNT)	C	Dividend	M	T					
33. -AMCAP FUND - CLASS A									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
V = Other

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. -WASHINGTON MUTUAL INVESTORS FUND - CLASS A									
35. PSRP#1 [REDACTED] ACCOUNT)*3		None							
36. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND - CL A"					Sold	01/28/10	K		
37. -AMERICAN FUNDS - THE GROWTH FUND OF AMERICA - CL A"					Sold	01/28/10	L		
38. -AMERICAN FUNDS - THE NEW ECONOMY FUND - CL A"					Sold	01/28/10	K	E	
39. -AMERICAN FUNDS - NEW PERSPECTIVE FUND - CL A"					Sold	01/28/10	K		
40. -AMERICAN FUNDS - CAPITAL WORLD GROWTH AND INCOME FUND - CL					Sold	01/28/10	L		
41. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS - CL A"					Sold	01/28/10	K	E	
42. -AMERICAN FUNDS - THE INVESTMENT COMPANY OF AMERICA - CL A"					Sold	01/28/10	J		
43. -AMERICAN FUNDS - WASHINGTON MUTUAL INVESTORS FUND - CL A"					Sold	01/28/10	K		
44. -AMERICAN FUNDS - THE INCOME FUND OF AMERICA - CL A"					Sold	01/28/10	L		
45. -AMERICAN FUNDS - AMERICAN BALANCED FUND - CL A"					Sold	01/28/10	K		
46. -AMERICAN FUNDS - AMERICAN FUNDS MONEY MARKET FUND-A					Sold	01/28/10	K		
47. -VANGUARD 500 INDEX FUND					Sold	01/28/10	K		
48. -VANGUARD EMERGING MARKETS STOCK INDEX					Sold	01/28/10	K		
49. IRA#2	E	Dividend	P1	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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2. Value Codes
(See Columns C1 and D3)

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O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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50. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND - CL A									
51. -AMERICAN FUNDS - THE GROWTH FUND OF AMERICA - CL A									
52. -AMERICAN FUNDS - NEW PERSPECTIVE FUND - CL A									
53. -AMERICAN FUNDS - -CAPITAL WORLD GROWTH AND INCOME FUND-CL A									
54. -AMERICAN FUNDS - -FUNDAMENTAL INVESTORS - CL A									
55. -AMERICAN FUNDS - THE INVESTMENT COMPANY OF AMERICA - CL A									
56. -AMERICAN FUNDS - CAPITAL INCOME BUILDER - A									
57. -AMERICAN FUNDS - THE INCOME FUND OF AMERICA - CL A									
58. -AMERICAN FUNDS - AMERICAN BALANCED - CL A									
59. [REDACTED] CAPITAL ACCOUNT IN LAW FIRM PARTNERSHIP *1	C	Interest	K	U					
60. ACCOUNTRAX RETIREMENT ACCOUNT [REDACTED] *3	E	Dividend	O	T					
61. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS R6					Buy	02/11/10	K		
62. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS R6					Buy (add'l)	02/11/10	J		
63. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS R6					Buy (add'l)	02/11/10	J		
64. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS R6					Buy (add'l)	02/11/10	J		
65. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS R6					Sold (part)	03/08/10	K	B	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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66. -AMERICAN FUNDS - FUNDAMENTAL INVESTORS R6					Sold (part)	03/08/10	J	A	
67. -FIDELITY SPARTAN 500 INDEX FUND					Buy	02/02/10	J		
68. -FIDELITY SPARTAN 500 INDEX FUND					Buy (add'l)	02/02/10	J		
69. -FIDELITY SPARTAN 500 INDEX FUND					Buy (add'l)	03/08/10	J		
70. -FIDELITY SPARTAN 500 INDEX FUND					Buy (add'l)	03/08/10	M		
71. -FIDELITY SPARTAN 500 INDEX FUND					Buy (add'l)	04/14/10	J		
72. -FIDELITY SPARTAN 500 INDEX FUND					Buy (add'l)	04/19/10	J		
73. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Buy	02/11/10	L		
74. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Buy (add'l)	02/11/10	K		
75. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Buy (add'l)	02/11/10	J		
76. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Buy (add'l)	02/11/10	J		
77. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Buy (add'l)	02/12/10	L		
78. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Buy (add'l)	02/12/10	J		
79. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Sold (part)	03/08/10	J	A	
80. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Sold (part)	03/08/10	J	A	
81. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Sold (part)	03/08/10	J	A	
82. -AMERICAN FUNDS - CAP WORLD GRO. & INC. FUND R6					Sold	03/08/10	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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83. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND R6					Buy	02/11/10	J		
84. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND R6					Buy (add'l)	02/11/10	K		
85. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND R6					Sold (part)	03/08/10	K	A	
86. -AMERICAN FUNDS - EUROPACIFIC GROWTH FUND R6					Sold	03/08/10	J	A	
87. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Buy	02/11/10	K		
88. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Buy (add'l)	02/12/10	J		
89. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Buy (add'l)	02/12/10	J		
90. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Buy (add'l)	02/12/10	K		
91. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Buy (add'l)	02/11/10	J		
92. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Buy (add'l)	02/11/10	J		
93. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Sold (part)	03/08/10	K	B	
94. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Sold (part)	03/08/10	K	B	
95. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Sold (part)	03/08/10	J	A	
96. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Sold (part)	03/08/10	L	C	
97. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Sold (part)	03/08/10	J	A	
98. -AMERICAN FUNDS - GROWTH FUND OF AMERICA R6					Sold	03/08/10	J	A	
99. -COLUMBIA ACORN INTERNATIONAL FUND (Z)					Buy	02/12/10	L		

