

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WARDLAW, KIM M.	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 6/28/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. COURT OF APPEALS 125 S. GRAND AVENUE PASADENA, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board member		
2. Board member		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Univ. of Notre Dame The Law School Law Review	3/18/09-3/21/09	Notre Dame, Indiana	Law Review Discussion	Transportation
2.	Univ. of California, Davis School of Law	4/17/09-4/18/09	Davis, CA	Moot Court Competition	Transportation
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA									
2. ABBOTT LABORATORIES	A	Dividend			Sold (part)	05/15/09	J	A	
3. ABBOTT LABORATORIES					Sold	05/18/09	J	A	
4. ADOBE SYSTEMS INC		None	J	T	BUY	10/14/09	J		
5. ADOBE SYSTEMS INC					BUY	10/30/09	J		
6. AETNA INC		None			BUY	09/16/09	J		
7. AETNA INC					BUY	09/17/09	J		
8. AETNA INC					Sold (part)	05/19/09	J	A	
9. AETNA INC					Sold (part)	10/08/09	J	A	
10. AETNA INC					Sold (part)	10/15/09	J	A	
11. AETNA INC					Sold	10/20/09	J	A	
12. AFLAC		None			Sold	01/22/09	J	A	
13. AGILENT TECHNOLOGIES		None	K	T					
14. AIR PRODUCTS & CHEMICALS INC	A	Dividend	K	T					
15. ALBERTO CULVER CO	A	Dividend	J	T	BUY	09/16/09	J		
16. ALBERTO CULVER CO					BUY	09/18/09	J		
17. ALBERTO CULVER CO					BUY	09/23/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ALBERTO CULVER CO					BUY	10/01/09	J		
19. ALBERTO CULVER CO					BUY	11/16/09	J		
20. ALLEGHENY TECHNOLOGIES INC	A	Dividend	K	T	BUY	01/07/09	J		
21. ALLEGHENY TECHNOLOGIES INC					BUY	01/08/09	J		
22. ALLERGAN INC	A	Dividend	K	T	Sold (part)	06/03/09	J	A	
23. ALLSTATE CORP	A	Dividend	J	T	BUY	05/20/09	J		
24. ALLSTATE CORP					BUY	05/21/09	J		
25. ALLSTATE CORP					BUY	05/22/09	J		
26. AMERICAN MEDICAL SYS HLDGS		None	J	T	Sold (part)	02/05/09	J	A	
27. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/09/09	J	A	
28. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/12/09	J	A	
29. AMERICAN TOWER CORP CL A		None	J	T					
30. AMERICAN WATER WORKS	A	Dividend	K	T	BUY	08/13/09	K		
31. AMERICAN WATER WORKS					BUY	08/14/09	J		
32. AMERICAN WATER WORKS					BUY	08/24/09	J		
33. AT&T INC	A	Dividend	J	T	Sold (part)	09/16/09	J	A	
34. AT&T INC					Sold (part)	11/20/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. AT&T INC					Sold (part)	11/23/09	J	A	
36. BAE SYSTEMS PLC SPON ADR	A	Dividend	J	T	BUY	12/03/09	J		
37. BAKER HUGHES INC	A	Dividend			Sold	05/18/09	J	A	
38. BANK OF NEW YORK MELLON CORP	A	Dividend	J	T	BUY	06/17/09	J		
39. BARRICK GOLD CORP US\$	A	Dividend	K	T					
40. BAXTER INTERNATIONAL INC	A	Dividend	K	T					
41. BERKSHIRE HATHAWAY INC CL B		None	J	T	BUY	03/04/09	J		
42. BEST BUY INC	A	Dividend	J	T					
43. BIOGEN IDEC INC		None			BUY	02/04/09	J		
44. BIOGEN IDEC INC					BUY	02/05/09	J		
45. BIOGEN IDEC INC					BUY	02/09/09	J		
46. BIOGEN IDEC INC					BUY	08/10/09	J		
47. BIOGEN IDEC INC					Sold (part)	11/03/09	J	A	
48. BIOGEN IDEC INC					Sold (part)	11/05/09	J	A	
49. BIOGEN IDEC INC					Sold	11/06/09	J	A	
50. BOEING CO	A	Dividend	J	T	BUY	11/02/09	J		
51. BOEING CO					BUY	11/19/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. BOEING CO					Sold (part)	03/04/09	J	A	
53. BOEING CO					Sold (part)	06/23/09	J	A	
54. BROADCOM CORP		None	J	T	BUY	02/19/09	J		
55. BROCADE COMMUNICATIONS SYS INC		None	J	T	BUY	01/07/09	J		
56. BROCADE COMMUNICATIONS SYS INC					BUY	01/08/09	J		
57. BROCADE COMMUNICATIONS SYS INC					BUY	01/09/09	J		
58. CAMECO CORP US\$	A	Dividend	K	T	BUY	01/29/09	J		
59. CAMECO CORP US\$					BUY	04/28/09	J		
60. CAMECO CORP US\$					BUY	05/04/09	J		
61. CAMPBELL SOUP CO	A	Dividend			Sold (part)	01/13/09	J	A	
62. CAMPBELL SOUP CO					Sold	05/19/09	J	A	
63. CANADIAN NATURAL RESOURCES LTD US\$	A	Dividend	J	T					
64. CANON INC ADR	A	Dividend	J	T					
65. CARDINAL HEALTH INC	A	Dividend			Sold (part)	08/03/09	J	A	
66. CARDINAL HEALTH INC					Sold	08/04/09	J	A	
67. CARNIVAL CORP COMMON PAIRED STOCK		None	J	T	Sold (part)	05/19/09	J	A	
68. CARNIVAL CORP COMMON PAIRED STOCK					Sold (part)	05/20/09	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
Q = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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69. CELGENE CORP		None	K	T	BUY	01/08/09	J		
70. CELGENE CORP					BUY	01/13/09	J		
71. CERNER CORP		None	K	T	Sold (part)	10/21/09	J	C	
72. CHEVRON CORP	A	Dividend	J	T	BUY	02/12/09	J		
73. CHEVRON CORP					BUY	09/17/09	J		
74. CISCO SYSTEMS INC		None	K	T					
75. COCA-COLA CO	A	Dividend	J	T					
76. COLGATE-PALMOLIVE CO	A	Dividend	J	T	BUY	08/05/09	J		
77. COMCAST CORP CL A	A	Dividend	J	T	Sold (part)	08/04/09	J	A	
78. COMCAST CORP CL A					Sold (part)	08/05/09	J	A	
79. COMCAST CORP CL A					Sold (part)	08/06/09	J	A	
80. COMCAST CORP CL A					Sold (part)	08/07/09	J	A	
81. COMCAST CORP CL A					Sold (part)	08/10/09	J	A	
82. COMCAST CORP CL A					Sold (part)	08/12/09	J	A	
83. CONOCOPHILLIPS	A	Dividend			Sold	04/20/09	J	A	
84. COSTCO WHOLESALE CORP	A	Dividend	J	T					
85. DANAHER CORP	A	Dividend	K	T	BUY	10/14/09	J		

