

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2003

Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. App. §§101-111)

|                                                                                                                                                               |  |                                                                                                                                                                                                                           |  |                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------------------------------|
| 1. Person Reporting (Last name, first, middle initial)<br><b>BLAKE, CATHERINE C.</b>                                                                          |  | 2. Court or Organization<br><b>UNITED STATES DISTRICT COURT, MD.</b>                                                                                                                                                      |  | 3. Date of Report<br><b>5-17-2004</b>           |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><b>UNITED STATES DISTRICT JUDGE (ACTIVE)</b> |  | 5. Report Type (check appropriate type)<br>____ Nomination, Date _____<br>____ Initial <input checked="" type="checkbox"/> Annual ____ Final                                                                              |  | 6. Reporting Period<br><b>1/1/03 - 12/31/03</b> |
| 7. Chambers or Office Address<br><b>7310 U.S. COURTHOUSE<br/>101 WEST LOMBARD STREET<br/>BALTIMORE, MD 21201</b>                                              |  | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br><br>Reviewing Officer _____ Date _____ |  |                                                 |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

| POSITION                                                            | NAME OF ORGANIZATION/ENTITY |
|---------------------------------------------------------------------|-----------------------------|
| <input checked="" type="checkbox"/> NONE (No reportable positions.) |                             |
| 1                                                                   |                             |
| 2                                                                   |                             |
| 3                                                                   |                             |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

| DATE                                                                 | PARTIES AND TERMS |
|----------------------------------------------------------------------|-------------------|
| <input checked="" type="checkbox"/> NONE (No reportable agreements.) |                   |
| 1                                                                    |                   |
| 2                                                                    |                   |

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

| DATE                                                                                                                                                                                     | SOURCE AND TYPE                                                                        | GROSS INCOME |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|--------------|
| <b>A. Filer's Non-Investment Income</b>                                                                                                                                                  |                                                                                        |              |
| <input checked="" type="checkbox"/> NONE (No reportable non-investment income.)                                                                                                          |                                                                                        |              |
| 1                                                                                                                                                                                        |                                                                                        | \$           |
| 2                                                                                                                                                                                        |                                                                                        | \$           |
| 3                                                                                                                                                                                        |                                                                                        | \$           |
| <b>B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)</b> |                                                                                        |              |
| <input type="checkbox"/> NONE (No reportable non-investment income.)                                                                                                                     |                                                                                        |              |
| 1                                                                                                                                                                                        | 2003 [REDACTED] 2003 honoraria                                                         |              |
| 2                                                                                                                                                                                        | 2003 [REDACTED] Bristol-Myers Squibb Company - \$1,000<br>Baltimore Research - \$1,000 |              |
| 3                                                                                                                                                                                        | 2003 Self-employed (doctor)                                                            |              |

RECEIVED  
MAY 24 11 20 AM '04  
FINANCIAL  
DISCLOSURE OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

5-17-2004

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements.)

|   |                 |                  |                                     |
|---|-----------------|------------------|-------------------------------------|
| 1 | FREE Foundation | Sept. 9-14, 2003 | Big Sky, Montana-Toxic Tort Seminar |
| 2 |                 |                  | (travel, food, lodging)             |
| 3 |                 |                  |                                     |
| 4 |                 |                  |                                     |
| 5 |                 |                  |                                     |
| 6 |                 |                  |                                     |
| 7 |                 |                  |                                     |

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE☒

NONE (No such reportable gifts.)

|   |  |    |
|---|--|----|
| 1 |  | \$ |
| 2 |  | \$ |
| 3 |  | \$ |
| 4 |  | \$ |

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE\*☒

NONE (No reportable liabilities.)

|   |  |  |
|---|--|--|
| 1 |  |  |
| 2 |  |  |
| 3 |  |  |
| 4 |  |  |
| 5 |  |  |

