

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Kent A.	2. Court or Organization 3d Circuit	3. Date of Report 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 844 King Street Lock Box 10 Wilmington, DE 19801	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Executive Committee	Richard S. Rodney Inn of Court
2. Advisory Board Member	Georgetown University E-Discovery Institute
3. Board Member	Ministry of Caring
4. Board Member	Sacred Heart Village
5.	

RECEIVED
MAY 17 12:59 PM
2010

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Jordan, Kent A.

FINANCIAL DISCLOSURE REPORT
Page 2 of 10

Name of Person Reporting
Jordan, Kent A.

Date of Report
05/14/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2/2009	Vanderbilt University - teaching	\$8,000.00
2. 09/2009	University of Pennsylvania School of Law - teaching	\$10,000
3. 09/2009	Vanderbilt University - teaching	\$8,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/09-3/09	Home Instead Senior Center - hourly
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	PSS Systems, Inc	1/22-23/09	Jacksonville, FL	Speaker at seminar	food, lodging, and transportation
2.	Vanderbilt University	2/6-13/09	Nashville, TN	teaching	food, lodging and transportation
3.	Brigham Young University	2/13-14/09	Boston, MA	Speaker at seminar	food, lodging and transportation
4.	Univ. of Pennsylvania Law School	3/26/09	Philadelphia, PA	Speaker at program	transportation
5.	Univ. of Pennsylvania Law School;	4/1/09	Philadelphia, PA	Speaker at program	transportation
6.	Boston University	4/7-8/09	Boston, MA	Judge Moot Ct. Finals	food, lodging and transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/14/2010

7.	Practicing Law Institute	5/1/09	New York, NY	Speaker at seminar	food and transportation
8.	Federal Circuit Bar Assn.	6/18-20/09	White Sulpher Springs, WV	conference participant	food, lodging and transportation
9.	Vanderbilt University	10/11-18/09	Nashville, TN	teach	food, lodging and transportation
10.					
11.					
12.					

FINANCIAL DISCLOSURE REPORT
Page 4 of 10

Name of Person Reporting
Jordan, Kent A.

Date of Report
05/14/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/14/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value C de 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab U.S. Treasury Money Fund	A	Dividend	K	T					
2. Gateway Index Plus Fund		None	J	T	Buy	01/05/09	J		
3.					Buy (add'l)	01/07/09	J		
4.					Sold (part)	02/20/09	J		
5.					Sold	02/24/09	J		
6.					Buy (add'l)	04/13/09	J		
7. Loomis Sayles Bond		None	K	T	Buy	01/22/09	K		
8.	A	Dividend	J	T	Buy (add'l)	01/29/09	J		
9.	A	Dividend	J	T	Buy (add'l)	02/24/09	J		
10.	A	Dividend	J	T	Buy (add'l)	03/24/09	J		
11.	A	Dividend	J	T	Buy (add'l)	04/28/09	J		
12.	A	Dividend	J	T	Buy (add'l)	05/27/09	J		
13.	A	Dividend	J	T	Buy (add'l)	06/23/09	J		
14.	A	Dividend	J	T	Buy (add'l)	07/28/09	J		
15.	A	Dividend	J	T	Buy (add'l)	08/25/09	J		
16.	A	Dividend	J	T	Buy (add'l)	09/22/09	J		
17.	A	Dividend	J	T	Buy (add'l)	10/27/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/14/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	A	Dividend	J	T	Buy (add'l)	11/24/09	J		
19.	A	Dividend	J	T	Buy (add'l)	12/11/09	J		
20. Rydex Inverse Gov Long		None			Buy	01/22/09	J		
21.					Buy (add'l)	01/29/09	J		
22.					Sold (part)	03/20/09	J		
23.					Sold	04/02/09	J		
24. Gateway Index Plus Fund		None	J	T	Buy	04/02/09	J		
25.					Buy (add'l)	04/14/09	J		
26.	A	Dividend	J	T	Buy (add'l)	06/26/09	J		
27.	A	Dividend	J	T	Buy (add'l)	09/25/09	J		
28.	A	Dividend	J	T	Buy (add'l)	12/22/09	J		
29. Ivy Asset Strategy		None	J	T	Buy	04/06/09	J		
30.					Buy (add'l)	04/13/09	J		
31.	A	Dividend	J	T	Buy (add'l)	12/10/09	J		
32. ProFunds Rising Rates Opportunity Fund		None			Buy	05/28/09	J		
33.					Buy (add'l)	07/08/09	J		
34.					Sold (part)	07/31/09	J		

1. Income Gain Codes: (See Columns B1 and B4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting
Jordan, Kent A.

Date of Report
05/14/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	08/18/09	J		
36. Pioneer High Yield Fund Class A		None	K	T	Buy	06/03/09	K		
37.	A	Dividend	J	T	Buy (add'l)	06/30/09	J		
38.	A	Dividend	J	T	Buy (add'l)	07/31/09	J		
39.	A	Dividend	J	T	Buy (add'l)	08/31/09	J		
40.	A	Dividend	J	T	Buy (add'l)	09/30/09	J		
41.	A	Dividend	J	T	Buy (add'l)	10/30/09	J		
42.	A	Dividend	J	T	Buy (add'l)	11/30/09	J		
43.	A	Dividend	J	T	Buy (add'l)	12/31/09	J		
44. American Fund Growth Fund of Am.		None	J	T	Buy	07/22/09	J		
45.			J	T	Buy (add'l)	08/31/09	J		
46.	A	Dividend	J	T	Buy (add'l)	12/21/09	J		
47. American Century Equity-Income		None	J	T	Buy	08/07/09	J		
48.			J	T	Buy (add'l)	08/27/09	J		
49.	A	Dividend	J	T	Buy (add'l)	09/15/09	J		
50.	A	Dividend	J	T	Buy (add'l)	12/29/09	J		
51. Pioneer Global High Yield A		None	J	T	Buy	09/10/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 8 of 10

Name of Person Reporting
Jordan, Kent A.

Date of Report
05/14/2010

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.			J	T	Buy (add'l)	09/15/09	J		
53.	A	Dividend	J	T	Buy (add'l)	09/30/09	J		
54.	A	Dividend	J	T	Buy (add'l)	10/30/09	J		
55.	A	Dividend	J	T	Buy (add'l)	11/30/09	J		
56.	A	Dividend	J	T	Buy (add'l)	12/31/09	J		
57. Fidelity Investment - Delaware College Investment (Y)									
58. Fidelity Investment - Delaware College Investment (Y)									
59. Fidelity Investment - Delaware College Investment (Y)									
60. Fidelity Investment - Delaware College (Y)									
61. ING Direct (Money Market Accts) (Y)									
62. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
63. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
64. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
65.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT
Page 9 of 10

Name of Person Reporting	Date of Report
Jordan, Kent A.	05/14/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/14/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544