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86. DAVITA INC		None	K	T					
87. DELL INC		None			Sold	01/09/09	J	A	
88. DELTA PETROLEUM CORP		None			Sold (part)	01/09/09	J	A	
89. DELTA PETROLEUM CORP					Sold (part)	03/10/09	J	A	
90. DELTA PETROLEUM CORP					Sold	03/12/09	J	A	
91. DIAMOND OFFSHORE DRILLING INC	A	Dividend	J	T	BUY	09/22/09	J		
92. DISCOVERY COMMUNICATIONS INC CL A		None	J	T	BUY	05/20/09	J		
93. EAST WEST BANCORP INC	A	Dividend			Sold	03/10/09	J	A	
94. EBAY INC		None	J	T	Sold (part)	10/05/09	J	A	
95. ECOLAB INC	A	Dividend	J	T					
96. EDISON INTERNATIONAL	A	Dividend	J	T	BUY	01/16/09	J		
97. EDISON INTERNATIONAL					BUY	01/20/09	J		
98. EMERSON ELECTRIC CO	A	Dividend	K	T					
99. ENERGIZER HOLDINGS INC		None	J	T					
100. EOG RESOURCES INC	A	Dividend	J	T	BUY	08/12/09	J		
101. EXELIXIS INC		None			Sold (part)	03/06/09	J	A	
102. EXELIXIS INC					Sold	03/09/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. EXXON MOBIL CORP	A	Dividend	J	T	Sold (part)	06/12/09	J	A	
104. FEDEX CORP	A	Dividend	J	T	BUY	06/03/09	J		
105. FIRST SOLAR INC		None	J	T	BUY	02/26/09	J		
106. FIRST SOLAR INC					BUY	11/14/09	J		
107. FIRST SOLAR INC					Sold (part)	05/26/09	J	B	
108. FLUOR CORP	A	Dividend	J	T	Sold (part)	11/05/09	J	C	
109. GENENTECH INC		None			Sold (part)	02/03/09	J	A	
110. GENENTECH INC					Sold (part)	02/13/09	J	A	
111. GENENTECH INC					Sold	02/19/09	J	B	
112. GENERAL ELECTRIC CO	B	Dividend	K	T	Sold (part)	05/20/09	J	A	
113. GENERAL MILLS INC	A	Dividend	K	T	BUY	04/17/09	J		
114. GENERAL MILLS INC					BUY	11/19/09	J		
115. GENERAL MILLS INC					BUY	11/20/09	J		
116. GENPACT LTD		None	J	T					
117. GOLDMAN SACHS FINANCIAL SQUARE TREASURY	A	Dividend	K	T	BUY	04/14/09	J		
118. GOLDMAN SACHS FINANCIAL SQUARE TREASURY					Sold (part)	10/22/09	J	A	
119. GOLDMAN SACHS GROUP INC	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GOOGLE INC CL A		None	K	T					
121. HANESBRANDS INC		None	J	T					
122. HEINEKEN NV ADR	A	Dividend			Sold (part)	08/28/09	J	A	
123. HEINEKEN NV ADR					Sold (part)	08/31/09	J	A	
124. HEINEKEN NV ADR					Sold (part)	09/01/09	J	A	
125. HEINEKEN NV ADR					Sold	09/02/09	J	A	
126. HEWLETT-PACKARD CO	A	Dividend	J	T					
127. HUDSON CITY BANCORP INC	A	Dividend	J	T	Sold (part)	05/05/09	J	A	
128. ILLINOIS TOOL WORKS INC	A	Dividend	K	T					
129. INTEL CORP		None			Sold	01/22/09	J	A	
130. INTERNATIONAL BUSINESS MACHINES CORP	A	Dividend	K	T	BUY	03/31/09	J		
131. IRON MOUNTAIN INC		None	K	T	BUY	11/19/09	J		
132. JABIL CIRCUIT INC	A	Dividend			Sold (part)	01/15/09	J	A	
133. JABIL CIRCUIT INC					Sold (part)	01/16/09	J	A	
134. JABIL CIRCUIT INC					Sold (part)	03/10/09	J	A	
135. JABIL CIRCUIT INC					Sold (part)	03/11/09	J	A	
136. JABIL CIRCUIT INC					Sold	03/12/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. JACOBS ENGR GROUP		None	J	T					
138. JOHNSON & JOHNSON	A	Dividend	K	T	BUY	05/18/09	J		
139. JOHNSON & JOHNSON					BUY	05/19/09	J		
140. JOHNSON CONTROLS INC	A	Dividend			Sold	09/21/09	J	A	
141. JPMORGAN CHASE & CO	A	Dividend	K	T					
142. JUNIPER NETWORKS INC		None	J	T	BUY	06/02/09	J		
143. KIMBERLY-CLARK CORP	A	Dividend			Sold (part)	05/19/09	J	A	
144. KIMBERLY-CLARK CORP					Sold	05/21/09	J	A	
145. KINDER MORGAN MANAGEMENT LLC		None			Sold (part)	09/11/09	J	B	
146. KINDER MORGAN MANAGEMENT LLC					Sold (part)	09/14/09	J	B	
147. KINDER MORGAN MANAGEMENT LLC					Sold	09/15/09	J	A	
148. KRAFT FOODS INC CL A	A	Dividend	J	T	Sold (part)	04/17/09	J	A	
149. KRAFT FOODS INC CL A					Sold (part)	11/18/09	J	A	
150. KRAFT FOODS INC CL A					Sold (part)	11/19/09	J	A	
151. LOOPNET INC		None	J	T					
152. LOWES COMPANIES INC	A	Dividend	K	T	BUY	10/21/09	J		
153. MARATHON OIL CORP COM	A	Dividend	J	T	Sold (part)	02/04/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. MARATHON OIL CORP COM					Sold (part)	02/12/09	J	A	
155. MAXIM INTEGRATED PRODS INC	A	Dividend	J	T	BUY	01/27/09	J		
156. MAXIM INTEGRATED PRODS INC					BUY	01/28/09	J		
157. MCDONALDS CORP	A	Dividend	J	T	Sold (part)	01/28/09	J	C	
158. MCDONALDS CORP					Sold (part)	06/17/09	J	B	
159. MEDTRONIC INC	A	Dividend	J	T	BUY	03/13/09	J		
160. MEDTRONIC INC					BUY	04/20/09	J		
161. MERCK & CO INC	A	Dividend	K	T	BUY	05/19/09	J		
162. MERCK & CO INC					BUY	05/20/09	J		
163. MERCK & CO INC					BUY	06/03/09	J		
164. MERCK & CO INC					BUY	11/20/09	J		
165. MERCURY GENERAL CORP	A	Dividend			Sold (part)	11/25/09	J	A	
166. MERCURY GENERAL CORP					Sold	11/30/09	J	A	
167. MICROCHIP TECHNOLOGY INC	A	Dividend	J	T					
168. MICROSOFT CORP	A	Dividend	K	T	BUY	06/24/09	J		
169. MICROSOFT CORP					Sold (part)	03/31/09	J	A	
170. MONSANTO CO COM	A	Dividend	J	T	BUY	05/13/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. NATIONAL GRID PLC - SP ADR		None	J	T	BUY	11/23/09	J		
172. NATIONAL INSTRUMENTS CORP	A	Dividend	J	T					
173. NESTLE NAM SPON ADR	A	Dividend	J	T					
174. NINTENDO CO LTD ADR UNSPON	A	Dividend	J	T					
175. NOKIA CORP SPONSORED ADR	A	Dividend	J	T					
176. NORDSTROM INC	A	Dividend	J	T	Sold (part)	10/20/09	J	A	
177. NORDSTROM INC					Sold (part)	10/21/09	J	A	
178. NORDSTROM INC					Sold (part)	10/22/09	J	A	
179. NORDSTROM INC					Sold (part)	10/27/09	J	A	
180. NORDSTROM INC					Sold (part)	10/28/09	J	A	
181. NORFOLK SOUTHERN CORP	A	Dividend	J	T	BUY	06/11/09	J		
182. NUCOR CORP	A	Dividend	J	T					
183. OMNICOM GROUP INC	A	Dividend	J	T	Sold (part)	05/18/09	J	A	
184. OMNICOM GROUP INC					Sold (part)	06/01/09	J	A	
185. PAYCHEX INC	A	Dividend	K	T	Sold (part)	06/17/09	J	A	
186. PEPSICO INC	A	Dividend	K	T	BUY	01/09/09	J		
187. PEPSICO INC					BUY	01/13/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. PHILIP MORRIS INTERNATIONAL INC	A	Dividend	J	T	BUY	06/23/09	J		
189. PINNACLE WEST CAPITAL CORP		None			Sold	01/20/09	J	A	
190. POLYCOM INC		None	J	T	Sold (part)	10/02/09	J	A	
191. POTASH CORP OF SASKATCHEWAN INC US\$	A	Dividend	J	T					
192. PROCTER & GAMBLE CO	A	Dividend	K	T	BUY	05/19/09	J		
193. PROCTER & GAMBLE CO					BUY	05/20/09	J		
194. PROCTER & GAMBLE CO					BUY	05/21/09	J		
195. PROGRESSIVE CORP OHIO		None	J	T					
196. QUALCOMM INC	A	Dividend	K	T	BUY	11/08/09	J		
197. QUALCOMM INC					BUY	11/19/09	J		
198. RIO TINTO PLC ADR SPON	A	Dividend	J	T					
199. SAP AG SPON ADR	A	Dividend	J	T	Sold (part)	11/19/09	J	A	
200. SARA LEE CORP	A	Dividend			Sold (part)	06/11/09	J	A	
201. SARA LEE CORP					Sold (part)	06/23/09	J	A	
202. SARA LEE CORP					Sold (part)	06/24/09	J	A	
203. SARA LEE CORP					Sold	06/25/09	J	A	
204. SCHLUMBERGER LTD	A	Dividend	K	T	BUY	10/19/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. SCHLUMBERGER LTD					BUY	10/29/09	J		
206. SCHWAB CHARLES	A	Dividend	K	T	BUY	05/18/09	J		
207. SCHWAB CHARLES					BUY	05/19/09	J		
208. SCHWAB CHARLES					BUY	09/18/09	J		
209. SCHWAB CHARLES					BUY	09/21/09	J		
210. SCHWAB CHARLES					BUY	10/28/09	J		
211. SHIRE PLC ADR	A	Dividend	K	T	BUY	02/05/09	J		
212. SHIRE PLC ADR					BUY	02/18/09	J		
213. SHIRE PLC ADR					BUY	03/04/09	J		
214. SHIRE PLC ADR					BUY	06/04/09	J		
215. SOUTHWEST AIRLINES CO	A	Dividend			Sold (part)	11/05/09	J	A	
216. SOUTHWEST AIRLINES CO					Sold	11/06/09	J	A	
217. SPECTRA ENERGY CORP	A	Dividend	J	T					
218. SSGA MONEY MARKET FUND	A	Dividend	K	T	Buy	01/02/09	J		
219. SSGA MONEY MARKET FUND					Sold (part)	12/08/09	J	A	
220. SUNCOR ENERGY INC	A	Dividend	J	T	Buy	08/03/09	J		
221. TARGET CORP	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C'2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. THE WALT DISNEY CO	A	Dividend	K	T	BUY	02/26/09	J		
223. TITANIUM METALS CORPORATION		None	J	T					
224. TRANSOCEAN LTD		None	J	T	BUY	05/20/09	J		
225. TYCO ELECTRONICS LTD	A	Dividend	J	T	BUY	05/27/09	J		
226. TYCO ELECTRONICS LTD					BUY	07/15/09	J		
227. TYCO ELECTRONICS LTD					BUY	07/16/09	J		
228. UNILEVER NV NY SHRS	A	Dividend	J	T					
229. UNION PACIFIC CORP	A	Dividend	K	T	BUY	01/13/09	J		
230. UNION PACIFIC CORP					Sold (part)	06/11/09	J	B	
231. UNITED PARCEL SERVICE INC CL B	A	Dividend	J	T	Sold (part)	05/19/09	J	A	
232. UNITEDHEALTH GROUP INC		None	J	T	BUY	09/03/09	J		
233. UNITEDHEALTH GROUP INC					BUY	11/03/09	J		
234. UNITEDHEALTH GROUP INC					BUY	11/04/09	J		
235. UNITEDHEALTH GROUP INC					BUY	11/05/09	J		
236. UNITED TECHNOLOGIES CORP	A	Dividend	J	T	Sold (part)	02/26/09	J	A	
237. UNITED TECHNOLOGIES CORP					Sold (part)	02/27/09	J	A	
238. URBAN OUTFITTERS INC		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during rep rting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. VISA INC CL A	A	Dividend	J	T	BUY	02/26/09	J		
240. VISA INC CL A					BUY	05/19/09	J		
241. VISA INC CL A					BUY	08/21/09	J		
242. VULCAN MATERIALS CO	A	Dividend	J	T	BUY	08/10/09	J		
243. VULCAN MATERIALS CO					Sold (part)	09/16/09	J	A	
244. WALGREEN CO	A	Dividend	J	T					
245. WAL-MART STORES INC		None			BUY	05/20/09	J		
246. WAL-MART STORES INC					Sold	06/03/09	J	A	
247. WELLS FARGO & CO		None			Sold (part)	01/23/09	J	A	
248. WELLS FARGO & CO					Sold (part)	01/30/09	J	A	
249. WELLS FARGO & CO					Sold	02/02/09	J	A	
250. WILLIAMS COS INC	A	Dividend			Sold	03/26/09	J	A	
251. ZYMOGENETICS INC		None			Sold (part)	03/06/09	J	A	
252. ZYMOGENETICS INC					Sold	03/09/09	J	A	
253. TRUST # 1									
254. Citibank Money Market Act	A	Interest	K	T					
255. [REDACTED]									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Abbott Labs Com Stock	A	Dividend	K	T					
257. Air Prod & Chemicals Com Stock		None			Sold	03/06/09	J		
258. Amgen Inc. Com Stock		None	K	T					
259. Anadarko Petroleum Com Stock	A	Dividend	K	T					
260. Applied Materials Com Stock	A	Dividend			Sold	12/04/09	K		
261. Avery Dennison Com Stock	A	Dividend			Sold	07/23/09	J		
262. Berkshire Hathaway		None	K	T					
263. Best Buy	A	Dividend	K	T					
264. BHP Billiton Com Stock		None	K	T					
265. Biogen IDEC Com Stock		None	K	T					
266. Broadcom Corp Com Stock		None	K	T	Sold (part)	12/04/09	J		
267. Burlington Santa Fe Com Stock	A	Dividend			Sold	12/04/09	K	E	
268. Chevron Corp Com Stock	A	Dividend	K	T					
269. Cisco Systems Com Stock		None	K	T					
270. Citicorp Com Stock	A	Dividend			Sold	12/04/09	J		
271. Colgate-Palmolive Com Stock	A	Dividend			Sold	04/17/09	K	C	
272. Corning Inc Com Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and I14)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Costco Corp	A	Dividend	K	T					
274. CVS Caremark Com Stock	A	Dividend	K	T					
275. Danaher Corp Com Stock	A	Dividend	K	T					
276. Dentsply Int'l Com Stock	A	Dividend			Sold	07/16/09	K	B	
277. The Walt Disney Co. Com Stock	A	Dividend	K	T					
278. Ebay Com Stock		None	J	T	Sold (part)	07/16/09	J		
279. FPL Group Com Stock	A	Dividend	K	T					
280. Fortune Brands Com Stock	A	Dividend	J	T					
281. GE Com Stock	A	Dividend	K	T	Buy	04/17/09	K		
282. Gilead Sciences Com Stock		None	K	T	Buy	03/25/09	K		
283. Goldman Sachs Group Com Stock	A	Dividend	K	T					
284. Home Depot Inc Com Stock	A	Dividend	K	T					
285. IBM Com Stock	A	Dividend	K	T					
286. Intel Corp Com Stock	A	Dividend	K	T					
287. Johnson & Johnson Com Stock	A	Dividend	K	T					
288. Lockheed Martin Com Stock	A	Dividend	K	T					
289. Medtronic Inc. Com Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Microsoft Corp. Com Stock	A	Dividend	K	T	Buy	05/04/09	J		
291. Oracle Corp	A	Dividend	K	T					
292. Pepsico Inc Com Stock	A	Dividend	K	T					