\*Value Codes: J=\$15,000 or less      K=\$15,001-\$50,000      L=\$50,001-\$100,000      M=\$100,001-\$250,000  
N=\$250,001-\$500,000      O=\$500,001-\$1,000,000      P1=\$1,000,001-\$5,000,000  
P2=\$5,000,001-\$25,000,000      P3=\$25,000,001-\$50,000,000      P4=\$50,000,001 or more

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

5-17-2004

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                            | C.<br>Gross value<br>at end of<br>reporting period |                                   | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------|----------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                        | (1)                                                | (2)                               | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income,                                                                                 |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 1 IRA - Delaware American                                                                                                            |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 2 Government Bond A Class                                                                                                            | A                                          | DIV                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 3 IRA - Delaware Delchester                                                                                                          |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 4 Fund A Class                                                                                                                       | A                                          | DIV                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 5 IRA - T Rowe Price                                                                                                                 |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 6 a) Equity Income                                                                                                                   | A                                          | DIV                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 7 b) Growth Stock                                                                                                                    | A                                          | DIV                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 8 c) New Asia                                                                                                                        | -                                          | -                                          | -                                                  | -                                 |                                                        |                               |                                |                               | below reportable<br>income + value                             |
| 9 d) New Era                                                                                                                         | A                                          | DIV                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 10 e) Science + Technology                                                                                                           | NONE                                       |                                            | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 11 f) Spectrum Income                                                                                                                | A                                          | DIV                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 12 State of Maryland -                                                                                                               |                                            |                                            |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 13 Deferred Comp Plan                                                                                                                | A                                          | DIV                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 14 Wachovia Bank - accounts                                                                                                          | A                                          | INT                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 15 T Rowe Price Growth Stock                                                                                                         | A                                          | DIV                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 16 US Savings Bonds                                                                                                                  | A                                          | INT                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 17 IRA First Financial<br>Federal Credit Union                                                                                       | A                                          | DIV                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |

|   |                                         |                                                                            |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------|----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                  | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$1,000,001-\$5,000,000                                  | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                | R=Cos. (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Marker                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

5-17-2004

## VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                   | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                     | (1)                                        | (2)                                         | (1)                                                | (2)                               | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                     | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income,                                                                                |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 18 SEP - Wachovia Securities                                                                                                        |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 19 a) AIM Weingarten Fund                                                                                                           | A                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 20 b) Evergreen Texas Money<br>Market Fund Class A                                                                                  | A                                          | INT                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 21 Templeton Growth Fund                                                                                                            | B                                          | DIV                                         | K                                                  | T                                 | Redemp 11/13<br>Redemp 12/30                           | J<br>K                        | A<br>B                         |                               |                                                                |
| 22 IRA - Putnam Growth                                                                                                              |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 23 + Income CI - A                                                                                                                  | A                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 24 IRA - JP Morgan Funds                                                                                                            |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 25 Growth + Income A                                                                                                                | A                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 26 IRA - MetLife                                                                                                                    | NONE                                       |                                             | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 27 TSA - MetLife                                                                                                                    | NONE                                       |                                             | L                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 28 T Rowe Price - Maryland                                                                                                          |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 29 College Investment Pkgs                                                                                                          | NONE                                       |                                             | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 30 Guardian Whole Life                                                                                                              | B                                          | DIV                                         | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 31 MetLife Whole Life                                                                                                               | A                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 32 MetLife Whole Life                                                                                                               | A                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 33 MetLife Whole Life                                                                                                               | A                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 34 MetLife Whole Life                                                                                                               | B                                          | DIV                                         | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |

|   |                                                                                                               |                                                |                                                                                 |                                                      |                     |
|---|---------------------------------------------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4)<br>F=\$50,001-\$100,000                             | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$1,000,001-\$5,000,000                                  | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: (A=Appraisal)<br>(See Col. C2)<br>U=Book value                                            | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

BLAKE, CATHERINE C.

Date of Report

5-17-2004

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

Part VII line 14, 18 First Union became Wachovia.

Part VII line 34 Inadvertent failure to disclose in prior years additional whole life insurance policy

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date 5-17-2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544