1. Income Gain Codes:
(See Columns B1 and D4)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
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E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
100. -COLUMBIA ACORN INTERNATIONAL FUND (Z)					Buy (add'l)	02/12/10	J		
101. -COLUMBIA ACORN INTERNATIONAL FUND (Z)					Sold (part)	03/08/10	L	C	
102. -COLUMBIA ACORN INTERNATIONAL FUND (Z)					Sold	03/08/10	J	A	
103. -T. ROWE PRICE MID-CAP GROWTH FUND					Buy	02/12/10	L		
104. -T. ROWE PRICE MID-CAP GROWTH FUND					Buy (add'l)	02/12/10	J		
105. -T. ROWE PRICE MID-CAP GROWTH FUND					Sold (part)	03/08/10	L	C	
106. -T. ROWE PRICE MID-CAP GROWTH FUND					Sold	03/08/10	J	A	
107. -VANUARD WELLINGTON FUND (ADM)					Buy	02/11/10	K		
108. -VANUARD WELLINGTON FUND (ADM)					Buy (add'l)	02/11/10	L		
109. -VANUARD WELLINGTON FUND (ADM)					Buy (add'l)	02/11/10	J		
110. -VANUARD WELLINGTON FUND (ADM)					Buy (add'l)	02/11/10	J		
111. -VANUARD WELLINGTON FUND (ADM)					Sold (part)	03/08/10	J	A	
112. -VANUARD WELLINGTON FUND (ADM)					Sold	03/08/10	L	C	
113. -YACKTMAN FUND					Buy	02/11/10	K		
114. -YACKTMAN FUND					Buy (add'l)	02/11/10	J		
115. -YACKTMAN FUND					Sold (part)	03/08/10	K	B	
116. -YACKTMAN FUND					Sold	03/08/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. -VANGUARD PRIME MONEY MARKET					Buy	02/11/10	K		
118. -VANGUARD PRIME MONEY MARKET					Buy (add'l)	02/11/10	J		
119. -VANGUARD PRIME MONEY MARKET					Sold (part)	03/08/10	K		
120. -VANGUARD PRIME MONEY MARKET					Sold	03/08/10	J		
121. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy	03/08/10	K		
122. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	K		
123. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	K		
124. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	K		
125. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	K		
126. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	K		
127. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	J		
128. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	J		
129. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	J		
130. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	J		
131. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	03/08/10	J		
132. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	04/14/10	J		
133. -VANGUARD TARGET RETIREMENT INCOME FUND (INV)					Buy (add'l)	04/19/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
134. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy	03/08/10	J		
135. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	03/08/10	J		
136. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	03/08/10	L		
137. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	03/08/10	L		
138. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	03/08/10	J		
139. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	03/08/10	J		
140. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	04/14/10	J		
141. -VANGUARD TARGET RETIREMENT 2015 FUND (INV)					Buy (add'l)	04/19/10	J		
142. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy	03/08/10	L		
143. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	03/08/10	L		
144. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	03/08/10	K		
145. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	03/08/10	J		
146. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	03/08/10	J		
147. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	03/08/10	J		
148. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	04/14/10	J		
149. -VANGUARD TARGET RETIREMENT 2025 FUND (INV)					Buy (add'l)	04/19/10	J		
150. -OPPENHEIMER DEVELOPING MARKETS FUND (INV)					Buy	02/02/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
151. -OPPENHEIMER DEVELOPING MARKETS FUND (INV)					Buy (add'l)	02/02/10	J		
152. -OPPENHEIMER DEVELOPING MARKETS FUND (INV)					Sold (part)	03/08/10	K	A	
153. -OPPENHEIMER DEVELOPING MARKETS FUND (INV)					Sold	03/08/10	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
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H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*1 THE EARNINGS REPORTED IN PART VII REPRESENT THE [REDACTED] DISTRIBUTIVE SHARE OF PARTNERSHIP INTEREST INCOME.

*2 CD STARTED AS MERRILL LYNCH, BECAME BANK OF AMERICA THEN BECAME FIRST REPUBLIC.

*3 IN 2010, [REDACTED] RETIREMENT ACCOUNTS PSP#1 AND PSRP#1 WERE TRANSFERRED TO A DIFFERENT INVESTMENT PLATFORM AND COMBINED; NOW LABELLED ACCOUNTRAX.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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