293. Proctor & Gamble Com Stock	A	Dividend	K	T					
294. Qualcomm Inc. Com Stock	A	Dividend	K	T					
295. Royal Dutch ADR Com Stock	B	Dividend	K	T					
296. SEI Investments	A	Dividend	K	T					
297. Semptra Energy Com Stock	A	Dividend	K	T					
298. Smith Intl Com Stock	A	Dividend	J	T					
299. Sysco Corp Com Stock	A	Dividend			Sold	10/30/09	K		
300. Target Corp Com Stock	A	Dividend	K	T	Buy	06/16/09	J		
301. Teva Pharm ADR Com Stock		None	K	T	Sold (part)	07/16/09	J	B	
302. Transocean Inc Com Stock		None	K	T					
303. US Bancorp Com Stock	A	Dividend	K	T					
304. Verizon Com Stock	A	Dividend	K	T					
305. Vodafone ADR	A	Dividend	K	T					
306. Vulcan Materials Com Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Zimmer Holdings Com Stock		None	K	T					
308. DFA Emerging Markets Fund	A	Dividend			Sold	10/30/09	K	B	
309. DFA Large Cap Int'l Fund	C	Dividend			Sold	10/30/09	M		
310. DFA US Micro Cap Fund	A	Dividend			Sold	10/30/09	K		
311. 1Shares MSCI Index Fund	B	Dividend	M	T	Buy	10/30/09	M		
312. 1Shares Small Cap Index Fund	A	Dividend	L	T	Buy	10/30/09	L		
313. Powershares Clean Energy Fund	A	Dividend	J	T					
314. Vanguard Emerging Market Fund	A	Dividend	K	T	Buy	10/30/09	K		
315. Capital Trust Account									
316. ABBOTT LABORATORIES	B	Dividend			Sold (part)	05/15/09	L	A	
317. ABBOTT LABORATORIES					Sold	05/18/09	L	A	
318. ADOBE SYSTEMS INC		None	L	T	BUY	10/14/09	K		
319. ADOBE SYSTEMS INC					BUY	10/30/09	K		
320. AETNA INC		None			BUY	09/16/09	K		
321. AETNA INC					BUY	09/17/09	K		
322. AETNA INC					Sold (part)	02/26/09	J	A	
323. AETNA INC					Sold (part)	05/19/09	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. AETNA INC					Sold (part)	10/08/09	J	A	
325. AETNA INC					Sold (part)	10/09/09	J	A	
326. AETNA INC					Sold (part)	10/12/09	J	A	
327. AETNA INC					Sold (part)	10/13/09	J	A	
328. AETNA INC					Sold (part)	10/14/09	J	A	
329. AETNA INC					Sold (part)	10/15/09	J	A	
330. AETNA INC					Sold (part)	10/16/09	J	A	
331. AETNA INC					Sold (part)	10/19/09	J	A	
332. AETNA INC					Sold	10/20/09	J	A	
333. AFLAC INC		None			Sold	01/22/09	K	A	
334. AGILENT TECHNOLOGIES INC		None	M	T	Sold (part)	10/05/09	K	A	
335. AIR PRODUCTS & CHEMICALS INC	C	Dividend	M	T					
336. ALBERTO CULVER CO	A	Dividend	L	T	BUY	09/16/09	J		
337. ALBERTO CULVER CO					BUY	09/17/09	J		
338. ALBERTO CULVER CO					BUY	09/18/09	J		
339. ALBERTO CULVER CO					BUY	09/21/09	J		
340. ALBERTO CULVER CO					BUY	09/22/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. ALBERTO CULVER CO					BUY	09/23/09	J		
342. ALBERTO CULVER CO					BUY	09/24/09	J		
343. ALBERTO CULVER CO					BUY	09/25/09	J		
344. ALBERTO CULVER CO					BUY	09/28/09	J		
345. ALBERTO CULVER CO					BUY	09/29/09	J		
346. ALBERTO CULVER CO					BUY	09/30/09	J		
347. ALBERTO CULVER CO					BUY	10/01/09	J		
348. ALBERTO CULVER CO					BUY	11/13/09	J		
349. ALBERTO CULVER CO					BUY	11/16/09	J		
350. ALBERTO CULVER CO					BUY	11/17/09	J		
351. ALLEGANY TECHNOLOGIES INC	C	Dividend	M	T	BUY	01/05/09	J		
352. ALLEGANY TECHNOLOGIES INC					BUY	01/06/09	J		
353. ALLEGANY TECHNOLOGIES INC					BUY	01/07/09	K		
354. ALLEGANY TECHNOLOGIES INC					BUY	01/08/09	J		
355. ALLEGANY TECHNOLOGIES INC					BUY	01/09/09	J		
356. ALLEGANY TECHNOLOGIES INC					BUY	10/29/09	K		
357. ALLEGANY TECHNOLOGIES INC					BUY	10/30/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. ALLEGENT TECHNOLOGIES INC					BUY	11/02/09	J		
359. ALLEGENT TECHNOLOGIES INC					Sold (part)	12/04/09	J	A	
360. ALLEGENT TECHNOLOGIES INC					Sold (part)	12/07/09	K	A	
361. ALLEGENT TECHNOLOGIES INC					Sold (part)	12/08/09	J	A	
362. ALLERGAN INC	A	Dividend	M	T	Sold (part)	05/26/09	J	A	
363. ALLERGAN INC					Sold (part)	05/27/09	J	A	
364. ALLERGAN INC					Sold (part)	06/01/09	J	A	
365. ALLERGAN INC					Sold (part)	06/02/09	J	A	
366. ALLERGAN INC					Sold (part)	06/03/09	J	A	
367. ALLSTATE CORP	A	Dividend	L	T	BUY	05/21/09	K		
368. ALLSTATE CORP					BUY	05/22/09	J		
369. AMERICAN MEDICAL SYS HLDGS		None	K	T	Sold (part)	02/05/09	J	A	
370. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/06/09	J	A	
371. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/09/09	J	A	
372. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/10/09	J	A	
373. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/11/09	J	A	
374. AMERICAN MEDICAL SYS HLDGS					Sold (part)	02/12/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. AMERICAN TOWER CORP CL A		None	M	T					
376. AMERICAN WATER WORKS	C	Dividend	M	T	BUY	08/13/09	M		
377. AMERICAN WATER WORKS					BUY	08/14/09	K		
378. AMERICAN WATER WORKS					BUY	08/17/09	J		
379. AMERICAN WATER WORKS					BUY	08/18/09	J		
380. AMERICAN WATER WORKS					BUY	08/24/09	J		
381. AMERICAN WATER WORKS					BUY	08/25/09	J		
382. APPLE INC		None	K	T					
383. AT&T INC	D	Dividend	J	T	Sold (part)	01/09/09	J	A	
384. AT&T INC					Sold (part)	11/20/09	L	A	
385. AT&T INC					Sold (part)	11/23/09	L	C	
386. BAE SYSTEMS PLC SPON ADR	B	Dividend	K	T	BUY	12/02/09	J		
387. BAE SYSTEMS PLC SPON ADR					BUY	12/03/09	K		
388. BAE SYSTEMS PLC SPON ADR					Sold (part)	10/29/09	K	A	
389. BAKER HUGHES INC	A	Dividend			Sold (part)	05/13/09	J	A	
390. BAKER HUGHES INC					Sold	05/18/09	J	A	
391. BANK OF NEW YORK MELLON CORP	A	Dividend	K	T	BUY	06/17/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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WARDLAW, KIM M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. BARRICK GOLD CORP US\$	B	Dividend	M	T	Sold (part)	01/09/09	J	A	
393. BARRICK GOLD CORP US\$					Sold (part)	09/02/09	K	D	
394. BAXTER INTERNATIONAL INC	C	Dividend	M	T					
395. BAY AREA TOLL AUTH FI SAN FRAN CA SF 5.0% 04/01/34	D	Interest	N	T					
396. BERKSHIRE HATHAWAY INC CL A		None	L	T					
397. BEST BUY INC	A	Dividend	K	T					
398. BIOGEN IDEC INC		None			BUY	02/03/09	K		
399. BIOGEN IDEC INC					BUY	02/04/09	K		
400. BIOGEN IDEC INC					BUY	02/05/09	K		
401. BIOGEN IDEC INC					BUY	02/06/09	J		
402. BIOGEN IDEC INC					BUY	02/18/09	J		
403. BIOGEN IDEC INC					BUY	02/26/09	J		
404. BIOGEN IDEC INC					BUY	04/20/09	J		
405. BIOGEN IDEC INC					BUY	08/10/09	J		
406. BIOGEN IDEC INC					Sold (part)	10/30/09	J	A	
407. BIOGEN IDEC INC					Sold (part)	11/02/09	K	A	
408. BIOGEN IDEC INC					Sold (part)	11/03/09	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. BIOGEN IDEC INC					Sold (part)	11/04/09	J	A	
410. BIOGEN IDEC INC					Sold (part)	11/05/09	K	A	
411. BIOGEN IDEC INC					Sold	11/06/09	K	A	
412. BOEING CO	A	Dividend	K	T	BUY	11/02/09	K		
413. BOEING CO					BUY	11/19/09	J		
414. BOEING CO					Sold (part)	03/04/09	J	A	
415. BOEING CO					Sold (part)	06/23/09	K	A	
416. BROADCOM CORP		None	K	T	BUY	02/19/09	K		
417. BROCADE COMMUNICATIONS SYS INC		None	K	T	BUY	01/07/09	J		
418. BROCADE COMMUNICATIONS SYS INC					BUY	01/08/09	J		
419. BROCADE COMMUNICATIONS SYS INC					BUY	01/09/09	J		
420. BROCADE COMMUNICATIONS SYS INC					BUY	01/13/09	J		
421. BROCADE COMMUNICATIONS SYS INC					BUY	01/14/09	J		
422. BURKE GA OGLETHORPE PWR E SF 7.0% 01/01/23	D	Interest	M	T					
423. CA PUB WKS LEASE REV BONDS A AMBAC		None			Sold	05/01/09	M	A	
424. CA ST DEPT WTR RES Q 5.0% 3/1/17	B	Interest			Sold	05/01/09	L	A	
425. CA ST DWR PWRSUPPLY REV 5.0% 05/01/21	D	Interest	M	T	BUY	01/08/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. CA ST ECON RECOV A FGIC 5.25% 7/1/13	C	Interest			Sold	04/03/09	M	B	
427. CA ST GO 6.25% 09-01-12	C	Interest	L	T					
428. CA ST GO NATL 5.0% 06/01/15	C	Interest	M	T	BUY	07/24/09	M		
429. CA ST GO SF 5.0% 10/01/29		None	M	T	BUY	10/09/09	M		
430. CA ST UNIV SYSTEMWIDE REV SER A AMBAC 5.0% 11-01-17	E	Interest	N	T					
431. CA STWD JOHN MUIR HLTH W/1 5% 08/15/2021	E	Interest	N	T					
432. CA STWD ST JOSEPHS HLTH FSA 4.5% 07/01/18	D	Interest	N	T					
433. CALIFORNIA ST 5% 9/01/2031		None			Sold	01/27/09	M	A	
434. CALIFORNIA ST REF 5% 3/01/2020		None			Sold	01/27/09	N	A	
435. CALIFORNIA ST G/O BDS SER 5% 11/01/2013	C	Interest	M	T	BUY	08/06/09	M		
436. CAMECO CORP US\$	A	Dividend	M	T	BUY	01/28/09	J		
437. CAMECO CORP US\$					BUY	01/29/09	J		
438. CAMECO CORP US\$					BUY	04/27/09	J		
439. CAMECO CORP US\$					BUY	04/28/09	K		
440. CAMECO CORP US\$					BUY	05/01/09	J		
441. CAMECO CORP US\$					BUY	05/04/09	J		
442. CAMPBELL SOUP CO	A	Dividend			Sold (part)	01/13/09	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	H = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. CAMPBELL SOUP CO					Sold	05/19/09	K	B	
444. CANADIAN NATURAL RESOURCES LTD US\$	A	Dividend	M	T					
445. CANON INC ADR	A	Dividend	K	T					
446. CARDINAL HEALTH INC	A	Dividend			Sold (part)	08/03/09	K	A	
447. CARDINAL HEALTH INC					Sold	08/04/09	K	A	
448. CARNIVAL CORP COMMON PAIRED STOCK		None	K	T	Sold (part)	05/19/09	J	A	
449. CARNIVAL CORP COMMON PAIRED STOCK					Sold (part)	05/20/09	K	A	
450. CELGENE CORP		None	L	T	BUY	01/08/09	K		
451. CELGENE CORP					BUY	01/13/09	J		
452. CENTRAL VLY COGEN CARSON-ICE 3.5% 07/01/2014		None	M	T	BUY	08/07/09	M		
453. CERNER CORP		None	M	T	BUY	03/05/09	J		
454. CERNER CORP					BUY	03/18/09	K		
455. CERNER CORP					Sold (part)	10/08/09	K	D	
456. CERNER CORP					Sold (part)	10/21/09	J	C	
457. CERNER CORP					Sold (part)	10/22/09	J	C	
458. CHEVRON CORP	B	Dividend	L	T	BUY	02/12/09	K		
459. CHEVRON CORP					BUY	09/17/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. CISCO SYSTEMS INC		None	M	T					
461. CITIGROUP INC	A	Dividend			Sold	02/17/09	J	A	
462. CITIZENS PPTY INS CORP FL A1 6.0% 06/01/2016	D	Interest	M	T	BUY	05/01/09	M		
463. CLEARWATER FL WTR/SWR B 5.0% 12/01/18	C	Interest	M	T	BUY	05/15/09	M		
464. COAST CMNTY COLLEGE DIST CALIF SER B 5% 8/01/2021	D	Interest			Sold	05/07/09	N	D	
465. COCA-COLA CO	C	Dividend	L	T					
466. COLGATE-PALMOLIVE CO	A	Dividend	K	T	BUY	05/20/09	J		
467. COLGATE-PALMOLIVE CO					BUY	05/21/09	J		
468. COLGATE-PALMOLIVE CO					BUY	05/22/09	J		
469. COMCAST CORP CL A	B	Dividend	K	T	BUY	11/03/09	K		
470. COMCAST CORP CL A					Sold (part)	01/09/09	K	A	
471. COMCAST CORP CL A					Sold (part)	08/04/09	K	A	
472. COMCAST CORP CL A					Sold (part)	08/05/09	J	A	
473. COMCAST CORP CL A					Sold (part)	08/06/09	J	A	
474. COMCAST CORP CL A					Sold (part)	08/07/09	J	A	
475. COMCAST CORP CL A					Sold (part)	08/10/09	J	A	
476. COMCAST CORP CL A					Sold (part)	08/12/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. COMCAST CORP CL A					Sold (part)	12/07/09	K	A	
478. CONOCOPHILLIPS	A	Dividend			Sold	04/20/09	K	A	
479. COSTA MESA CA PFA A 5% 10/1/12	B	Interest			Sold	06/08/09	M	A	
480. COSTCO WHOLESALE CORP	A	Dividend	L	T					
481. CUCAMONGA CO CA COP WTR FGIC 5% 09/01/19	B	Interest			Sold	04/21/09	L	A	
482. DANAHER CORP	A	Dividend	M	T	BUY	10/14/09	K		
483. DAVITA INC		None	M	T					
484. DELL INC		None			Sold	01/09/09	J	A	
485. DELTA PETROLEUM CORP		None			Sold (part)	01/08/09	J	A	
486. DELTA PETROLEUM CORP					Sold (part)	03/06/09	J	A	
487. DELTA PETROLEUM CORP					Sold (part)	03/10/09	J	A	
488. DELTA PETROLEUM CORP					Sold	03/11/09	J	A	
489. DIAMOND OFFSHORE DRILLING INC	A	Dividend	J	T	BUY	09/22/09	J		
490. DISCOVERY COMMUNICATIONS INC CL A		None	K	T	BUY	05/20/09	J		
491. DISCOVERY COMMUNICATIONS INC CL A					BUY	05/21/09	J		
492. EAST WEST BANCORP INC	A	Dividend			Sold (part)	03/02/09	J	A	
493. EAST WEST BANCORP INC					Sold (part)	03/03/09	J	A	

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$13,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. EAST WEST BANCORP INC					Sold (part)	03/04/09	J	A	
495. EAST WEST BANCORP INC					Sold	03/10/09	J	A	
496. EBAY INC		None	L	T	BUY	01/06/09	K		
497. EBAY INC					Sold (part)	10/05/09	J	A	
498. ECOLAB INC	A	Dividend	L	T	BUY	03/31/09	J		
499. EDISON INTERNATIONAL	B	Dividend	L	T	BUY	01/15/09	J		
500. EDISON INTERNATIONAL					BUY	01/16/09	K		
501. EDISON INTERNATIONAL					BUY	01/20/09	K		
502. EL CENTRO CA AUTH WTR AMBAC A 4.8% 10/01/09	B	Interest			MATURITY	10/01/09	K	A	
503. EMERSON ELECTRIC CO	C	Dividend	M	T	BUY	05/21/09	J		
504. ENERGIZER HOLDINGS INC		None	K	T	Sold (part)	11/02/09	K	A	
505. EOG RESOURCES INC	A	Dividend	L	T	BUY	03/26/09	K		
506. EOG RESOURCES INC					BUY	8/12	K		
507. AMERICAN FUNDS EUROPACIFIC GROWTH FUND CL F2	E	Dividend	P1	T	Buy	6/15	L		
508. EXXON MOBIL CORP	B	Dividend	K	T	Sold (part)	06/12/09	J	D	
509. FEDEX CORP	A	Dividend	L	T	BUY	06/04/09	J		
510. FEDEX CORP					BUY	06/05/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
WARDLAW, KIM M.

Date of Report
6/28/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. FEDEX CORP					BUY	06/17/09	K		
512. FIRST SOLAR INC		None	L	T	BUY	02/26/09	K		
513. FIRST SOLAR INC					BUY	11/04/09	J		
514. FIRST SOLAR INC					Sold (part)	05/26/09	K	D	
515. FL WTR PCR SER A SF 4.75% 01/15/25	C	Interest	M	T	BUY	01/09/09	M		
516. FL ST BOARD OF ED GO SER B 5.0% 06/01/2023	D	Interest	M	T	BUY	02/11/09	M		
517. FLUOR CORP	A	Dividend	K	T	Sold (part)	10/29/09	J	C	
518. FLUOR CORP					Sold (part)	11/02/09	J	C	
519. FLUOR CORP					Sold (part)	11/03/09	J	C	
520. FLUOR CORP					Sold (part)	11/04/09	J	C	
521. FLUOR CORP					Sold (part)	11/05/09	J	C	
522. FLUOR CORP					Sold (part)	11/06/09	J	C	
523. FOOTHILL-DE ANZA CC 1997 FING PROJ FSA 4.9% 6/1/11		None			Sold	05/08/09	L	C	
524. FREMONT CA UHSD FGIC 5% 9/1/16	D	Interest			Sold	05/07/09	N	E	
525. GENENTECH INC		None			Sold (part)	01/09/09	J	A	
526. GENENTECH INC					Sold (part)	02/03/09	K	A	
527. GENENTECH INC					Sold (part)	02/13/09	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting WARDLAW, KIM M.	Date of Report 6/28/2010
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. GENENTECH INC					Sold (part)	02/17/09	J	A	
529. GENENTECH INC					Sold (part)	02/18/09	J	A	
530. GENENTECH INC					Sold	02/19/09	L	D	
531. GENERAL ELECTRIC CO	D	Dividend	K	T	Sold (part)	05/20/09	J	A	
532. GENERAL ELECTRIC CO					Sold (part)	05/21/09	J	A	
533. GENERAL ELECTRIC CO					Sold (part)	11/02/09	L	A	
534. GENERAL MILLS INC	A	Dividend	M	T	BUY	04/17/09	J		
535. GENERAL MILLS INC					BUY	11/18/09	J		
536. GENERAL MILLS INC					BUY	11/19/09	K		
537. GENERAL MILLS INC					BUY	11/20/09	K		
538. GENERAL MILLS INC					BUY	11/23/09	J		
539. GENPACT LTD		None	K	T	BUY	10/30/09	J		
540. GENPACT LTD					BUY	11/02/09	J		
541. GENPACT LTD					BUY	11/03/09	J		
542. GENPACT LTD					BUY	11/04/09	J		
543. GENPACT LTD					BUY	11/05/09	J		
544. GENPACT LTD					BUY	11/06/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cust (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
WARDLAW, KIM M.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. GENPACT LTD					BUY	11/09/09	J		
546. GENPACT LTD					Sold (part)	12/14/09	J	A	
547. GENPACT LTD					Sold (part)	12/15/09	J	A	
548. GENPACT LTD					Sold (part)	12/16/09	J	A	
549. GENPACT LTD					Sold (part)	12/17/09	J	A	
550. GENPACT LTD					Sold (part)	12/18/09	J	A	
551. GOLDEN STATE TOBACCO REFDG 4.5% 06/01/2027	D	Interest	N	T					
552. GOLDEN ST TOBACCO ST APPROP AMBAC 5.0% 06-01-14	C	Interest	M	T					
553. GOLDMAN SACHS FINANCIAL SQUARE TREASURY	A	Dividend	L	T					
554. GOLDMAN SACHS FINANCIAL SQUARE TREASURY					Sold (part)	11/24/09	L	A	
555. GOLDMAN SACHS GROUP INC	B	Dividend	M	T	BUY	04/14/09	J		
556. GOLDMAN SACHS GROUP INC					Sold (part)	09/03/09	J	A	
557. GOOGLE INC CL A		None	M	T	Sold (part)	09/02/09	K	A	
558. HANESBRANDS INC		None	J	T	Sold (part)	11/16/09	J	A	
559. HANESBRANDS INC					Sold (part)	12/08/09	J	A	
560. HANESBRANDS INC					Sold (part)	12/09/09	J	A	
561. HANESBRANDS INC					Sold (part)	12/11/09	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WARDLAW, KIM M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rcn, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. HANESBRANDS INC					Sold (part)	12/14/09	J	A	
563. HANESBRANDS INC					Sold (part)	12/18/09	J	A	
564. HANESBRANDS INC					Sold (part)	12/31/09	J	A	
565. HARRIS CO TX CHRISTUS HLTH A4 FSA ARC SF VAR 07/01/31	B	Interest	M	T	BUY	07/21/09	M		
566. HAWAII ST GO SER DT 5.0% 11/01/19		None	M	T	BUY	11/12/09	M		
567. HEINEKEN NV ADR	A	Dividend			Sold (part)	08/27/09	J	A	
568. HEINEKEN NV ADR					Sold (part)	08/28/09	K	D	
569. HEINEKEN NV ADR					Sold (part)	08/31/09	K	C	
570. HEINEKEN NV ADR					Sold (part)	09/01/09	J	C	
571. HEINEKEN NV ADR					Sold	09/02/09	J	C	
572. HEWLETT-PACKARD CO	A	Dividend	L	T					
573. HUDSON CITY BANCORP INC	A	Dividend	K	T	Sold (part)	05/05/09	J	A	
574. ILLINOIS TOOL WORKS INC	C	Dividend	M	T					
575. IND LOCAL PUB IMPNATL-RE PREREF 5.5% 07/01/2014	C	Interest	M	T	BUY	05/15/09	M		
576. INTEL CORP		None			Sold	01/22/09	K	A	
577. INTERNATIONAL BUSINESS MACHINES CORP	C	Dividend	M	T	BUY	03/31/09	J		
578. IRON MOUNTAIN INC		None	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. JABIL CIRCUIT INC	A	Dividend			Sold (part)	01/14/09	J	A	
580. JABIL CIRCUIT INC					Sold (part)	01/15/09	J	A	
581. JABIL CIRCUIT INC					Sold (part)	01/16/09	J	A	
582. JABIL CIRCUIT INC					Sold (part)	03/06/09	J	A	
583. JABIL CIRCUIT INC					Sold (part)	03/09/09	J	A	
584. JABIL CIRCUIT INC					Sold (part)	03/10/09	J	A	
585. JABIL CIRCUIT INC					Sold (part)	03/11/09	J	A	
586. JABIL CIRCUIT INC					Sold	03/12/09	J	A	
587. JACOBS ENGR GROUP		None	K	T					
588. JOHNSON & JOHNSON	C	Dividend	M	T	BUY	05/19/09	M		
589. JOHNSON & JOHNSON					BUY	10/29/09	J		
590. JOHNSON CONTROLS INC	A	Dividend			Sold	09/21/09	K	A	
591. JPMORGAN CHASE & CO	C	Dividend	M	T					
592. JUNIPER NETWORKS INC		None	J	T	BUY	05/21/09	J		
593. JUNIPER NETWORKS INC					BUY	05/22/09	J		
594. JUNIPER NETWORKS INC					BUY	06/05/09	J		
595. KIMBERLY-CLARK CORP	B	Dividend			Sold (part)	05/18/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WARDLAW, KIM M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. KIMBERLY-CLARK CORP					Sold (part)	05/19/09	K	A	
597. KIMBERLY-CLARK CORP					Sold	05/20/09	K	A	
598. KINDER MORGAN MANAGEMENT LLC		None			Sold (part)	09/10/09	J	B	
599. KINDER MORGAN MANAGEMENT LLC					Sold (part)	09/11/09	K	D	
600. KINDER MORGAN MANAGEMENT LLC					Sold (part)	09/14/09	J	D	
601. KINDER MORGAN MANAGEMENT LLC					Sold	09/15/09	J	B	
602. KRAFT FOODS INC CL A	D	Dividend	K	T	Sold (part)	01/09/09	J	A	
603. KRAFT FOODS INC CL A					Sold (part)	04/17/09	J	A	
604. KRAFT FOODS INC CL A					Sold (part)	11/18/09	K	A	
605. KRAFT FOODS INC CL A					Sold (part)	11/19/09	K	A	
606. LA CA DWAP FSA 5.25% 07-01-20	C	Interest	L	T					
607. LA CA HARBOR SER C 5.0% 08/01/26	A	Interest	M	T	BUY	06/26/09	M		
608. LA CA USD GO FGIC 5% 7/1/16	D	Interest			Sold	07/21/09	N	D	
609. LA CA USD GO SER I 5.0% 07/01/2024	C	Interest	M	T	BUY	02/05/09	M		
610. LA CO CA MTA AMBAC C 5% 7/1/20	D	Interest			CALLED SECUR	11/06/09	M	A	
611. LA CO CA MTA FSA SF 5% 7/1/19	D	Interest			CALLED SECUR	11/06/09	M	A	
612. LA CO CA MTA SALES TAX REV D 5.0% 07/01/16		None	M	T	BUY	07/24/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. LOOPNET INC		None	J	T					
614. LOS ANGELES CNTY CA PUB WKS FING AUTH AMBAC 5% 06/01/2021	D	Interest	N	T					
615. LOUISIANA ST LEASE REV 5.0% 03/01/16		None	M	T	BUY	11/12/09	M		
616. LOWES COMPANIES INC	B	Dividend	M	T	BUY	08/03/09	J		
617. LOWES COMPANIES INC					BUY	08/04/09	J		
618. LOWES COMPANIES INC					BUY	10/21/09	K		
619. LOWES COMPANIES INC					Sold (part)	01/09/09	K	A	
620. MA HLTH & ED MIT 6.0% 07/01/36	C	Interest	M	T					
621. MA HLTH EDU HARVARD UNIV A SF 5.5% 11/15/36	C	Interest	M	T					
622. MA ST DEV BOSTON COLL SER Q1 SF 5.0% 07/01/2025		None	M	T	BUY	05/13/09	M		
623. MARATHON OIL CORP COM	B	Dividend	K	T	Sold (part)	02/04/09	K	A	
624. MARATHON OIL CORP COM					Sold (part)	02/05/09	J	A	
625. MARATHON OIL CORP COM					Sold (part)	02/12/09	K	A	
626. MASS GO SER B 5.0% 07/01/23		None	M	T	BUY	05/21/09	M		
627. MAXIM INTEGRATED PRODS INC	B	Dividend	K	T	BUY	01/22/09	J		
628. MAXIM INTEGRATED PRODS INC					BUY	01/23/09	J		
629. MAXIM INTEGRATED PRODS INC					BUY	01/26/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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WARDLAW, KIM M.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. MAXIM INTEGRATED PRODS INC					BUY	01/27/09	J		
631. MAXIM INTEGRATED PRODS INC					BUY	01/28/09	J		
632. MCDONALDS CORP	C	Dividend	L	T	Sold (part)	01/09/09	K	D	
633. MCDONALDS CORP					Sold (part)	01/28/09	K	D	
634. MCDONALDS CORP					Sold (part)	05/21/09	J	C	
635. MCDONALDS CORP					Sold (part)	06/17/09	K	D	
636. MECKLENBURG CNTY NC COP A 5.0% 02/01/27	B	Interest	M	T	BUY	01/30/09	M		
637. MEDTRONIC INC	B	Dividend	L	T	BUY	03/12/09	J		
638. MEDTRONIC INC					BUY	03/13/09	J		
639. MEDTRONIC INC					BUY	04/20/09	J		
640. MERCK & CO INC	B	Dividend	M	T	BUY	05/19/09	K		
641. MERCK & CO INC					BUY	05/20/09	K		
642. MERCK & CO INC					BUY	05/21/09	J		
643. MERCK & CO INC					BUY	06/03/09	J		
644. MERCK & CO INC					BUY	06/18/09	J		
645. MERCK & CO INC					BUY	06/23/09	J		
646. MERCK & CO INC					BUY	11/20/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. MERCURY GENERAL CORP	B	Dividend			Sold	10/29/09	K	A	
648. METRO WTR SO CA GO SER A 5% 3/1/17	D	Interest			Sold	05/07/09	N	E	
649. MICH MUN BD AUTH SER 09B DETROIT SD NTS 6.0% 01/20/2010		None	M	T	BUY	05/01/09	M		
650. MICROCHIP TECHNOLOGY INC	C	Dividend	L	T					
651. MICROSOFT CORP	C	Dividend	M	T	BUY	06/24/09	K		
652. MICROSOFT CORP					Sold (part)	03/31/09	K	A	
653. MICROSOFT CORP					Sold (part)	05/21/09	J	A	
654. MONSANTO CO	A	Dividend	L	T	BUY	05/13/09	K		
655. MONSANTO CO					BUY	11/30/09	L		
656. MONSANTO CO					Sold (part)	10/28/09	J	A	
657. MONSANTO CO					Sold (part)	10/29/09	J	A	
658. MONTEREY CA COPS NATIVIDAD MED MBIA 4.75% 8/1/17	B	Interest			Sold	04/21/09	K	A	
659. NATIONAL GRID PLC - SP ADR		None	K	T	BUY	11/23/09	K		
660. NATIONAL GRID PLC - SP ADR					BUY	11/24/09	J		
661. NATIONAL INSTRUMENTS CORP	A	Dividend	K	T					
662. NC ST GO SER A 5.0% 03/01/14		None	M	T	BUY	10/07/09	M		
663. NESTLE NAM SPON ADR	B	Dividend	L	T	Sold (part)	09/02/09	J	C	

1. Income Gain Codes: (See Columns D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. NESTLE NAM SPON ADR					Sold (part)	10/02/09	J	C	
665. AMERICAN FUNDS NEW WORLD FUND CL F2	D	Dividend	N	T	Buy	06/15/09	K		
666. NINTENDO CO LTD ADR UNSPON	A	Dividend	K	T	BUY	06/11/09	J		
667. NINTENDO CO LTD ADR UNSPON					BUY	10/30/09	J		
668. NINTENDO CO LTD ADR UNSPON					BUY	11/02/09	J		
669. NINTENDO CO LTD ADR UNSPON					BUY	11/03/09	J		
670. NINTENDO CO LTD ADR UNSPON					Sold (part)	12/08/09	J	A	
671. NOKIA CORP SPONSORED ADR	A	Dividend			Sold	10/29/09	J	A	
672. NORDSTROM INC	B	Dividend	J	T	Sold (part)	10/20/09	J	A	
673. NORDSTROM INC					Sold (part)	10/21/09	J	A	
674. NORDSTROM INC					Sold (part)	10/22/09	K	B	
675. NORDSTROM INC					Sold (part)	10/23/09	J	A	
676. NORDSTROM INC					Sold (part)	10/26/09	J	A	
677. NORDSTROM INC					Sold (part)	10/27/09	J	A	
678. NORDSTROM INC					Sold (part)	10/28/09	J	A	
679. NORFOLK SOUTHERN CORP	A	Dividend	K	T	BUY	06/11/09	J		
680. NORFOLK SOUTHERN CORP					BUY	06/12/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. NUCOR CORP	B	Dividend	L	T					
682. OMNICOM GROUP INC	A	Dividend	K	T	Sold (part)	05/15/09	J	A	
683. OMNICOM GROUP INC					Sold (part)	05/18/09	K	A	
684. OMNICOM GROUP INC					Sold (part)	05/22/09	J	A	
685. OMNICOM GROUP INC					Sold (part)	05/26/09	J	A	
686. OMNICOM GROUP INC					Sold (part)	05/29/09	J	A	
687. OMNICOM GROUP INC					Sold (part)	06/01/09	J	A	
688. OR ST DEPT OF ADMIN COPS 5.0% 05/01/2018	D	Interest	M	T	BUY	02/11/09	M		
689. PALOS VERDES CA LIBR DIST 5.0% 8/1/16	B	Interest			Sold	06/08/09	M	B	
690. PAYCHEX INC	C	Dividend	L	T	BUY	08/04/09	J		
691. PAYCHEX INC					BUY	12/04/09	J		
692. PAYCHEX INC					BUY	12/07/09	K		
693. PAYCHEX INC					Sold (part)	01/09/09	J	A	
694. PAYCHEX INC					Sold (part)	06/17/09	J	A	
695. PAYCHEX INC					Sold (part)	06/18/09	J	A	
696. PAYCHEX INC					Sold (part)	11/02/09	L	A	
697. PEPSICO INC	C	Dividend	M	T	BUY	01/08/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. PEPSICO INC					BUY	01/13/09	J		
699. PHOENIX AZ CIVIC IMPROV WTR A 5.0% 07/01/23		None	M	T	BUY	05/14/09	M		
700. PHILIP MORRIS INTERNATIONAL INC	B	Dividend	K	T	BUY	10/29/09	J		
701. PINNACLE WEST CAPITAL CORP		None			Sold (part)	01/16/09	J	A	
702. PINNACLE WEST CAPITAL CORP					Sold (part)	01/20/09	J	A	
703. PINNACLE WEST CAPITAL CORP					Sold	01/21/09	J	A	
704. POLYCOM INC		None	K	T					
705. POTASH CORP OF SASKATCHEWAN IN USS	A	Dividend	L	T					
706. PROCTER & GAMBLE CO	B	Dividend	L	T	BUY	05/19/09	K		
707. PROCTER & GAMBLE CO					BUY	05/20/09	K		
708. PROCTER & GAMBLE CO					BUY	05/21/09	J		
709. PROGRESSIVE CORP OHIO		None	L	T					
710. QUALCOMM INC	B	Dividend	M	T	BUY	11/18/09	K		
711. QUALCOMM INC					BUY	11/19/09	K		
712. RIO TINTO PLC ADR SPON	B	Dividend	J	T					
713. RIVERSIDE CA COP MBIA 4.75% 12/1/12		None			Sold	04/21/09	L	A	
714. SACRA CA MUD S MBIA 5.0% 11/15/15		None			Sold	05/08/09	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. SAN FRAN CITY CA REDEV AGY MOSCONE CTR FSA 5.0% 7/1/17	B	Interest			Sold	05/19/09	L	A	
716. SAN FRAN CITY CA REDEV AGY MOSCONE CTR FSA 5.0% 7/1/18	B	Interest			Sold	05/19/09	L	A	
717. SAN FRAN CITY & CO HOTEL TAX FSA 4.75% 7/1/12	B	Interest			Sold	06/25/09	L	A	
718. SAN FRANCISCO CA ST BLDG AUTH LEASE REV 5% 12/1/20		None			Sold	05/13/09	N	A	
719. SAN JOSE CA REDEV 04 A MBIA 5.25% 8/1/10	D	Interest			Sold	04/03/09	N	B	
720. SAP AG SPON ADR	B	Dividend	L	T	Sold (part)	01/09/09	J	A	
721. SAP AG SPON ADR					Sold (part)	09/03/09	J	A	
722. SAP AG SPON ADR					Sold (part)	11/19/09	K	C	
723. SARA LEE CORP	B	Dividend			Sold (part)	05/19/09	J	A	
724. SARA LEE CORP					Sold (part)	05/20/09	J	A	
725. SARA LEE CORP					Sold (part)	05/21/09	J	A	
726. SARA LEE CORP					Sold (part)	05/22/09	J	A	
727. SARA LEE CORP					Sold (part)	05/26/09	J	A	
728. SARA LEE CORP					Sold (part)	05/27/09	J	A	
729. SARA LEE CORP					Sold (part)	05/28/09	J	A	
730. SARA LEE CORP					Sold (part)	05/29/09	J	A	
731. SARA LEE CORP					Sold (part)	06/01/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. SARA LEE CORP					Sold (part)	06/02/09	J	A	
733. SARA LEE CORP					Sold (part)	06/03/09	J	A	
734. SARA LEE CORP					Sold (part)	06/04/09	J	A	
735. SARA LEE CORP					Sold (part)	06/08/09	J	A	
736. SARA LEE CORP					Sold (part)	06/09/09	J	A	
737. SARA LEE CORP					Sold (part)	06/10/09	J	A	
738. SARA LEE CORP					Sold (part)	06/11/09	J	A	
739. SARA LEE CORP					Sold (part)	06/12/09	J	A	
740. SARA LEE CORP					Sold (part)	06/17/09	J	A	
741. SARA LEE CORP					Sold (part)	06/18/09	J	A	
742. SARA LEE CORP					Sold (part)	06/19/09	J	A	
743. SARA LEE CORP					Sold (part)	06/22/09	J	A	
744. SARA LEE CORP					Sold (part)	06/23/09	J	A	
745. SARA LEE CORP					Sold (part)	06/24/09	J	A	
746. SARA LEE CORP					Sold	06/25/09	J	A	
747. SCHLUMBERGER LTD	B	Dividend	M	T	BUY	10/29/09	J		
748. SCHWAB CHARLES	A	Dividend	M	T	BUY	05/18/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. SCHWAB CHARLES					BUY	05/19/09	K		
750. SCHWAB CHARLES					BUY	05/20/09	J		
751. SCHWAB CHARLES					BUY	08/03/09	J		
752. SCHWAB CHARLES					BUY	09/18/09	K		
753. SCHWAB CHARLES					BUY	09/21/09	J		
754. SCHWAB CHARLES					BUY	09/22/09	J		
755. SCHWAB CHARLES					BUY	10/28/09	K		
756. SCHWAB CHARLES					BUY	10/29/09	J		
757. SCRIPPS NETWORKS INTERACTIVE CL A	A	Dividend	J	T	BUY	06/29/09	J		
758. SCRIPPS NETWORKS INTERACTIVE CL A					BUY	06/30/09	J		
759. SHIRE PLC ADR	A	Dividend	M	T	BUY	02/03/09	J		
760. SHIRE PLC ADR					BUY	02/04/09	J		
761. SHIRE PLC ADR					BUY	02/05/09	J		
762. SHIRE PLC ADR					BUY	02/13/09	J		
763. SHIRE PLC ADR					BUY	02/17/09	K		
764. SHIRE PLC ADR					BUY	02/18/09	J		
765. SHIRE PLC ADR					BUY	03/04/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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WARDLAW, KIM M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. SHIRE PLC ADR					BUY	06/04/09	K		
767. SO CA PUB PWR AUTH SF 6.0% 07-01-27	C	Interest	M	T					
768. SOUTHWEST AIRLINES CO	A	Dividend			Sold (part)	10/28/09	K	A	
769. SOUTHWEST AIRLINES CO					Sold	10/29/09	J	A	
770. SPECTRA ENERGY CORP	A	Dividend	J	T					
771. SSGA MONEY MARKET FUND	A	Dividend	N	T	Sold (part)	12/10/09	J	A	
772. STRAYER ED INC		None	K	T	BUY	12/07/09	K		
773. SUNCOR ENERGY INC	A	Dividend	K	T	Buy	08/03/09	J		
774. TARGET CORP	B	Dividend	M	T					
775. THE_WALT DISNEY CO	B	Dividend	M	T	BUY	02/26/09	J		
776. TITANIUM METALS CORPORATION		None	J	T					
777. TRANSOCEAN LTD		None	K	T	BUY	05/20/09	J		
778. TUVOLUMNE WIND REV CA TURLOCK IRR DT 5.25% 01/01/24		None	M	T	BUY	06/25/09	M		
779. TYCO ELECTRONICS LTD	A	Dividend	K	T	BUY	05/26/09	J		
780. TYCO ELECTRONICS LTD					BUY	05/27/09	J		
781. TYCO ELECTRONICS LTD					BUY	07/15/09	J		
782. TYCO ELECTRONICS LTD					BUY	07/16/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. UNILEVER NV NY SHRS	B	Dividend	L	T					
784. UNION PACIFIC CORP	B	Dividend	L	T	Sold (part)	01/09/09	J	D	
785. UNION PACIFIC CORP					Sold (part)	06/11/09	J	B	
786. UNITEDHEALTH GROUP INC		None	L	T	BUY	09/03/09	J		
787. UNITEDHEALTH GROUP INC					BUY	11/03/09	K		
788. UNITEDHEALTH GROUP INC					BUY	11/04/09	K		
789. UNITEDHEALTH GROUP INC					BUY	11/05/09	J		
790. UNITED PARCEL SERVICE INC CL B	B	Dividend	L	T	Sold (part)	05/19/09	K	A	
791. UNITED PARCEL SERVICE INC CL B					Sold (part)	05/20/09	J	A	
792. UNITED TECHNOLOGIES CORP	B	Dividend	K	T	Sold (part)	02/26/09	K	A	
793. UNITED TECHNOLOGIES CORP					Sold (part)	02/27/09	K	A	
794. UNITED TECHNOLOGIES CORP					Sold (part)	03/03/09	J	A	
795. UNITED TECHNOLOGIES CORP					Sold (part)	03/04/09	J	A	
796. URBAN OUTFITTERS INC		None	L	T					
797. US TREASURY I/L FRN 2.0% 1/15/14	B	Interest			BUY	05/14/09	N		
798. US TREASURY I/L FRN 2.0% 1/15/14					Sold (part)	06/25/09	M	A	
799. US TREASURY I/L FRN 2.0% 1/15/14					Sold	09/24/09	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. US TREASURY I/L FRN 2.375% 01/15/2017	B	Interest			BUY	05/14/09	M		
801. US TREASURY I/L FRN 2.375% 01/15/2017					Sold (part)	06/25/09	M	A	
802. US TREASURY I/L FRN 2.375% 01/15/2017					Sold	09/24/09	M	B	
803. VISA INC CL A	A	Dividend	L	T	BUY	02/26/09	K		
804. VISA INC CL A					BUY	05/19/09	J		
805. VISA INC CL A					BUY	08/21/09	K		
806. VULCAN MATERIALS CO	C	Dividend	L	T	Sold (part)	09/16/09	J	A	
807. WA ST GO SER E 5.0% 02/01/2026	B	Interest	M	T	BUY	04/08/09	M		
808. WALGREEN CO	A	Dividend	K	T					
809. WAL-MART STORES INC		None			BUY	05/20/09	K		
810. WAL-MART STORES INC					Sold	06/03/09	K	A	
811. WELLS FARGO & CO		None			Sold (part)	01/23/09	K	A	
812. WELLS FARGO & CO					Sold (part)	01/30/09	L	A	
813. WELLS FARGO & CO					Sold	02/02/09	J	A	
814. WESTLANDS CA WTR DIST MBIA 5.25% 09-01-17	D	Interest	M	T					
815. WI ST CLEAN WTR REV SER 3 5.125% 06/01/2026	D	Interest	M	T					
816. WILLIAMS COS INC	A	Dividend			Sold	03/26/09	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal R = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. Brokerage Asset Acct.									
818. Advance Auto Parts	B	Dividend	M	T					
819. Alameda Co CTFS 5.375% 12/1/16	D	Interest	M	T					
820. Banning CA Sch Dist. 4.75% 8/1/23	B	Interest	K	T					
821. Berkeley CA Sch Dist. 3.875% 8/01/18	D	Interest	M	T					
822. Burbank CA Pub Fling 5.250% 12/1/17	D	Interest	M	T					
823. CA ST Dept Wtr 5.00% 5/1/21	B	Interest	L	T					
824. CA ed FACS 4.250% 10/1/18	B	Interest	L	T					
825. CA St Pub Works 5.25% 6/1/20	C	Interest	L	T	Buy	03/17/09	M		
826. CA ST Pub Works 4.600% 12/1/20	C	Interest	L	T					
827. CA ST Pub Works 4.200% 5/1/19	C	Interest	L	T					
828. CA ST Pub Works 5.000% 6/1/18	D	Interest	M	T					
829. Chula Vista CA Pub Fing 3.875% 9/1/17	C	Interest	L	T					
830. Coachella CA Sch Dist. 5.000% 8/1/19	C	Interest	L	T					
831. CSUCI Fing Auth 4.750% 9/1/14	D	Interest	M	T					
832. Dublin CA Sch Dist. 4.125% 8/1/21	D	Interest	M	T					
833. East Bay CA Util Dist. 5.000% 6/1/18	C	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
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3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. El Monte CA Sch Dist. 5.000% 6/1/22	C	Interest	M	T	Buy	06/23/09	M		
835. Escondido CA CTFS 4.250% 9/1/19	D	Interest	M	T					
836. Fontana CA Pub Fing 4.000% 9/1/18	D	Interest	M	T					
837. Lincoln CA Sch Dist. 4.500% 8/1/19	D	Interest	M	T					
838. Los Angeles Co. MTA 4.750% 7/1/19	C	Interest			Redeemed	07/01/09	M	A	
839. Los Angeles CA Sch Dist. 4.50% 7/1/22	B	Interest	L	T	Buy	12/23/09	L		
840. Madera Co CTFS 4.250% 8/1/22	C	Interest	L	T					
841. Montclair CA Fing Auth 3.875% 10/1/16	C	Interest	M	T					
842. Mountain View CA High School 4.125% 8/1/16	D	Interest	M	T					
843. Napa Co CTFS 3.600% 2/1/17	D	Interest	M	T					
844. Newport CA Sch Dist 5.000% 8/1/17	D	Interest	M	T					
845. Palmdale CA Redev Agy 3.800% 9/1/16	D	Interest	M	T					
846. Palm Desert CTFS 5.00% 4/01/22	B	Interest	K	T					
847. Pasadena CA Elec Rev 5.000% 6/1/16	D	Interest	M	T					
848. Port Oakland CA Rev Ref 5.00% 11/01/21	B	Interest	L	T	Buy	9/16/09	L		
849. Public Storage	C	Dividend	L	T					
850. Riverside Co CTFS 3.875% 11/1/14	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. Sacramento CA UtilDist 5.000% 5/15/14	D	Interest	M	T					
852. Sacramento CA CTFS 5.000% 12/01/21	B	Interest	K	T					
853. Salinas CA CTFS 4.500% 9/1/20	C	Interest	L	T					
854. San Diego Co Wtr Auth 4.000% 5/1/20	D	Interest	M	T					
855. San Juan Capistrano Co Fing Auth 4.000% 12/1/17	C	Interest	L	T					
856. Santa Clara CA Sch Dist 5.000% 8/1/22	B	Interest	K	T	Buy	1/2/09	L		
857. Santa Cruz Co CTFS 3.875% 8/1/16	D	Interest	M	T					
858. Santa Cruz Co CTFS 4.200% 8/1/19	C	Interest	L	T					
859. Santa Rosa CA Sch Dist 3.800% 8/1/17	C	Interest	L	T					
860. So Cal Logistics 4.125% 12/1/20	C	Interest	L	T					
861. So CA Pub Pwr Auth 5.150% 7/1/15	D	Interest	M	T					
862. So CA Pub Pwr Auth 5.150% 1/1/20	D	Interest	M	T					
863. So Gate CA fing Auth 5.250% 9/1/19	B	Interest	K	T					
864. The Pantry common stock		None	K	T					
865. Tracy CA Jt Powers 4.200% 9/2/17	B	Interest	K	T					
866. Turlock CA Pub Fing 4.300% 9/15/16	B	Interest	K	T					
867. Western Riverside WTR 4.250% 9/1/21	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. MS Bank Deposit	A	Interest	J	T					
869. FS Equity Partners III									
870. FS Management Co., L.P. (L.P. Interest)		None	J	U					
871. Freeman Spogli & co., Incorp. common stock		None	J	U					
872. FS Equity Partners IV									
873. Sur La Table		None	M	U					
874. Ross-Simmons		None	M	U					
875. FS Equity Partners V									
876. Winebow Inc common stock		None	M	U					
877. N.E.W. Companies common stock		None	M	U					
878. H.H. Gregg Inc common stock		None	N	U	Buy	07/29/09	L		
879. Bright Now Inc common stock		None	N	U					
880. Mattress Giant Corp common stock		None	M	U	Buy	07/23/09	K		
881. Savers Inc common stock		None	N	U					
882. PETCO Animal Supply common stock		None	N	U					
883. El Poloo Loco Inc common stock		None	N	U					
884. Riordan Venture Assoc. (L.P. Inc.)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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WARDLAW, KIM M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885. RLH Silversado Dividend Pref. Stock debt	A	Interest	K	U	Buy	10/26/09	J		
886. Intri-Plex Tech.	A	Dividend	J	U					
887. Cymetrix Corp common stock		None	J	U					
888. Con-Cise Lens Co common stock		None	J	U					
889. Saebro Inc common stock		None	J	U					
890. Maxit common stock		None	K	U					
891. Triple 7 Group common stock		None	J	U	Buy	04/22/09	J		
892. TW Holdings common stock		None	J	U	Buy	09/15/09	J		
893. Cyber Coders Holdings common stock		None	J	U					
894. Creative Circle		None	J	U	Buy	07/03/09	J		
895. Apothecary Shops		None	J	U					
896. Secure Mission Solutions LLC		None	K	U	Buy	06/15/09	K		
897. Bistro 45 common stock	A	Dividend	J	U					
898.  Retire Accts (401k-profit)									
899.  Pacific Growth Fund	A	Dividend	L	T					
900. MSDW Liquit Asset Account Trust Fund	A	Dividend	J	T					
901. Hewlett Packard common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. Procter & Gamble common stock	C	Dividend	M	T					
903. Sun Microsoft common stock		None	J	T					
904. CVS Corp. common stock	A	Dividend	K	T					
905. Altria Group common stock	B	Dividend	J	T					
906. Cisco Sys common stock		None	K	T					
907. Kraft Foods Inc common stock	A	Dividend	J	T					
908. Philip Morris	B	Dividend	K	T					
909. Dividend Growth Fund	B	Dividend	L	T	Buy	12/23/09	K		
910. American Funds New World Fund	C	Dividend	M	T	Buy	12/09/09	K		
911. R&M Encelle Partners (Partnership Interest)		None	K	U					
912. Trust #2 UTM-W)									
913. American Funds Growth Fund of America	A	Dividend	J	T					
914. General Elec common stock	A	Dividend	J	T					
915. American Funds New World Fund	A	Dividend	J	T					
916. Trust #3 UTM-K)									
917. General Elec common stock	A	Dividend	J	T					
918. American Funds New World Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B) and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. TRUST #4 (IR-W)									
920. American Funds Capital Income Builder Inc CL R5	B	Dividend	K	T					
921. American Funds Capital World Growth & Income Fund R5	A	Dividend			Buy	07/16/09	J		
922. American Funds Capital World Growth & Income Fund R5					Sold (part)	08/05/09	J	A	
923. American Funds Capital World Growth & Income Fund R5					Sold	11/06/09	J	A	
924. American Funds Cash Mgmt Trust of America CL R5	A	Dividend	K	T					
925. American Funds EuroPacific Growth Fund of America Inc CL R5	A	Dividend	K	T					
926. American Funds Fundamental Investors Inc CL R5	A	Dividend	K	T					
927. American Funds Growth Fund of America Inc CL R5	A	Dividend	K	T					
928. American Funds Investment Company of America CL R5	A	Dividend	K	T					
929. American Funds New Prospective Fund	A	Dividend	K	T	Buy	07/17/09	J		
930. American Funds New World Fund Inc CL R5	A	Dividend	K	T					
931. American Funds SmallCap World Fund Inc CL R5		None	J	T					
932. American Funds Tax-Exempt Fund of California CLR5	A	Dividend	K	T					
933. TRUST #5 (IR-K)									
934. American Funds Capital Income Builder Inc CL R5	B	Dividend	K	T					
935. American Funds Capital World Growth & Income Fund R5	A	Dividend			Buy	07/16/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from pr or disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. American Funds Capital World Growth & Income Fund R5					Sold (part)	08/05/09	J	A	
937. American Funds Capital World Growth & Income Fund R5					Sold	11/06/09	J	A	
938. American Funds Cash Mgmt Trust of America CL R5	A	Dividend	K	T					
939. American Funds EuroPacific Growth Fund of America Inc CL R5	A	Dividend	K	T					
940. American Funds Fundamental Investors Inc CL R5	A	Dividend	K	T					
941. American Funds Growth Fund of America Inc CL R5	A	Dividend	K	T					
942. American Funds Investment Company of America CL R5	A	Dividend	K	T					
943. American Funds New Prospective Fund	A	Dividend	K	T	Buy	07/17/09	J		
944. American Funds New World Fund Inc CL R5	A	Dividend	K	T					
945. American Funds SmallCap World Fund Inc CL R5		None	J	T					
946. American Funds Tax-Exempt Fund of California CL R5	A	Dividend	K	T					
947. Trust #6 (98-W)									
948. MSDW Dividend Growth	A	Dividend	K	T					
949. American Funds New World Fund	B	Dividend	M	T					
950. MSDW Active Money Trust	A	Dividend	L	T					
951. Public Storage pref. stock	C	Dividend	K	T					
952. Winebow Inc common stock		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. N.E.W. Companies common stock		None	J	U					
954. HH Gregg Inc common stock		None	J	U	Buy	07/29/09	J		
955. Bright Now Inc common stock		None	J	U					
956. Mattress Giant Corp common stock		None	J	U	Buy	07/23/09	J		
957. Savers Inc common stock		None	J	U					
958. PETCO Animal Supply common stock		None	J	U					
959. El Pollo Loco common stock		None	J	U					
960. American Funds Capital Income Builder Inc CL R5	C	Dividend	M	T					
961. American Funds Cash Mgmt Trust of America CL R5	A	Dividend	K	T					
962. American Funds EuroPacific Growth Fund CL R5	B	Dividend	M	T					
963. American Funds Fundamental Investors Inc CL R5	C	Dividend	L	T					
964. American Funds Growth Fund of America Inc CL R5	B	Dividend	M	T					
965. American Funds Investment Company of America CL R5	B	Dividend	M	T					
966. American Funds New World Fund Inc CL R5	B	Dividend	M	T					
967. American Funds SmallCap World Fund Inc	A	Dividend	J	T					
968. American Funds Tax-Exempt Fund of California CLR5	B	Dividend	K	T					
969. American Funds Capital World Growth & Income	A	Dividend	K	T	Buy	07/16/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. American Fund New Prospective Fund	A	Dividend	K	T	Buy	07/16/09	J		
971. Trust #7 (98-K)									
972. MSDW Dividend Growth	A	Dividend	K	T					
973. American Funds New World Fund	B	Dividend	M	T					
974. MSDW Active Money Trust	A	Dividend	L	T					
975. Public Storage pref. stock	C	Dividend	K	T					
976. Winebow Inc common stock		None	J	U					
977. N.E.W. Companies common stock		None	J	U					
978. HH Gregg Inc common stock		None	J	U	Buy	07/29/09	J		
979. Bright Now Inc common stock		None	J	U					
980. Mattress Giant Corp common stock		None	J	U	Buy	07/23/09	J		
981. Savers Inc common stock		None	J	U					
982. PETCO Animal Supply common stock		None	J	U					
983. El Pollo Loco common stock		None	J	U					
984. American Funds Capital Income Builder Inc CL R5	C	Dividend	M	T					
985. American Funds Cash Mgmt Trust of America CL R5	A	Dividend	K	T					
986. American Funds EuroPacific Growth Fund CL R5	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. American Funds Fundamental Investors Inc CL R5	C	Dividend	L	T					
988. American Funds Growth Fund of America Inc CL R5	B	Dividend	M	T					
989. American Funds Investment Company of America CL R5	B	Dividend	M	T					
990. American Funds New World Fund Inc CL R5	B	Dividend	M	T					
991. American Funds SmallCap World Fund Inc	A	Dividend	J	T					
992. American Funds Tax-Exempt Fund of California CL R5	B	Dividend	K	T					
993. American Funds Capital World Growth & Income	A	Dividend	K	T	Buy	07/16/09	J		
994. American Fund New Prospective Fund	A	Dividend	K	T	Buy	07/16/09	J		
995. Real Estate Partnership - Hal		None	M	U	Sold (part)	07/21/09	L	A	
996. Real Estate Partnership - Hal					Sold (part)	08/05/09	L	A	
997. Real Estate Partnership - Lau		None	M	U					
998. Real Estate Partnership - G.O.		None	M	U					
999. Real Estate Partnership - W.O.		None	M	U					
1000. Dorset Capital Partnership Invest	A	Interest	L	U					
1001. Citibank Money Market Fund	C	Interest	M	T					
1002. Citibank Checking	A	Interest	L	T					
1003. Citibank Checking	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004. Fargo Investment Partnership		None	M	U	Buy	11/30/09	J		
1005. Fargo Investment Partnership					Sold (part)	12/01/09	J	D	
1006. R&M Catalytic Solutions		None	M	U					
1007. Bulldog Partners I	A	Interest	J	U					
1008. Bulldog Partners II	A	Interest	M	U	Buy	02/18/09	J		
1009. Bulldog Partners II					Buy	11/15/09	J		
1010. Regents Bانشares	C	Interest	M	U					
1011. Asta Fund		None			Sold	06/01/09	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 1, IRA covers line 2 through 252

Line 253, Trust 1 covers line 254

Line 255, [REDACTED] covers line 256 through 314

Line 315, Capital Trust Account covers line 316 through 816

Line 817, [REDACTED] Brokerage Asset Acct covers line 818 through 868

Line 869, FS Equity Partners III covers line 870 through 871

Line 872, FS Equity Partners IV covers line 873 through 874

Line 875, FS Equity Partners V covers line 876 through 883

Line 884, Riordan Venture Assoc. (L.P. Int.) covers line 885 through 896

Line 897, Bistro 45 common stock covers line 897

Line 898, [REDACTED] Retire Accs 401k-profit covers line 899 through 910

Line 911, R&M Encelle Partners (Partnership Interest) covers line 911

Line 912, Trust #2 [REDACTED] UTM-W) covers line 913 through 915

Line 916, Trust #3 [REDACTED] UTM-K) covers line 917 through 918

Line 919, Trust #4 (IR-W) covers line 920 through 932

Line 933, Trust #5 (IR-K) covers line 934 through 946

Line 947, Trust #6 (98-W) covers line 948 through 970

Line 971, Trust #7 (98-K) covers line 972 through 994

Line 995, Real Estate Partnership - Hal. covers line 995 through 996

Line 997, Real Estate Partnership - Lau, covers line 997

Line 998, Real Estate Partnership - G.O., covers line 998

Line 999, Real Estate Partnership - W.O., covers line 999

Line 1000, Dorset Capital Partnership Invest covers line 1000

Line 1001, Citibank Money Market Fund covers line 1001

Line 1002, Citibank Checking covers line 1002

Line 1003, Citibank Checking covers line 1003

Line 1004, Fargo Investment Partnership covers line 1004 through 1005

Line 1006, R&M Catalytic Solutions, covers line 1006

Line 1007, Bulldog Partners I, covers line 1007

Line 1008, Bulldog Partners II, covers line 1008 through 1009

Line 1010, Regents Banshares, covers line 1010

Line 1011, Asta Fund covers line 1011

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WARDLAW, KIM M.

Date of Report

6/28/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544